

AQS Data Coding Manual

Version 2.28

Date Revised: August 23, 2007

Note to our users: If you were familiar with the "old" mainframe system, you should recognize almost all of the old fields and terminology in the "new" system, because the "new" system still addresses the "old" monitoring program data needs. The Appendices attached to this manual contain codes and descriptions from the various AQS tables. Over time, the list of code values may change, so we will routinely update this manual. Besides using these appendices, the current list of codes and descriptions can also be obtained by using drop-down lists in the AQS Client, or by using the Oracle Discoverer tool. We plan on making improvements to this manual in the future. And we hope this manual helps make the job of data entry a little more straightforward for you. If you have suggestions or see corrections that should be made, please let us know. Thank you for your cooperation, and your work to protect our Nation's air quality.

The National Air Data Group, OAQPS, USEPA

AQS DATA CODING MANUAL

Version 2.28 August 23, 2007

Prepared for

U.S. ENVIRONMENTAL PROTECTION AGENCY
OFFICE OF AIR QUALITY PLANNING AND STANDARDS
OUTREACH AND INFORMATION DIVISION
NATIONAL AIR DATA GROUP
RESEARCH TRIANGLE PARK, NC 27711

Prepared by

2605 Meridian Parkway, Suite 100 Durham, North Carolina 27713

LOCKHEED MARTIN

Table of Contents

1		tion	
	1.1 AQS	Documentation	1-1
	1.2 Abou	ut This Book	1-1
	1.3 Abou	ut AQS	1-2
2	Overviev	w of Data Coding and Validation	2-1
		Transactions	
	2.2 Gene	eral Coding Instructions	2-1
	2.2.0	Codes	
	2.2.1	Dates	
	2.2.2	Time	
	2.2.3	Numeric Values	
	2.2.4	Alphanumeric Values	
	2.2.5	Mandatory Fields	
	2.2.6	Key Fields	
	2.2.7	Deletion of Non-Key Field Values Using Batch Transactions	
	2.2.8	Transaction Dependencies and Data Completeness	
	2.2.9	Validation	
3		nsactions (AA, AB, AC)	
_		Information - Data Completeness	
		c Site Information - Transaction Type AA	
	3.1.0	Transaction Type	
	3.1.1	Action Indicator	
	3.1.2	State Code or Tribal Indicator.	
	3.1.2	County Code or Tribal Code	
	3.1.3	Site ID	
	3.1.4	Latitude	
		Longitude	
	3.1.6	UTM Zone	
	3.1.7		
	3.1.8	UTM Easting	
	3.1.9	UTM Northing	
	3.1.10	Horizontal Collection Method	
	3.1.11	Horizontal Datum	
	3.1.12	Source Scale	
	3.1.13	Horizontal Accuracy	
	3.1.14	Vertical Measure	
	3.1.15	Time Zone	
	3.1.16	Agency Code	
	3.1.17	Street Address	
	3.1.18	City Code	
	3.1.19	Urban Area Code	
	3.1.20	AQCR	
	3.1.21	Land Use Type	
	3.1.22	Location Setting	
	3.1.23	Date Site Established	
	3.1.24	Date Site Terminated	
	3.1.25	ZIP Code	3-25
	3.1.26	Congressional District	
	3.1.27	Block	
	3.1.28	Block Group	3-28
	3.1.29	Census Tract	3-28
	3.1.30	Class I Area	3-29
	3.1.31	Local Region	3-30
	3.1.32	Local Site Name	
	3.1.33	HQ Evaluation Date	3-31

2 1 24	EDA Design Forderties Date	2.22
3.1.34	EPA Region Evaluation Date	
3.1.35	Direction from Central Business District to Site	
3.1.36	Distance from Central Business District to Site	
3.1.37	Meteorological Site Type	
3.1.38	Meteorological Site ID.	
3.1.39	Distance to Meteorological Site	
3.1.40	Direction to Meteorological Site	3-36
3.1.41	Local Site ID	3-37
3.1.42	Vertical Collection Method	3-37
3.1.43	Vertical Datum.	3-38
3.1.44	Vertical Accuracy	
3.2 Site	Street Information - Transaction Type AB	
3.2.0	Transaction Level Rules and Errors	
3.2.1	Transaction Type	
3.2.2	Action Indicator	
3.2.3	State Code or Tribal Indicator	
3.2.4	County Code or Tribal Code	
3.2.4	Site ID	
3.2.6	Tangent Street Number	
3.2.7	Street Name	
3.2.8	Road Type	
3.2.9	Traffic Count	
3.2.10	Year of Traffic Count	
3.2.11	Direction from Site to Street	
3.2.12	Source of Traffic Count	
	Open Path Information - Transaction Type AC	
3.3.0	Transaction Type	
3.3.1	Action Indicator	
3.3.2	State Code or Tribal Indicator	
3.3.3	County Code or Tribal Code	
3.3.4	Site ID	3-54
3.3.5	Open Path Number	3-55
3.3.6	Direction from Receiver to Transmitter	3-56
3.3.7	Beam Length	3-56
3.3.8	Height of Transmitter	3-57
3.3.9	Height of Receiver	3-58
3.3.10	Minimum Beam Height	
3.3.11	Maximum Beam Height	
3.3.12	Land Use Under Path	
	Comments (Online Update Only)	
	Transactions (MA – MK)	
	nitor Transactions - Data Completeness	
	ic Monitor Information - Transaction Type MA	
4.1.0	Transaction Type	
4.1.1	Action Indicator	
4.1.2	State Code or Tribal Indicator	
4.1.3	County Code or Tribal Code	
4.1.4	Site ID.	
4.1.5	Parameter	
4.1.6	POC (Parameter Occurrence Code)	
4.1.7	Project Class	
4.1.8	Dominant Source	
4.1.9	Measurement Scale	
4.1.10	Open Path Number	
4.1.11	Probe Location Code	
4.1.12	Probe Height	
4.1.13	Probe Horizontal Distance	4-15

	4.1.14	Probe Vertical Distance	1 16
	4.1.15	Surrogate Indicator	
		Unrestricted Air Flow Indicator	
	4.1.17	Sample Residence Time	
	4.1.18	Worst Site Type	
	4.1.19	Applicable NAAQS Indicator	
	4.1.20	Spatial Average Indicator	
		Schedule Exemption Indicator	
		Community Monitoring Zone	
		Pollutant Area Code 1, 2, 3, 4, or 5	
		Close Date	
4.	2 Moni	tor Sampling Periods - Transaction Type MB	
	4.2.0	Transaction Level Rules and Errors	4-26
	4.2.1	Transaction Type	4-27
	4.2.2	Action Indicator	4-27
	4.2.3	State Code or Tribal Indicator	4-28
	4.2.4	County Code or Tribal Code	4-29
	4.2.5	Site ID	
	4.2.6	Parameter	
		POC (Parameter Occurrence Code)	
		Date Sampling Began	
		Date Sampling Ended	
4		tor Type Information - Transaction Type MC	
••	4.3.0	Transaction Level Rules and Errors	
	4 3 1	Transaction Type	
		Action Indicator	
	4.3.3	State Code or Tribal Indicator.	
		County Code or Tribal Code	
	4.3.5	Site ID	
		Parameter Converse Code	
		POC (Parameter Occurrence Code)	
		Monitor Type	
		Monitor Type Begin Date	
		Monitor Type End Date	
4.		tor Agency Role - Transaction Type MD	
	4.4.0	Transaction Level Rules and Errors	
		Transaction Type	
		Action Indicator	
	4.4.3	State Code or Tribal Indicator	
	4.4.4	County Code or Tribal Code	4-46
	4.4.5	Site ID	
		Parameter	
	4.4.7	POC (Parameter Occurrence Code)	4-49
	4.4.8	Agency Role Name	4-50
	4.4.9	Agency Code	4-51
	4.4.10	Agency Role Begin Date	4-52
	4.4.11	Agency Role End Date	4-53
4.	5 Moni	toring Objective Information - Transaction Type ME	4-54
	4.5.0	Transaction Level Rules and Errors	
	4.5.1	Transaction Type	
		Action Indicator	
	4.5.3	State Code or Tribal Indicator.	
		County Code or Tribal Code	
	4.5.5	Site ID	
		Parameter	
		POC (Parameter Occurrence Code)	
		Monitor Objective Type	
			. 50

4.5.9	Urban Area Represented	4-61
4.5.10	MSA Represented	4-62
4.5.11	CMSA Represented	4-63
4.6 Mor	itor Sampling Schedule - Transaction Type MF	4-64
4.6.0	Transaction Level Rules and Messages	4-64
4.6.1	Transaction Type	4-64
4.6.2	Action Indicator	4-65
4.6.3	State Code or Tribal Indicator	4-65
4.6.4	County Code or Tribal Code	4-66
4.6.5	Site ID	4-67
4.6.6	Parameter	4-68
4.6.7	POC (Parameter Occurrence Code)	4-69
4.6.8	Required Collection Frequency Code	
4.6.9	Required Collection Frequency Begin Date	
4.6.10	Required Collection Frequency End Date	
4.6.11	Monthly Required Collection Frequency - January to December (12 Instances)	
4.7 Mor	nitor Tangent Roads - Transaction Type MG	
4.7.0	Transaction Type	
4.7.1	Action Indicator	
4.7.2	State Code or Tribal Indicator.	
4.7.3	County Code or Tribal Code	
4.7.4	Site ID	
475	Parameter	
4.7.6	POC (Parameter Occurrence Code)	
4.7.7	Tangent Street Number	
4.7.8	Distance from Monitor to Tangent Road	
	itor Obstruction Information - Transaction Type MH	
4.8.0	Transaction Level Rules and Errors	
4.8.1	Transaction Type	
4.8.2	Action Indicator	
4.8.3	State Code or Tribal Indicator.	
4.8.4	County Code or Tribal Code	
4.8.5	Site ID	
4.8.6	Parameter	
4.8.7	POC (Parameter Occurrence Code)	
4.8.8	Probe Obstruction Type	
4.8.9	Direction from Monitor to Probe Obstruction	
4.8.10	Distance from Monitor to Probe Obstruction	
4.8.11	Probe Obstruction Height	
	nitor Regulatory Compliance - Transaction Type MI	
4.9.0	Transaction Type	
4.9.1	Action Indicator	
4.9.1	State Code or Tribal Indicator	
4.9.3	County Code or Tribal Code	
4.9.3	Site ID	
4.9.4	Parameter	
4.9.5		
4.9.0	POC (Parameter Occurrence Code)	
4.9.7	Regulation Code	
	Compliance Indicator	
4.9.9	Compliance Date	
	Monitor Collocation Period - Transaction Type MJ	
4.10.0	Transaction Type	
4.10.1	Action Indicator	
4.10.2	State Code or Tribal Indicator.	
4.10.3	County Code or Tribal Code	
4.10.4	Site ID.	
4.10.5	Parameter	4-106

4.10.6 4.10.7	POC (Parameter Occurrence Code)	
	Collocation Begin Date	
4.10.8		
4.10.9	Distance from Primary Sampler	
4.10.10	1	
	Monitor Protocol - Transaction Type MK	
4.11.0	Transaction Level Rules and Errors	
4.11.1	Transaction Type	
4.11.2	Action Indicator	
4.11.3	State Code or Tribal Indicator	
4.11.4	County Code or Tribal Code	
4.11.5	Site ID	
4.11.6	Parameter	
4.11.7	POC (Parameter Occurrence Code)	
4.11.8	Monitor Protocol ID	
4.11.9	Duration Code	
4.11.10	1	
4.11.11	Method Code	
4.11.12	1 1 1 1	
4.11.13	T J F	
4.11.14	Alternate Method Detectable Limit (Alt-MDL)	4-122
4.12 N	Monitor Comments (Online Input Only)	4-123
5 Raw Da	ata Transactions (RC, RD)	5-1
5.1 Con	nposite Raw Data - Transaction Type RC	5-2
5.1.0	Transaction Type	5-2
5.1.1	Action Indicator	5-3
5.1.2	State Code or Tribal Indicator	5-4
5.1.3	County Code or Tribal Code	
5.1.4	Site ID	
5.1.5	Parameter	
5.1.6	POC (Parameter Occurrence Code)	
5.1.7	Reported Unit	
5.1.8	Method Code	
5.1.9	Composite Year	
5.1.10	Composite Period	
5.1.11	Number of Samples	
5.1.12	Composite Type	
5.1.13	Reported Sample Value	
5.1.14	Monitor Protocol ID.	
5.1.15	Qualifier Code 1-10	
5.1.16	Alternate Method Detectable Limit (Alt-MDL)	
5.1.17	Uncertainty Value	
	urly, Daily, Sub-Hourly Raw Data - Transaction Type RD	
5.2.0	Transaction Level Rules and Errors	
5.2.1	Transaction Type	
5.2.2	Action Indicator	
5.2.3	State Code or Tribal Indicator.	
5.2.4	County Code or Tribal Code	
5.2.5	Site ID	
5.2.6	Parameter	
5.2.7	POC (Parameter Occurrence Code)	
5.2.7	Duration Code	
5.2.8 5.2.9	Reported Unit.	
5.2.9	•	
5.2.10	Method Code	
5.2.11	Sample Date	
5.2.12	Sample Time	
5.4.13	Reported Sample Value	3-31

5.2.14	Null Data Code	
5.2.15	Collection Frequency Code	5-35
5.2.16	Monitor Protocol ID	
5.2.17	Qualifier Code 1-10	
5.2.18	Alternate Method Detectable Limit (Alt-MDL)	
5.2.19	Uncertainty Value	
	tistical Tests	
5.3.0	Pattern Tests	
5.3.1	Gap Test	
5.3.2	Patterns and Gap Failure Report	
5.3.3	Shewhart Test	
	tical Review Tests	
5.4.0	Criteria Pollutants	
5.4.1	Non-Criteria Pollutants	
5.4.2	Certified Data	
	cy and Precision Transactions (RA, RP)	
	curacy Data - Transaction Type RA	
6.1.0	Transaction Type	
6.1.1	Action Indicator	
6.1.2	State Code or Tribal Indicator	
6.1.3	County Code or Tribal Code	
6.1.4	Site ID	
6.1.5	Parameter	
6.1.6	POC (Parameter Occurrence Code)	6-7
6.1.7	Accuracy Audit ID Number	6-8
6.1.8	Duration Code	6-9
6.1.9	Reported Unit	6-10
6.1.10	Method Code	
6.1.11	Year Represented	
6.1.12	Quarter Represented	
6.1.13	Accuracy Date	
6.1.14	Audit Type	
6.1.15	Local Primary Standard	
6.1.16	Audit Class.	
6.1.17	Accuracy Type	
6.1.18	Audit Sample ID	
6.1.19	Expiration Date	
6.1.20	Audit Scheduled	
6.1.21	Level 1-5 Actual Value	
6.1.21	Level 1-5 Indicated Value	
6.1.23	Zero Span	
	cision Data - Transaction Type RP	
6.2. Pred		
6.2.0	Transaction Type	
6.2.2	State Code or Tribal Indicator	
6.2.3	County Code or Tribal Code	
6.2.4	Site ID	
6.2.5	Parameter	
6.2.6	POC (Parameter Occurrence Code)	
6.2.7	Precision ID	
6.2.8	Duration Code	
6.2.9	Reported Unit	
6.2.10	Actual Method Code	
6.2.11	Precision Date	
6.2.12	Actual Value	
6.2.13	Indicated Method	
6.2.14	Indicated Value	6-40

vi

	6.2.15	Collocated POC	6-43
	6.2.16	Precision Sample ID	6-44
	6.2.17	Agency Performing FRM Audit	6-44
	6.3 Criti	cal Review Tests	6-45
7	Annual S	Summary Data - Transaction Type RS	7-1
	7.1.0	Transaction Type	
	7.1.1	Action Indicator	7-2
	7.1.2	State Code or Tribal Indicator	7-3
	7.1.3	County Code or Tribal Code	7-4
	7.1.4	Site ID	
	7.1.5	Parameter	
	7.1.6	POC (Parameter Occurrence Code)	7-6
	7.1.7	Duration Code	7-7
	7.1.8	Reported Unit	7-8
	7.1.9	Method Code	7-9
	7.1.10	Summary Year	
	7.1.11	Exceptional Data Type ID	
	7.1.12	Count of Observations	7-12
	7.1.13	Count of Exceptional Events	7-13
	7.1.14	Maximum Value	7-13
	7.1.15	Maximum Value Date	7-14
	7.1.16	Maximum Value Time	7-14
	7.1.17	Maximum Value Second Highest	7-15
	7.1.18	Maximum Value Date of Second Highest	
	7.1.19	Maximum Value Time of Second Highest	
	7.1.20	Maximum Value Third Highest	
	7.1.21	Maximum Value Fourth Highest	
	7.1.22	Maximum Value Fifth Highest	
	7.1.23	Minimum Sample Value	
	7.1.24	Arithmetic Mean	
	7.1.25	Arithmetic Standard Deviation	
	7.1.26	Geometric Mean	
	7.1.27	Geometric Standard Deviation	
	7.1.28	Percentile Sample Value - 10 TH Percentile	7-22
	7.1.29	Percentile Sample Value - 25 TH Percentile	7-23
	7.1.30	Percentile Sample Value - 50 TH Percentile	
	7.1.31	Percentile Sample Value - 75 TH Percentile	
	7.1.31	Percentile Sample Value - 90 TH Percentile	
	7.1.32	Percentile Sample Value - 95 Tercentile Percentile Sample Value - 95 Percentile Percenti	
	7.1.33	Percentile Sample Value - 98 TH Percentile	7-26
	7.1.34	Percentile Sample Value - 99 TH Percentile	7 20
	7.1.36	Percent of Observations	
	7.1.30	Count of Half-MDL Substitutions	
8		Pata – Transaction Type RB	
0	8.1.0	Transaction Type	
	8.1.1	Action Indicator	
	8.1.2	State Code or Tribal Indicator.	
	8.1.3	County Code or Tribal Code	
	8.1.4	Site ID	
	8.1.5	Parameter Construction Construction Control Co	
	8.1.6	POC (Parameter Occurrence Code)	
	8.1.7	Duration Code	
	8.1.8	Reported Unit	
	8.1.9	Method Code	
	8.1.10	Blank Type	
	8.1.11	Blank Date	
	8.1.12	Time	8-12

8.1.13	Blank Value	8-13
8.1.14	Null Data Code	8-13
8.1.15	Qualifier Code 1-10	8-14
8.1.16	Alternate Method Detectable Limit (Alt-MDL)	8-15
8.1.17	Uncertainty Value	8-16

1 Introduction

1.1 AQS Documentation

This is the AQS Data Coding Manual, part of the Documentation set for the Air Quality System (AQS):

AQS Data Dictionary

AQS Data Input Formats (Summary)

AQS Data Coding Manual

AQS User's Guide

AQS Data Reports and Retrieval Manual

The <u>AQS Data Dictionary</u> presents detailed information about data in the database. Terms, concepts and logical groupings of data ("views") are documented, to help with effective use of the data. Field attributes and definitions, and calculation algorithms, are provided as appropriate. The <u>AQS Data Dictionary</u> also provides information on transformed, derived or calculated information presented to the user that does not directly reflect data in the form that it is stored in the database.

AQS Data Input Formats, is a small summary document showing the basic requirements for data input. It is intended as a quick reference guide. Detailed information on the various data input formats is contained in the AQS Data Coding Manual.

The <u>AQS Data Coding Manual</u> (this document) includes the information a user needs to know to create transactions for loading data into the database. Information in the manual is presented on a transaction by transaction basis. Business rules used by the AQS edit software, as well as the accompanying error messages, are laid out to help build error free transactions, or troubleshoot failed transactions.

The <u>AQS User's Guide</u> includes information about accessing the system via a web browser, using the on-line interface, and entering data using the on-line data entry screens (Maintenance screens). The process for "loading" the transactions described in <u>AQS Data Coding Manual</u> are explained, including using the "critical review" process, and "posting" the data to the database.

The <u>AQS Data Retrieval Manual</u> includes information about using the standard report generation software. Information includes the type of reports, the selections available for each report, the data included on the reports, and the on-line report interface. Information about available work file outputs is also included.

1.2 About This Book

This volume, the <u>AQS Data Coding Manual</u>, explains the data coding and loading rules for the Air Quality System (AQS). This includes the description of the formats, requirements and business rules of various transactions used to create, update, or delete data in the Air Quality

System. It is intended for those individuals who are responsible for maintaining the air quality data for their organizations.

This introductory section provides a brief overview of the Air Quality System with a discussion of the structure of the AQS data.

The remainder of this Coding Manual is organized as follows:

Section 2 - Overview of Data Coding and Validation

Section 3 - Common Fields that appear in all transactions

Section 4 - Site Transactions (AA, AB, AC)

Section 5 - Monitor Transactions (MA, MB, MC, MD, ME, MF, MG, MH, MI, MJ, MK)

Section 6 - Raw Data Transactions (RC, RD)

Section 7 - Precision, Accuracy Transactions (RA, RP)

Section 8 - Annual Summary Data (RS)

Section 9 - Blanks Data (RB)

Note: For a description of the AQS data structure, field definitions and formulae for calculated fields, see the <u>AQS Data Dictionary</u>.

1.3 About AQS

The Air Quality System (AQS) is a computer-based information management system for handling the storage and retrieval of information pertaining to ambient outdoor airborne pollutants and related meteorological data. The AQS database uses Oracle database software; access to the database is provided via web browsers (i.e. Internet Explorer). AQS is administered by the U.S. Environmental Protection Agency (USEPA), Office of Air Quality Planning and Standards (OAQPS) in Research Triangle Park, North Carolina.

The collection and maintenance of air quality data is required to establish and enforce the standards set by the following Federal Acts and Amendments:

1955: Air Pollution Control Act

"An Act to provide research and technical assistance relating to air pollution control" Reserved for Congress the right to control pollution.

1963: Clean Air Act

Emissions standards for stationary sources

Compliance deadlines for States

1965: Motor Vehicle Air Pollution Control Act

Emissions standards for vehicles

1967: Air Quality Act

Created Air Quality Control Regions (AQCR)

Timetables for State Implementation Plans (SIP)

1970: Amendments to the Clean Air Act

National Ambient Air Quality Standards (NAAQS)

New Source Performance Standards(NSPS)

New motor vehicle standards and compliance timetable

1990: Amendments to the Clean Air Act

Modification of standards and timetables

The monitoring data in AQS are the result of the various Clean Air Act requirements to provide a national database of ambient air pollution data: Criteria pollutant (SO₂, NO₂, O₃, CO, PM₁₀, PM_{2.5} and Pb) data, air toxic data, photochemical assessment data, and meteorological data. Individual observations, as well as summarized data are provided. The database contains values from 1957 through the present day. AQS contains hundreds of millions of observations, coming from tens of thousands of monitors.

As regulations broaden to include more pollutants, the AQS system expands. For hazardous air pollutants (HAPS) the USEPA is currently gathering data and has proposed baselines for limiting emissions. There are currently over 1000 pollutants that AQS is prepared to track and additions are made as needed.

In addition to the ambient pollutant concentration data, AQS contains descriptive information about the location of the monitoring site (e.g., address, latitude/longitude, local site name, etc.) where the ambient data are collected as well as information about the types of monitors used to collect the ambient measurements. Quality assurance information is also contained in the AQS.

The air pollution monitoring data residing in the AQS database is submitted directly to the database by many different groups. The State, Tribal and Local air pollution agencies throughout the United States routinely submit monitoring data from the air monitors that they manage. Some Federal agencies, including USEPA, and private companies also supply data directly. The data submitted by these various groups are "owned" by those groups... in other words only the agency responsible for a monitor at a site can maintain that monitor's data. USEPA's OAQPS maintains the administrative data in AQS (e.g. reference tables and codes, security tables, and overall software maintenance).

AQS data are not real time, because observation data are quality assured by the submitting agencies before loading into the database. Agencies generally have 3 months to collect, analyze, compute, and quality assure the values prior to loading the data into AQS. Each year, the monitoring agencies certify to USEPA that the criteria pollutant data values for that year are correctly stored in the database. This data serves as the basis for area designations (e.g. non-attainment designations), among many other uses. In addition to providing data for evaluating conformance to Federal regulations, data from the AQS is used to fulfill air quality information requests from the public.

Summary air quality data is available on AQS from 1957 onward. Detailed data reported from individual monitoring devices are available for the past decade. Older detail data are available as download files from the archive database. See the AQS website for these files. If you do not see the files you need, the website also contains a request form to receive those files. The website address is as follows:

http://www.epa.gov/ttn/airs/airsaqs/detaildata/requestingaqsdata.htm

This page is intentionally blank.

2 Overview of Data Coding and Validation

The Air Quality System contains data from a variety of organizations, including state and local agencies, tribes, and federal organizations. It includes descriptions of air monitoring sites and monitoring equipment, measured concentrations of air pollutants and related parameters, and calculated summary and statistical information. This is called air quality (AQ) data throughout the rest of this volume.

Reporting agencies submit AQ data as formatted transactions by first uploading the transaction file(s) to EPA's Central Data Exchange (CDX). Files loaded to CDX are then available to AQS for the batch load process. (Note that online, interactive data entry via AQS Maintain forms is also available, in addition to using the batch process.) The user then employs AQS software to process the data through five steps of batch loading, starting with file loading to AQS and ending with posting the data to the database. Internet Explorer (a Web browser) is used to access both CDX and AQS applications.

2.1 AQS Transactions

Twenty types of transactions are used to provide data and control information for updating the AQS database. Detailed instructions for coding individual transactions are presented in Chapters 3 through 8 in this book. For quick reference, the <u>AQS Transaction Formats</u> document is a summary of the input formats and is available for downloading from the AQS website. Code tables of accepted standard values for all fields are also available from the web site. The AQS website address is:

www.epa.gov/TTN/airs/airsags

2.2 General Coding Instructions

AQS transaction formats make use of field delimiters, rather than column position, to determine which field is to be processed. The delimiter character is the vertical bar "|" also known as a "pipe" character. Transactions, therefore, always start with the two-character transaction type, followed by the delimiter character, followed by the one-character Action Indicator (I, U, or D), followed by the delimiter character, followed by the next field (state), etc. If non-key fields are to be ignored, then the delimiters are included directly following the last value supplied (no spaces). Missing delimiters at the end of the transaction record are treated as null fields, i.e. the missing delimiters are assumed to be there by the batch update software.

The legacy (mainframe) transaction formats are still supported for Raw Composite (RC), Raw Hourly, Daily and Sub-Hourly (RD), Raw Precision (RP), and Raw Accuracy (RA), with the exception of non-key field deletions using asterisks. The legacy transaction formats are column-specified and no delimiters are used. Legacy transaction formats are all fixed at 80 characters in length.

Four general types of values are used to code air quality transactions:

- Codes,
- Dates,
- Numeric data, and
- Alphanumeric data.

2.2.0 Codes

Codes must be entered on transactions exactly as they are stored in the AQS tables. For example, a County Code is three digits, and you must code all three digits of the code, including any leading zeros. The instructions for fields that take a code value include the term "code" in the list of field attributes. Smaller code set for fields are included in this document. The larger tables of code values are available from the TTN web pages. Code values are also available as drop-down lists at each field where they are used in the AQS Client software.

2.2.1 Dates

Dates are entered in YYYY, or YYYYMMDD format. YYYY is year, MM is month number, DD is day number. The instructions for fields that take a date value include the term "date" in the list of field attributes

2.2.2 Time

Time is entered as HH:MM where HH is hour and MM is minute. Values less than 10 need a leading zero. The colon character (":") is normally required.

2.2.3 Numeric Values

The instructions for fields that take a numeric value include the term "numeric" in the list of field attributes. The convention for number of digits and decimal places in this manual is: total number of digits, followed by a comma, followed by the number of decimal places. For example, 10,5 is a ten-digit number including five decimal places (nnnnn.nnnn).

2.2.4 Alphanumeric Values

The instructions for these fields include the term "character" in the list of field attributes. The length of allowable text is specified for each field. Many alphanumeric fields are validated against reference tables in AQS. For these fields, the values entered must match exactly the values on the appropriate reference tables.

2.2.5 Mandatory Fields

Certain fields are mandatory (required), and they must have a value on the transaction. Some fields are always mandatory; other fields are mandatory only under certain conditions. For example, Action Indicator is always mandatory; it must be coded on every transaction. The coding instructions in subsequent chapters identify fields as Mandatory or Optional, and specify the conditions when a value is mandatory on the transaction. Also, the batch transaction formats contain notes showing various dependencies and required fields.

2.2.6 Key Fields

Certain mandatory fields are also labeled as "Key" fields. These fields are used to uniquely identify specific data in the database. For example, for a Monitor Sampling Period, the Date Sampling Began, is a key field. It, along with {state, county, site, parameter, and POC} uniquely identifies one row of data in the database. Key fields are always mandatory for all Action Indicators, and key fields cannot be modified by an update transaction.

2.2.7 Deletion of Non-Key Field Values Using Batch Transactions

The deletion of non-key field values (without deleting the entire record) is no longer supported in the batch transaction mode. The use of asterisks in field values to signify field deletion is no longer supported. The AQS client now has an online maintain function that will allow interactive deletion of a single field.

2.2.8 Transaction Dependencies and Data Completeness

More than one transaction type may be required to insert (add) new data to the database and make if available for public use. The transactions and activities required will vary according to the type of data being inserted. Sometimes the value set in one field may require related data in additional fields or require an additional transaction.

There are various checks performed within AQS to ensure that all required associated data is present before the information is accessible to those outside the group responsible for loading it. Once data is complete, a system controlled data field called the Status Indicator is set to "P" to indicate that the information is at "public", "posted" or "production" status.

Examples of transaction dependencies and data completeness requirements:

- In order for a site to be at "P" status it must have:
 - values in all required fields;
 - a Supporting Agency;
 - a monitor associated with it that is at "P" status.

- A monitor at "P" status must have:
 - at least one sampling start period;
 - at least one objective;
 - monitoring type assignments to cover all sampling periods;
 - PQAO role assignments to cover all sampling periods since 1/1/2007;
 - for criteria pollutant monitors, reporting organization role assignments to cover all portions of sample periods up to 12/31/2006;
 - for PM10 and PM2.5 monitors, required collection frequency assignments to cover all portions of sample periods since 7/1/1987;
 - for monitors whose Unrestricted Air Flow Indicator value is 'N' or 'W', at least one probe obstruction;
 - raw, blanks, accuracy, and precision data falling within a sample period;
 - precision and accuracy data for dates up to 12/31/2006 falling within a reporting organization period.
- Data values at "P" status must have been statistically evaluated and reviewed.

2.2.9 Validation

Many fields in the database have a limited set of accepted values. Limitations are imposed based on a number of factors such as data type, size, range or value set. These limitations are specified in this document for each field as described above. In cases that the validation is defined by a table of acceptable values, smaller tables are included in this document. Large sets (such as county lists) can be seen using Oracle® Discoverer or viewing in the List of Values (LOV) button associated with that field in the Maintain, Standard Report Request or Correct forms in AQS.

Status indicators are used in many of the tables used for data validation. The status indicator is often used to distinguish between past and present accepted values. Values that are actively accepted for inputting data are set to "P" while values that are inactive (obsolete or deprecated) are set to "I" to allow for old data to be displayed or interpreted but rejected with new data. A common "I" status value is "UNKNOWN". Reports of legacy data use this value when production status records are encountered with data missing from fields that currently require data. While "UNKNOWN" can be a reported value it is not accepted as valid for input.

You may encounter situations where the data you wish to load does not pass the AQS validation procedures. Usually, this is because of errors in the formation of the transaction; possibly typographical errors or missing required data, etc. If you find that the edits imposed by AQS are preventing you from submitting valid data, however, you should contact National Air Data Group (NADG) to discuss the situation. We can add new codes to reference tables, and change the range of values allowed by the numeric edit checks in AQS. To contact NADG, you can call the helpline at 1-866-411-4372, or go to the AQS website at

http://www.epa.gov/ttn/airs/airsaqs/

to get a list of contacts and phone numbers.

3 Site Transactions (AA, AB, AC)

This set of three transactions is used to create or update Site information.

Type AA, Basic Site Information, performs data manipulation on the Sites and Agency Roles Tables, and contains site information in the following fields:

Transaction Type	Agency Code	HQ Evaluation Date
Action Indicator	Street Address	EPA Region Evaluation Date
State Code or Tribal Indicator	City Code	Direction from Central
County Code or Tribal Code	Urban Area Code	Business District to Site
Site ID	AQCR	Distance from Central
Latitude	Land Use Type	Business District to Site
Longitude	Location Setting	Meteorological Site Type
UTM Zone	Date Site Established	Meteorological Site ID
UTM Easting	Date Site Terminated	Distance to Meteorological
UTM Northing	ZIP Code	Site
Horizontal Collection	Congressional District	Direction to Meteorological
Method	Block	Site
Horizontal Datum	Block Group	Local Site ID
Source Scale	Census Tract	Vertical Collection Method
Horizontal Accuracy	Class I Area	Vertical Datum
Vertical Measure	Local Region	Vertical Accuracy
Time Zone	Local Site Name	-

Type AB, Site Street Information, performs data manipulation on the Tangent Roads Table, and contains site information in the following fields:

Transaction Type	Site ID	Traffic Count
Action Indicator	Tangent Street Number	Year of Traffic Count
State Code or Tribal Indicator	Street Name	Direction from Site to Street
County Code or Tribal Code	Road Type	Source of Traffic Count

Type AC, Site Open Path Information, performs data manipulation on the Open Paths Table, and contains site information in the following fields

Transaction Type	Beam Length
Action Indicator	Height of Transmitter
State Code or Tribal Indicator	Height of Receiver
County Code or Tribal Code	Minimum Beam Height
Site ID	Maximum Beam Height
Open Path Number	Land Use Under Path
Direction from Receiver to Transmitter	

AQS Data Coding Manual Site Transactions Insert transactions (i.e., transactions with Action Indicator I) are used to create new site-related data. When creating a new site, only one type AA is allowed and required, while multiple AB and AC transactions can be entered. Each AB transaction supplies information for one street near a site. Each AC transaction supplies information for one open path monitor at a site.

Update transactions (i.e., transactions with Action Indicator U) are used to change the contents of site-specific fields. Blank fields on an update transaction are ignored; they do not affect fields in the database. The values coded on an update transaction replace the values of the corresponding columns in the database. If no value existed previously, the value from the transaction is inserted into the corresponding column and row. Only non-key fields can be updated.

Delete transactions (i.e., transactions with Action Indicator D) are used to remove site-related information. Note: A site may not be deleted if any raw or blanks data has been loaded for any monitor at the site.

Legacy transactions are not supported for site data.

3.0 Site Information - Data Completeness

In addition to supplying data in all required fields as described below, a site must have a monitor assigned to it before it is set, by AQS, to "P" status. A site without a monitor or with an incomplete monitor will be set to "F" status.

Business Rules:

1. A site at P status must have a monitor assigned at P status.

Warning Message:

- 20270 To enter a new Site record, you must enter a least one Monitor record.
- 2. A site at P status must have a Supporting Agency.

Warning Message:

• 20271 To enter a new Site record, you must enter a Supporting Agency Role record.

3.1 Basic Site Information - Transaction Type AA

The state, county, and site fields are always validated, as described in Section 3 above; other fields will be validated if supplied for Update transactions, but not for Delete transactions.

3.1.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place AA in the first delimited field.

Business Rules:

Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

3.1.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code

Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Invalid Action Indicator.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Indicator.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

3.1.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules:

1. State Code is mandatory.

Error Messages:

• State Code is required.

Insert Rules:

1. State Code must be in STATES table.

Error Messages:

- Invalid State Code
- 2. State Code must be at production status.

Error Messages:

- Inactive State Code
- 3. Site with same State Code, County Code and Site ID already exists in database.

Error Messages:

• Attempt to insert Site with State, County, Site that duplicates one already in database.

Update or Delete Rules::

1. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

• Unable to update or delete site.

3.1.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table.

County Code Business Rules

Common Rules:

1. County Code is required.

Error Messages:

• County Code is required.

Insert Rules:

1. (State Code, County Code) must be in COUNTIES table.

Error Messages:

- Invalid County Code
- 2. (State Code, County Code) must be at production status.

Error Messages:

- Inactive County Code.
- 3. Site with same State Code, County Code and Site ID already exists in database.

Error Messages:

• Attempt to insert Site with State, County, Site that duplicates one already in database.

Update or Delete Rules:

1. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

• Unable to update or delete site.

Tribal Code Business Rules

Common Rules:

1. Tribal Code is required.

Error Messages:

• Tribal Code is required.

Insert Rules:

1. Tribal Code must be in TRIBAL AREAS table.

Error Messages:

- Tribal Code does not exist in the Tribal Areas table.
- 2. Tribal Code must be at production status.

Error Messages:

- Tribal Code is not at Production Status.
- 3. Site with same Tribal Code and Site ID already exists in database.

Error Messages:

- Duplicate of site with same {Tribal Code, Site ID}
- 4. Site with Latitude and Longitude of same State Code, County Code and Site ID already exists in database.

Error Messages:

• Attempt to insert Site with State, County, Site that duplicates one already in database.

Update or Delete Rules:

1. (Tribal Code, Site ID) must be in the SITES table.

Error Messages:

• Unable to update or delete site.

3.1.4 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building

to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules:

1. Site ID is mandatory.

Error Messages:

- Site ID is required.
- 2. Site ID must be exactly 4 digits.

Error Messages:

• Invalid Site ID

Insert Rules:

1. Site with the same State, County and Site ID already exists in database.

Error Messages:

- Attempt to insert Site with State, County, Site that duplicates one already in database.
- 2. Site with same Tribal Code and Site ID already exists in database.

Error Messages:

- Duplicate of site with same {Tribal Code, Site ID}
- 3. When inserting a tribal site, another site with Latitude and Longitude of same State Code, County Code and Site ID already exists in database.

Error Messages:

• Attempt to insert Site with State, County, Site that duplicates one already in database.

Update or Delete Rules:

1. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

- Unable to update or delete site.
- 2. (Tribal Code, Site ID) must be in the SITES table.

Error Messages:

• Unable to update or delete site.

3.1.5 Latitude

Description: The monitoring site's angular distance north or south of the equator

measured in decimal degrees. The associated sign specifies the direction of measurement, a positive number indicating north and negative indicating south. EPA Locational Data Policy (LDP) requires that coordinates be provided for all sites. More information regarding EPA's data standards and policies may be found on EPA's Environmental Data

Registry (EDR) Website (http://www.epa.gov/edr/).

Attributes: Numeric

8 digits, including 6 decimal places and sign (±nn.nnnnnn)

Optional¹

Coding Instructions: A site's coordinates must be given either as latitude/longitude or universal

transverse Mercator (UTM) coordinates, but not both. When latitude is provided on the transaction, longitude must also be provided, and UTM

values must not be provided.

To insert or update, place a latitude value, in decimal degrees, in the sixth delimited field. For a coordinate above the equator, enter the value as a positive number. For a coordinate below the equator, enter the value as a

negative number.

Business Rules

Common Rules:

1. Latitude must be within the state

Error Messages:

- Coordinates are not within the specified state.
- 2. Latitude must be within the county

Error Messages:

• Coordinates are not within the specified county

Insert Rules

1. Either (Latitude, Longitude) or (UTM Zone, UTM Easting, UTM Northing) combinations must be provided, but not both.

^{*}It is mandatory that either Lat/Long or UTM values be provided, but not both.

Error Messages:

• Location must be specified with either latitude/longitude or UTM information, but not both

Update Rules

None.

Delete Rules

None.

3.1.6 Longitude

Description: The monitoring site's angular distance east or west of the prime meridian

at Greenwich, UK, measured in decimal degrees. The associated sign specifies the direction of measurement, a positive number indicating east and negative indicating west. EPA Locational Data Policy requires that coordinates be provided for all sites. More information regarding EPA's data standards and policies may be found on EPA's Environmental Data

Registry (EDR) Website (http://www.epa.gov/edr/).

Attributes: Numeric

9 digits, including 6 decimal places and sign (±nnn.nnnnnn)

Coding Instructions: A site's coordinates must be given either as latitude/longitude or UTM

coordinates, but not both. When longitude is provided on the transaction, latitude must also be provided, but UTM values must not be provided.

To insert or update, place a longitude value, in decimal degrees, in the seventh delimited field. For a coordinate west of the prime meridian, enter

the value as a positive number. For a coordinate east of the prime meridian, enter the value as a negative number.

Business Rules

Common Rules:

1. Longitude must be within the state

Error Messages:

- Coordinates are not within the specified state.
- 2. Longitude must be within the county

Error Messages:

• Coordinates are not within the specified county

Insert Rules

1. Either (Latitude, Longitude) or (UTM Zone, UTM Easting, UTM Northing) combinations must be provided, but not both.

³It is mandatory that either Lat/Long or UTM be provided .

Error Messages:

• Location must be specified with either latitude/longitude or UTM information, but not both.

Update Rules

None.

Delete Rules

None.

3.1.7 UTM Zone

Description: The zone of the universal transverse Mercator (UTM) system in which a

site is located. EPA Locational Data Policy requires that coordinates be provided for all sites. AQS will convert the UTM coordinates to latitude/longitude in the standard horizontal datum (WGS84) and store both the user-provided UTM and the standard latitude/longitude. More

information regarding EPA's data standards and policies may be found on

EPA's Environmental Data Registry (EDR) Website

(http://www.epa.gov/edr/).

Attributes: Numeric

12 digits (nnnnnnnnnn)

Coding Instructions: A site's coordinates must be given either as latitude/longitude or UTM

coordinates, but not both. When UTM zone is provided on the transaction,

UTM easting and UTM northing must also be provided, but

latitude/longitude values must not be provided.

To insert or update, place a UTM zone value in the eighth delimited field.

Business Rules

Common Rules:

1. Site location must be within the state

Error Messages:

- Coordinates are not within the specified state.
- 2. Site location must be within the county

Error Messages:

• Coordinates are not within the specified county

Insert Rules

1. Either (Latitude, Longitude) or (UTM Zone, UTM Easting, UTM Northing) combinations must be provided, but not both.

²It is mandatory that either Lat/Long or UTM be provided.

• Location must be specified with either latitude/longitude or UTM information, but not both.

Update Rules

None.

Delete Rules

None.

3.1.8 UTM Easting

Description: The easting UTM coordinate, expressed in meters (i.e., the horizontal

distance from the reference edge of the UTM zone) for the site. EPA Locational Data Policy requires that coordinates be provided for all sites. AQS will convert the UTM coordinates to latitude/longitude in the standard horizontal datum (WGS84) and store both the user-provided UTM and the standard latitude/longitude. More information regarding EPA's data standards and policies may be found on EPA's Environmental

Data Registry (EDR) Website (http://www.epa.gov/edr/).

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Coding Instructions: A site's coordinates must be given either as latitude/longitude or UTM

coordinates, but not both. When UTM easting is provided on the transaction, UTM zone and UTM northing must also be provided, but

latitude/longitude values must not be provided.

To insert or update, place a UTM easting value in the ninth delimited

field.

Business Rules

Common Rules:

1. Site location must be within the state

Error Messages:

- Coordinates are not within the specified state.
- 2. Site location must be within the county

Error Messages:

• Coordinates are not within the specified county

Insert Rules

1. Either (Latitude, Longitude) or (UTM Zone, UTM Easting, UTM Northing) combinations must be provided, but not both.

²It is mandatory that either Lat/Long or UTM be provided.

• Location must be specified with either latitude/longitude or UTM information, but not both.

Update Rules

None.

Delete Rules

None

3.1.9 UTM Northing

Description: The northing UTM coordinate expressed in meters (i.e., for the Northern

hemisphere, the vertical distance from the equator; for the Southern hemisphere, 10,000,000 minus the vertical distance from the equator) for the site. EPA Locational Data Policy requires that coordinates be provided for all sites. AQS will convert the UTM coordinates to latitude/longitude in the standard horizontal datum (WGS84) and store

both the user-provided UTM and the standard latitude/longitude. More information regarding EPA's data standards and policies may be found on

EPA's Environmental Data Registry (EDR) Website

(http://www.epa.gov/edr/).

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Coding Instructions: A site's coordinates must be given either as latitude/longitude or UTM

coordinates, but not both. When UTM northing is provided on the transaction, UTM zone and UTM easting must also be provided, but latitude/longitude values must not be provided. When UTM coordinates are provided on the transaction, latitude and longitude are systemgenerated for the Sites Table during the load step (for insert and update Action Indicators). Therefore, all Sites Table rows contain both

latitude/longitude and UTM coordinates.

To insert or update, place a UTM northing value in the tenth delimited

field.

Business Rules

Common Rules:

1. Site location must be within the state

- Coordinates are not within the specified state.
- 2. Site location must be within the county

²It is mandatory that either Lat/Long or UTM be provided.

Error Messages:

• Coordinates are not within the specified county

Insert Rules

1. Either (Latitude, Longitude) or (UTM Zone, UTM Easting, UTM Northing) combinations must be provided, but not both.

Error Messages:

• Location must be specified with either latitude/longitude or UTM information, but not both.

Update Rules

None

Delete Rules

None.

3.1.10 Horizontal Collection Method

Description: Method used to determine the latitude/longitude or UTM coordinates.

Required by EPA Locational Data Policy (LDP). More information regarding EPA's data standards and policies may be found on EPA's Environmental Data Registry (EDR) Website (http://www.epa.gov/edr/).

Attributes: Alphanumeric

3-digit code (nnn) Mandatory (for Insert)

Coding Instructions: Place a valid Horizontal Collection Method code in the eleventh delimited

field. A code is valid if it is in the LDP Collection Methods Table. View current code descriptions in the Maintain or Correct forms in AQS, or via

Discoverer, and choose the appropriate code.

Example Horizontal Collection Method Codes are listed below:

<u>Code</u>	<u>Description</u>
027	UNKNOWN
101	Address Matching
102	Census Block
103	GPS
104	Interpolation
106	Public Land Survey
108	ZIP Code

Business Rules

Common Rules:

1. Horizontal Collection Method must be in LDP_COLLECTION_METHODS table.

- Invalid LDP Horizontal Collection Method Code
- 2. Horizontal Collection Method must be at production status.

Error Messages:

• Inactive LDP Horizontal Collection Method Code

Insert Rules

1 Horizontal Collection Method is mandatory.

Error Messages:

• Horizontal Collection Method is mandatory.

Update Rules

Horizontal Collection Method may not be nullified. 1.

Error Messages:

• Horizontal Collection Method is mandatory.

Delete Rules

None.

3.1.11 **Horizontal Datum**

Description:

The edition of North American Datum used as the basis for determining the site coordinates. (The editions of North American Datum establish a network of monuments and reference points defining a mathematical surface from which geographic computations can be made.) The World Geodetic Survey 1984 (WGS84) is one horizontal datum used by many Global Positioning System (GPS) instruments. United States Geological Survey (USGS) maps often use the North American Datum 1927 (NAD27).

This data element is required by EPA Locational Data Policy. Information regarding EPA's data standards and policies may be found on EPA's Environmental Data Registry (EDR) Website (http://www.epa.gov/edr/).

Attributes:

Alphanumeric

Up to 120 characters Mandatory (for Insert)

Coding Instructions: Place a valid Horizontal Datum value in the twelfth delimited field. A value is valid if it is in the LDP Horizontal Data Table. Examples of Example Horizontal Datum values are listed below:

Horiz Datum	Status Ind
NAD27	P
NAD83	P
NAD84	I
UNKNOWN	I
WGS84	р

Note: A status indicator of I means that old data could have these values, but new data cannot use these values. (I = inactive, P = production)

Business Rules

Common Rules:

- 1. Horizontal Datum must be in LDP HORIZONTAL DATA table.
 - **Error Messages:**
 - Invalid LDP Horizontal Datum.
- 2. LDP_HORIZONTAL_DATA (LDP_HORIZ_DATUM) must be at production status. **Error Messages:**
 - Inactive LDP Horizontal Datum.

Insert Rules

1. Horizontal Datum is mandatory.

Error Messages:

• Horizontal Datum is mandatory.

Update Rules

1. Horizontal Datum may not be nullified.

Error Messages:

• Horizontal Datum is mandatory.

Delete Rules

None.

3.1.12 Source Scale

Description:

Identifies the ratio of the map or cartographic product to the true location. The data element for scale should be the X value of the 1:X ratio (e.g., if the scale is 1:24,000, the value of the scale data element should be 24,000). The United States Geological Survey 1:24,000 is usually the smallest reasonable scale for locating sub-facility points, such as stacks or pipes. The relative accuracies of maps as a locational tool decreases as the X value increases. This field is required when the Horizontal Collection Method is based upon using a map and photos. EPA Locational Data Policy requires this data element for maps and photos. More information regarding EPA's data standards and policies may be found on EPA's Environmental Data Registry (EDR) Website (http://www.epa.gov/edr/).

Attributes: Numeric

12 digits (nnnnnnnnnnn)

Optional: It is required when a site's coordinates are derived from a map

or photo, but not for GPS methods.

Coding Instructions: Place a valid Source Scale value in the thirteenth delimited field.

Business Rules

Common Rules:

1. Source Scale must be a number greater than 0.

Error Messages:

• Invalid Source Scale

Insert Rules

None.

Undate Rules

None

Delete Rules

None.

3.1.13 Horizontal Accuracy

Description:

Description of the accuracy of the site coordinates, as a range reported in meters. Only the least accurate measurement needs to be recorded, whether it is latitude or longitude (or UTM easting or northing).

For example, here are accuracy standards for various scale maps, assuming that the maps conform to the national mapping accuracy standards:

```
1:1,200
             \pm 1.02 meters
1:2,400
             \pm 2.03 meters
1:4,800
            \pm 4.06 meters
1:10,000
            \pm 8 47 meters
1:12,000
             \pm 10.16 meters
1:24,000
             \pm 12.20 meters
1:63,360
             \pm 32.20 meters
1:100.000
            \pm 50.81 meters
```

Map interpolation would also introduce error.

For GPS, the accuracy values vary. The type of GPS used along with operating conditions affect accuracy. The GPS receiver may provide accuracy values associated with specific coordinate readings.

This data element is required by EPA Locational Data Policy (LDP). More information regarding EPA's data standards and policies may be found on EPA's Environmental Data Registry (EDR) Website (http://www.epa.gov/edr/).

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory (for Insert)

Coding Instructions: Place the Horizontal Accuracy in the fourteenth delimited field.

Business Rules

Common Rules:

1. Horizontal Accuracy must be greater than 0.

Error Messages:

• Invalid Horizontal Accuracy Value

Insert Rules

1. Horizontal Accuracy is mandatory.

Error Messages:

• Horizontal Accuracy is mandatory.

Update Rules

1. Horizontal Accuracy may not be nullified.

Error Messages:

• Horizontal Accuracy is mandatory.

Delete Rules

None.

3.1.14 Vertical Measure

Description: The elevation, in meters, above or below mean sea level (MSL) of the site.

It is required by EPA Locational Data Policy (LDP). More information regarding EPA's data standards and policies may be found on EPA's Environmental Data Registry (EDR) Website (http://www.epa.gov/edr/).

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory (for Insert)

Coding Instructions: Place a numeric value in the fifteenth delimited field.

Business Rules

Common Rules:

1. Vertical Measure must be between -10000 and 20000.

Error Messages:

- Vertical measure must be between -10,000 and 20,000
- PL/SQL: numeric or value error: character to number conversion error.

Insert Rules

1. Vertical Measure is mandatory.

Error Messages:

• Vertical Measure is required.

Update Rules

1. Vertical Measure may not be nullified.

Error Messages:

• Vertical Measure is required

Delete Rules

None.

3.1.15 Time Zone

Description: A standard time zone, as established by section 1 of the Standard Time

Act, as amended by section 4 of the Uniform Time Act of 1966 (15 U.S.C.

261).

Attributes: Alphanumeric

Up to 30 characters

Mandatory

Coding Instructions: To insert or update a time zone, place a valid value in the sixteenth

delimited field. A time zone value is valid if it exists in combination with

state code in the State Time Zones Table.

Business Rules

Common Rules:

1. (State Code, Time Zone) must be in STATE_TIME_ZONES table.

Error Messages:

- Invalid Time Zone for state.
- 2. STATE_TIME_ZONES (STT_STATE_CODE, TZ_TIME_ZONE_NAME) must be at production status.

Error Messages:

• Inactive Time Zone for state.

Insert Rules

1. Time Zone is mandatory.

Error Messages:

• Time Zone is required.

Update Rules

None.

Delete Rules

None.

3.1.16 Agency Code

Description: Identifies the agency responsible for the operation of the monitoring site.

Attributes: Alphanumeric

4-digit code

Mandatory (for insert)

Coding Instructions: To insert, place a valid agency code in the seventeenth delimited field. An

Agency Code value is valid if it exists in combination with the state code

value in the State Agencies Table.

Business Rules

Common Rules:

1. (State Code, Supporting Agency) must be in STATE_AGENCIES table.

Error Messages:

- Integrity constraint (AIRSRAQS.AR1_SA_FK) violated
- 2. STATE_AGENCIES (STT_STATE_CODE, AG_AGENCY_CODE) must be at production status.

Error Messages:

• Status for STT_STATE_CODE, AG_AGENCY_CODE is inactive.

Insert Rules

1. Agency Code is mandatory.

Error Messages:

• Agency Code is required on insert transactions.

Update Rules

1. Agency Code may not be updated.

Error Messages:

• Agency Code is ignored on update transactions.

Delete Rules

None.

3.1.17 Street Address

Description: Specifies the building/street location of the monitoring site.

Attributes: Alphanumeric

Up to 240 characters Mandatory (for Insert)

Coding Instructions: Place street address text in the eighteenth delimited field. Any non-blank

value is valid.

Business Rules

Common Rules:

1. Street Address cannot be more than 240 characters.

Error Messages:

• PL/SQL: numeric or value error: character string buffer too small.

Insert Rules

1. Street Address is mandatory.

Error Messages:

• Street Address is required.

Update Rules

1. Street Address may not be nullified.

Error Messages:

• Street Address is required.

Delete Rules

None.

3.1.18 **City Code**

Description: The city within whose legal boundaries the monitoring site is located.

Attributes: Alphanumeric

5-digit code Optional

Note: This field is automatically derived from the EPA master geospatial

database from the user-provided location (Latitude/Longitude or UTM). If it is provided by the user, it will be compared to the derived value, and a warning will be issued if the values do not match, but the transaction will be processed without error. (The derived value will be stored in the

database.)

Coding Instructions: Place a valid FIPS city code value in the nineteenth delimited field. A city

code value is valid if it exists in combination with state code and

County/Tribal Code in the County Cities Table. If the site is not within a

city, leave this field blank or use code 00000.

Business Rules

Common Rules:

1. (State Code, County Code, City Code) must be in COUNTY CITIES table.

Error Messages:

- Invalid City Code for county
- 2. COUNTY_CITIES (CN_STT_STATE_CODE, CN_COUNTY_CODE, CI_CITY_CODE) must be at production status.

Error Messages:

• Inactive City Code for county.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.1.19 Urban Area Code

Description: The urbanized area within which the monitoring site is located. An

urbanized area is a U.S. Census Bureau demographic entity that comprises a place and the adjacent densely-settled surrounding territory that together

have a minimum population of 50,000 people.

Attributes: Alphanumeric

4-digit code Optional

Note: This field is automatically derived from the EPA master geospatial

database from the user-provided location (Latitude/Longitude or UTM). If it is provided by the user, it will be compared to the derived value, and a warning will be issued if the values do not match, but the transaction will be processed without error. (The derived value will be stored in the

database.)

Coding Instructions: Place a valid urban area code value in the twentieth delimited field. An

urban area code value is valid if it exists in combination with state code in the State Urbanized Areas Table. If the monitoring site is not within an

urbanized area, then leave this field blank or use code 0000.

Business Rules:

Common Rules:

- 1. (State Code, Urban Area Code) must be in STATE_URBANIZED_AREAS table **Error Messages:**
 - Invalid Urban Area Code for state
- 2. STATE_URBANIZED_AREAS (STT_STATE_CODE, UA_UAR_CODE) must be at production status.

Error Messages:

• Inactive Urban Area Code for state.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None

3.1.20 AQCR

Description: Specifies in which of the 247 Air Quality Control Regions (AQCRs) the

monitoring site is located.

Attributes: Alphanumeric

3-digit code Optional

Coding Instructions: To insert or update AQCR, place a valid AQCR code in the twenty-first

delimited field. A valid AQCR code is one that, in combination with state

code and County/Tribal Code, exists in the AQCR Counties Table.

Business Rules:

Common Rules:

1. (AQCR, State Code, County Code) must be in AQCR COUNTIES table.

Error Messages:

- Invalid AQCR code for county
- 2. AQCR_COUNTIES (AQ_AQCR_CODE, CN_STT_STATE_CODE, CN_COUNTY_CODE) must be at production status.

Error Messages:

• Inactive AQCR code for county

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.1.21 Land Use Type

Description: Categorization of the prevalent land use within 1/4 mile of the monitoring

site.

Attributes: Alphanumeric

Up to 20 characters Mandatory (for Insert)

Coding Instructions: Place a valid land use type term in the twenty-second delimited field. A

land use term is valid if it exists in the Land Use Types Table.

Example land use types include:

AGRICULTURAL BLIGHTED AREAS COMMERCIAL DESERT FOREST INDUSTRIAL

MILITARY RESERVATION

MOBILE RESIDENTIAL

Business Rules:

Common Rules:

- 1. Land Use Type must be in LAND USE TYPES table.
 - **Error Messages:**
 - Invalid Land Use Type
- 2. LAND_USE_TYPES (LAND_USE_TYPE) must be at production status

Error Messages:

• Inactive Land Use Type

<u>Insert Rules</u>

None.

Update Rules

None

Delete Rules

None

3.1.22 Location Setting

Description: A description of the environmental setting within which the site is located.

Attributes: Alphanumeric

Up to 50 characters Mandatory (for Insert)

Coding Instructions: Place a valid location setting term in the twenty-third delimited field. A

location setting term is valid if it exists in the Location Settings Table.

Example location settings include:

RURAL SUBURBAN

URBAN AND CENTER CITY

Business Rules:

Common Rules:

1. Location Setting must be in LOCATION SETTINGS table.

Error Messages:

Invalid Location Setting

2. LOCATION_SETTINGS (LOCATION_SETTING) must be at production status.

Error Messages:

• Inactive Location Setting.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

3.1.23 Date Site Established

Description: The date on which an air monitoring site began collecting air quality data.

Attributes: Date

8-digit date

Mandatory (for Insert)

Coding Instructions: Place a date, using the format YYYYMMDD, in the twenty-fourth

delimited field. The date must be between January 1, 1957 and a year

after the current date

Business Rules:

Common Rules:

1. Date Site Established must be between 1/1/1957 and one year from the current date.

Error Messages:

• The Site Established Date is not within the valid date range.

Insert Rules

1. Date Site Established is mandatory.

Error Messages:

• Site Established Date is required.

Update Rules

1. Date Site Established may not be nullified.

Error Messages:

• Site Established Date is required.

Delete Rules

None

3.1.24 Date Site Terminated

Description: The date on which a monitoring site ceased to operate.

Attributes: Date

8-digit date Optional

Coding Instructions: Place a date, using the format YYYYMMDD, in the twenty-fifth delimited

field. The date must be between January 1, 1957 and one year from the current date, and must be greater than date site established. All monitors at a site must have a date sampling ended before a site can be terminated.

Business Rules:

Common Rules:

1. Date Site Terminated must be between Date Site Established and one year from the current date.

Error Messages:

- The Site Terminated Date is not within the valid date range.
- 2. Date Site Terminated may be valued only if all the sample periods for the site's monitors are closed, I.e., have end dates.

Error Messages:

• All monitors must be closed to terminate a site. Open monitors: (List of open monitor Ids)

Insert Rules

None

Update Rules

None

Delete Rules

None

3.1.25 **ZIP Code**

Description: The U.S. Postal Service Zone Improvement Plan (ZIP) Code used to

address the monitoring site.

Attributes: Alphanumeric

5- or 9-digit code

Optional

Note: This field is automatically derived from the EPA master geospatial

database from the user-provided location (Latitude/Longitude or UTM). If it is provided by the user, it will be compared to the derived value, and a warning will be issued if the values do not match, but the transaction will

be processed without error. (The derived value will be stored in the

database.)

Coding Instructions: Place a valid 5-digit or 9-digit ZIP Code in the twenty-sixth delimited

field. A ZIP Code value is valid if it exists in combination with state code

and County/Tribal Code in the County ZIP Codes Table.

Business Rules:

Common Rules:

1. (State Code, County Code, Zip Code) must be in COUNTY_ZIP_CODES table.

Error Messages:

- Invalid ZIP Code for county
- 2. (State Code, County Code, Zip Code) must be at production status.

Error Messages:

• Inactive ZIP Code for county.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

3.1.26 Congressional District

Description: The Congressional district within which the site is located.

Attributes: Alphanumeric

2-digit code Optional

Note: This field is automatically derived from the EPA master geospatial

database from the user-provided location (Latitude/Longitude or UTM). If it is provided by the user, it will be compared to the derived value, and a warning will be issued if the values do not match, but the transaction will be processed without error. (The derived value will be stored in the

database.)

Coding Instructions: Place a valid Congressional district number in the twenty-seventh

delimited field. A Congressional district value is valid if it exists in combination with state code in the Congressional Districts Table. If there is only one Congressional district in a state (in which case the district is

not numbered), enter the value of 1.

Business Rules:

Common Rules:

- 1. (State Code, Congressional District) must be in CONGRESSIONAL_DISTRICTS table. **Error Messages:**
 - Invalid Congressional District Number for state
- 2. (State Code, Congressional District) must be at production status.

Error Messages:

• Inactive Congressional District Number for state.

Insert Rules

None

<u>Update Rules</u>

None.

Delete Rules

None.

3.1.27 Block

Description: The U.S. Census Bureau block within which the site is located.

Attributes: Alphanumeric

4-digit code Optional

Note: This field is automatically derived from the EPA master geospatial

database from the user-provided location (Latitude/Longitude or UTM). If it is provided by the user, it will be compared to the derived value, and a warning will be issued if the values do not match, but the transaction will be processed without error. (The derived value will be stored in the

database.)

Coding Instructions: Block information must be submitted in conjunction with block group and

census tract. Place a valid block number in the twenty-eighth delimited field. A block value is valid if it exists in combination with state code,

county code, and census tract in the Blocks Table.

Business Rules:

Common Rules:

1. (State Code, County Code, Census Tract, , Block) must be in BLOCKS table.

Error Messages:

- Invalid Census Block for county
- 2. (State Code, County Code, Census Tract, Block) must be at production status. **Error Messages:**

• Inactive Census Block for county.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.1.28 Block Group

Description: The U.S. Census Bureau block group within which the site is located.

Block group is simply the first digit of the Blcok. It will be ignored if

provided.

Attributes: Alphanumeric

1-digit code Ignored

Coding Instructions: Block group information may be submitted in conjunction with block and

census tract. Place a valid block group number in the twenty-ninth

delimited field.

3.1.29 Census Tract

Description: The U.S. Census Bureau census tract/block numbering area within which

the site is located.

Attributes: Alphanumeric

3- to 6-digit code

Optional

Note: This field is automatically derived from the EPA master geospatial

database from the user-provided location (Latitude/Longitude or UTM). If it is provided by the user, it will be compared to the derived value, and a warning will be issued if the values do not match, but the transaction will be processed without error. (The derived value will be stored in the

database.)

Coding Instructions: Census tract information must be submitted in conjunction with block.

Place a valid census tract code in the thirtieth delimited field. A census

tract value is valid if it exists in combination with state code,

County/Tribal Code, and block in the Blocks Table.

Business Rules:

Common Rules:

1. (State Code, County Code, Census Tract, Block) must be in BLOCKS table.

Error Messages:

- Invalid Census Tract for county
- 2. (State Code, County Code, Census Tract, Block) must be at production status.

Error Messages:

• Inactive Census Tract for county

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.1.30 Class I Area

Description: The Class One Area within which the site is located. A Class One Area is

a geographic area recognized by EPA as being of the highest environmental quality and requiring maximum protection.

Attributes: Alphanumeric

6-character code

Optional

Coding Instructions: Place a valid Class One Area code in the thirty-first delimited field. A

Class One Area code is valid if it exists in the Class One Areas Table.

Business Rules:

Common Rules:

1. Class 1 Area must be in CLASS ONE AREAS table.

Error Messages:

- Invalid Class One Area Code
- 2. CLASS ONE AREAS (CLASS 1 AREA CODE) must be at production status.

Error Messages:

• Inactive Class One Area Code

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

3.1.31 Local Region

Description: The state-specific geographic/administrative area within which the site is

located.

Attributes: Alphanumeric

1- to 2-digit code

Optional

Coding Instructions: Place a valid local region code in the thirty-second delimited field. A

local region code is valid if it exists in combination with state code in the

Local Regions Table.

Business Rules:

Common Rules:

1. (State Code, Local Region) must be in LOCAL REGIONS table.

Error Messages:

• Invalid Local Region for state

2. (State Code, Local Region) must be at production status.

Error Messages:

• Inactive Local Region for state

Insert Rules

None.

Update Rules

None

Delete Rules

None.

3.1.32 Local Site Name

Description: The locally defined name of the site.

Attributes: Alphanumeric

Up to 70 characters

Optional

Coding Instructions: Place a text description of the locally-defined site name in the thirty-third

delimited field. Any non-blank value is valid.

Business Rules:

Common Rules:

1. Local Site Name cannot be more than 70 characters.

Error Messages:

• PL/SQL: numeric or value error: character string buffer too small.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

3.1.33 HQ Evaluation Date

Description: The date on which the most recent headquarters (HQ) evaluation of the

site occurred.

Attributes: Date

8-digit date Optional

Coding Instructions: Place a date, in the format YYYYMMDD, in the thirty-fourth delimited

field. To be valid, HQ evaluation date must be between January 1, 1980 (when the National Air Monitoring Sites Regulations were published) and

the current date

Business Rules:

Common Rules:

1. Hq Evaluation Date must be between 6/1/1977 and Date Site Terminated, (or the current date, if Date Site Terminated is not valued.)

Error Messages:

- The headquarters evaluation date is not within the valid range.
- 2. User must have HQ application role to insert or update the HQ Evaluation Date.

Error Messages:

• Insufficient privileges to enter HQ_Eval_Date

Insert Rules

None.

Update Rules

None.

Delete Rules

None

3.1.34 EPA Region Evaluation Date

Description: The date on which the most recent EPA EPA Region Evaluation Date of

the site for siting criteria occurred.

Attributes: Date

8-digit date Optional

Coding Instructions: Place a date, in the format YYYYMMDD, in the thirty-fifth delimited

field. To be valid, regional evaluation date must be between January 1,

1980 (when the National Air Monitoring Sites Regulations were

published) and the current date.

Business Rules:

Common Rules:

1. EPA Region Evaluation Date must be between 6/1/1977 and Date Site Terminated, (or the current date, if Date Site Terminated is not valued.)

Error Messages:

- The regional evaluation date is not within the valid range.
- 2. User must have Regional_Admin application role to insert or update the HQ Evaluation Date.

Error Messages:

• Insufficient privileges to enter Regional_Eval_Date

Insert Rules

None.

Update Rules

None

Delete Rules

None.

3.1.35 Direction from Central Business District to Site

Description: A representation of the true, as opposed to magnetic, direction of the site

from the central business district. If the site is within the central business

district, it is a representation of the direction the probe faces.

Attributes: Alphanumeric

Up to 3-character code

Optional

Coding Instructions: Place a valid compass sector value in the thirty-sixth delimited field. A

compass sector value is valid if it exists in the Compass Sectors Table.

The 16 compass sector values are: E, ENE, ESE, N, NE, NNE, NNW, NW, S, SE, SSE, SSW, SW, W, WNW, WSW.

Business Rules:

Common Rules:

- 1. Compass Sector must be in Compass Sectors table
 - **Error Messages:**
 - Invalid direction from central business district
- 2. Compass Sector must be at production status.

Error Messages:

• Inactive direction from central business district.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.1.36 Distance from Central Business District to Site

Description: The distance, in kilometers, to the site from the center of the downtown

central business district of the city in which the site is located.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Optional

Coding Instructions: Place a numeric value greater than 0 and less than 1000 in the thirty-

seventh delimited field.

Business Rules:

Common Rules:

1. Distance to City must be between 0 AND 1000.

Error Messages:

• The City Distance Measurement must be a value between 0 and 1000.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.1.37 Meteorological Site Type

Description: The type of meteorological station identified for the monitoring site.

Required for sites with monitors in a Photochemical Assessment

Monitoring System (PAMS) network.

Attributes: Alphanumeric

Up to 20 characters

Optional

Coding Instructions: Place a valid type meteorological site in the thirty-eighth delimited field.

A type meteorological site value is valid if it exists in the Met Site Types

Table.

Example meteorological site types include:

ON-SITE MET Equip ON_SITE UA MET OTHER AIRS SITE

NWS AIRPORT OTHER

Business Rules:

Common Rules:

1. Type Meteorological Site must be in MET_SITE_TYPES table

Error Messages:

- Invalid Met Site Type
- 2. Type Meteorological Site must be at production status

Error Messages:

• Inactive Met Site Type.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.1.38 Meteorological Site ID

Description: The AQS Site ID where meteorological data is collected, if not collected

at this site.

Attributes: Alphanumeric

9-digit ID

Optional

Coding Instructions: Place a valid AQS Site ID in the thirty-ninth delimited field. The format

for AQS Site ID is a joining of a FIPS state code or tribal indicator (2 characters), a FIPS County/Tribal Code (3 numeric characters), and 4-digit Site ID. A meteorological Site ID value is valid if it represents an existing site (a row on the Sites Table), and type meteorological site is other AQS

site.

Business Rules:

Common Rules:

1. Meteorological Site ID must be in SITES table.

Error Messages:

- Met Site not in database
- 2. Met Site ID must be valued when Met Site Type is "OTHER AIRS SITE"; otherwise, it must not be valued.

Error Messages:

• Met Site must be defined for Met Site Type = 'OTHER AIRS SITE' and must be Null for all other Met Site Types..

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.1.39 Distance to Meteorological Site

Description: The distance of the associated meteorological site from the air quality

monitoring site, in meters. This information is required if the site has monitors that are part of a Photochemical Assessment Monitoring System

(PAMS) network. The associated site need not be an AQS site.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Optional

Coding Instructions: Place a valid numeric distance to meteorological site value in the fortieth

delimited field. The distance to meteorological site is valid if it is greater than 0 and type meteorological site is not on-site met equip and not on-site Upper Air meteorological site (UA met). A distance to meteorological site value may be nullified, if type meteorological site is nullified, on-site met

equip, or on-site UA met.

Business Rules:

Common Rules:

1. Distance to Meteorological Site must be valued and greater than 0 when Met Site Type is "OTHER AIRS SITE", "NWS", "AIRPORT", or "OTHER"; otherwise, it must not be valued.

Error Messages:

• Met Site Distance must be defined and greater than 0 for Types 'OTHER AIRS SITE', 'NWS', 'AIRPORT', 'OTHER' and must be Null for all other Types.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

3.1.40 Direction to Meteorological Site

Description: A representation of the true, as opposed to magnetic, direction of the

meteorological site from this site.

Attributes: Alphanumeric

Up to 3-character code

Optional

Coding Instructions: Place a valid direction to meteorological site value in the forty-first

delimited field. Valid directions are the sixteen standard compass sectors

(N, NNE, NE, ENE, etc.) A direction to met site value is valid if:

- it exists in the Compass Sectors Table and
- type meteorological site is not on-site met equip and
- not on-site Upper Air meteorological site (UA met).

A direction to met site value may be nullified, if:

- Type meteorological site is nullified,
- On-site met equip or
- On-site UA met.

Business Rules:

Common Rules:

1. Direction to Meteorological Site must be in COMPASS SECTORS table.

Error Messages:

- Invalid direction to Met Site
- 2. Direction to Meteorological Site must be at production status.

Error Messages:

- Inactive direction to Met Site.
- 3. Direction to Meteorological Site must be valued and greater than 0 when Met Site Type is "OTHER AIRS SITE", "NWS", "AIRPORT", or "OTHER"; otherwise, it must not be valued.

Error Messages:

• Invalid direction to Met Site.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

3.1.41 Local Site ID

Description: Identification code used by a State, Tribe or Local agency, if different

from the AQS Site ID.

Attributes: Alphanumeric

Up to 40 characters

Optional

Coding Instructions: Place an alphanumeric value in the forty-second delimited field. No edit

checks are performed.

Business Rules

There are no business rules for Local Site ID.

3.1.42 Vertical Collection Method

Description: The method used to determine the Locational Data Policy (LDP) vertical

measure. This data element is required by EPA LDP. More information regarding EPA's data standards and policies may be found on EPA's Environmental Data Registry (EDR) Website (http://www.epa.gov/edr/).

Attributes: Alphanumeric

3-digit code

Mandatory (for Insert)

Coding Instructions: Place a valid LDP vertical method code in the forty-third delimited field.

View vertical method code descriptions in the AQS Maintain or Correct forms, or via Discoverer, and choose the appropriate code. Example

Vertical Collection Method Codes are listed below:

<u>Code</u>	<u>Description</u>
001	GPS CARRIER PHASE STATIC RELATIVE POSITION
002	GPS CARRIER PHASE KINEMATIC RELATIVE POSITION
003	GPS CODE (PSEUDO RANGE) DIFFERENTIAL
004	GPS CODE (PSEUDO RANGE)PRECISE POSITION
005	GPS CODE (PSEUDO RANGE)STANDARD POSITION (SA OFF)
006	GPS CODE (PSEUDO RANGE)STANDARD POSITION (SA ON)
007	CLASSICAL SURVEYING TECHNIQUES
800	OTHER
009	ALTIMERY
010	PRECISE LEVELING-BENCH MARK
011	LEVELING-NON BENCH MARK CONTROL POINTS
012	TRIGONOMETRIC LEVELING
013	PHOTOGRAMMETRIC
014	TOPOGRAPHIC MAP INTERPOLATION
000	UNKNOWN

Business Rules:

Common Rules:

- 1. Vertical Collection Method must be in LDP_VERTICAL_METHODS table.
 - **Error Messages:**
 - Invalid LDP Vertical Method Code
- 2. Vertical Collection Method must be at production status.

Error Messages:

• Inactive LDP Vertical Method Code.

Insert Rules

1. Vertical Collection Method is mandatory.

Error Messages:

• Vertical Method Code is required.

Update Rules

1. Vertical Collection Method may not be nullified.

Error Messages:

• Vertical Method Code is required.

Delete Rules

None.

3.1.43 Vertical Datum

Description: The edition of North American Datum used as the basis for determining

the site coordinates. (The editions of North American Datum establish a network of monuments and reference points defining a mathematical surface from which geographic computations can be made.) This data element is required by EPA Locational Data Policy (LDP). More

information regarding EPA's data standards and policies may be found on

EPA's Environmental Data Registry (EDR) Website

(http://www.epa.gov/edr/).

Attributes: Alphanumeric

Up to 120 characters Mandatory (for Insert)

Coding Instructions: Place a valid LDP vertical datum value in the forty-fourth delimited field.

A value is valid if it is in the LDP Vertical Data Table. Examples of the

Example vertical datum values are listed below:

LDP Vertical Datum

NAVD88 NGVD29

MEAN SEA-LEVEL LOCAL TIDAL DATUM

Business Rules:

Common Rules:

1. Vertical Datum must be in LDP_VERTICAL_DATA table.

Error Messages:

- Invalid LDP Vertical Datum.
- 2. Vertical Datum references must be at production status.

Error Messages:

• Inactive DP Vertical Datum.

Insert Rules

1. Vertical Datum is mandatory.

Error Messages:

• Vertical Datum is required.

Update Rules

1. Vertical Datum may not be nullified.

Error Messages:

• Vertical Datum is required.

Delete Rules

None.

3.1.44 Vertical Accuracy

Description: Description of the accuracy of the Vertical Measure, reported in meters.

This data element is required by EPA Locational Data Policy (LDP). More information regarding EPA's data standards and policies may be

found on EPA's Environmental Data Registry (EDR) Website

(http://www.epa.gov/edr/).

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory (for Insert)

Coding Instructions: Place the LDP vertical accuracy value in the forty-fifth delimited field.

Business Rules:

Common Rules:

1. Vertical Accuracy must be greater than 0.

Error Messages:

• Invalid Vertical Accuracy Value.

Insert Rules

1. Vertical Accuracy is mandatory.

Error Messages:

• Vertical Accuracy is required.

Update Rules

Vertical Accuracy may not be nullified.

Error Messages:

• Vertical Accuracy is required.

Delete Rules

None.

3.2 Site Street Information - Transaction Type AB

See the AQS Input Transaction Formats at

http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of field requirements for each transaction format.

3.2.0 Transaction Level Rules and Errors

1. The Site Tangent Street cannot be deleted if a corresponding Monitor Tangent Street has been loaded.

Error Messages:

• Foreign Key (MTR TR ID) violated.

3.2.1 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code Mandatory

Coding Instructions: Place AB in the first delimited field.

Business Rules:

Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION_TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.2.2 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.2.3 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules:

1. State Code is mandatory.

Error Messages:

- State Code is required.
- 2. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

• Invalid Site ID.

3.2.4 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal_Areas

table.

County Code Business Rules

Common Rules:

1. County Code is required.

Error Messages:

- County Code is required.
- 2. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

Invalid Site ID.

Tribal Code Business Rules

Common Rules:

- 1. Tribal Code is required.
 - **Error Messages:**
 - Tribal Code is required.
- 2. (Tribal Code, Site ID) must be in the SITES table.

Error Messages:

• Invalid Site ID.

3.2.5 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules:

1. Site ID is mandatory.

Error Messages:

- Site ID is required.
- 2. Site ID must be exactly 4 digits.

Error Messages:

- Invalid Site ID length
- 3. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

- Invalid Site ID.
- 4. (Tribal Code, Site ID) must be in the SITES table.

Error Messages:

• Invalid Site ID.

3.2.6 Tangent Street Number

Description: Identifies the number of the street around the site for which the data are

being submitted. If street name, type road, traffic flow, year of traffic flow, or direction to street is valued, street number must be valued. Street number is used to associate detailed street information for the site to

streets closest to the monitors at this site.

Attributes: Numeric

2 digits (nn) Mandatory Key Field

Coding Instructions: Place a valid number in the sixth delimited field.

Business Rules:

Common Rules

1. Tangent Street Number is mandatory.

Error Messages:

- Tangent Street Number is mandatory.
- 2. Tangent Street Number must be greater than 0.

Error Messages:

• Tangent Street Number must be positive

Insert Rules

1. (State Code, County Code, Site ID, Tangent Street Number) must not already be in database.

Error Messages:

Unique constraint (AIRSRAQS.TR_SITETANRD1_UK) violated

Update Rules

1. (State Code, County Code, Site ID, Tangent Street Number) must be in database.

Error Messages:

• Tangent Street Number not in database

Delete Rules

1. (State Code, County Code, Site ID, Tangent Street Number) must be in database.

Error Messages:

• Tangent Street Number not in database

3.2.7 Street Name

Description: The name of the street closest to the monitoring site.

Attributes: Alphanumeric

Up to 50 characters Mandatory (for Insert)

Coding Instructions: Place the street name in the seventh delimited field.

Business Rules:

Common Rules

None.

Insert Rules

1. Street Name is required.

Error Messages

• Street Name is mandatory.

Update Rules

1. Street Name may not be nullified.

Error Messages

• Street Name is mandatory.

Delete Rules

None.

3.2.8 Road Type

Description: The type of road or street being described.

Attributes: Alphanumeric

Up to 20 characters Mandatory (For Insert)

Coding Instructions: Place a valid type road term in the eighth delimited field. A type road

value is valid if it exists on the Road Types Table.

Valid values include:

ARTERIAL
EXPRESSWAY
FREEWAY
LOCAL ST OR HY
MAJ ST OR HY
THRU ST OR HY

Business Rules:

Common Rules

- 1. Road Type must be in ROAD TYPES table.
 - **Error Messages:**
 - Integrity constraint (AIRSRAQS.TR RT FK) violated
- 2. ROAD_TYPES (ROAD_TYPE) must be at production status

Error Messages:

• Status for ROAD_TYPE is inactive

Insert Rules

1. Road Type is mandatory.

Error Messages:

• Road Type is mandatory.

Update Rules

1. Road Type may not be nullified.

Error Messages:

• Road Type is mandatory.

Delete Rules

None.

3.2.9 Traffic Count

Description: An estimate of the daily traffic volume on the roadway.

Attributes: Numeric

12 digits (nnnnnnnnnn)

Optional

Coding Instructions: Place a valid traffic count in the ninth delimited field. A traffic count

value is valid if it is greater than 0.

Business Rules:

Common Rules

1. Traffic Count must be greater than 0.

Error Messages:

• Traffic Count must be positive

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.2.10 Year of Traffic Count

Description: The year when the traffic count value was estimated.

Attributes: Date

4-digit year (yyyy)

Optional

Coding Instructions: Place a valid year of traffic count in the tenth delimited field. A year of

traffic count value is valid if it is greater than 1957 and less than or equal

to the current year.

Business Rules:

Common Rules

1. Year of Traffic Count must be greater than, or equal to, 1957.

Error Messages:

- A valid Daily Traffic Year is between 1957 and the current year
- 2. Year of Traffic Count cannot be valued if Traffic Count is not valued.

Error Messages:

- Daily Traffic Year must be blank when Daily Traffic Count is blank
- 3. Year of Traffic Count must be valued if Traffic Count is valued.

Error Messages:

• Daily Traffic Year is required when Daily Traffic Count is populated.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

3.2.11 Direction from Site to Street

Description: The direction from the site to the street at its nearest point.

Attributes: Alphanumeric

3-character code Mandatory (for Insert)

Coding Instructions: Place a valid direction to street in the eleventh delimited field. A direction

to street value is valid if exists on the Compass Sectors Table.

Business Rules:

Common Rules

1. Direction to Street must be in COMPASS SECTORS table.

Error Messages:

- Integrity constraint (AIRSRAQS.TR CS FK) violated.
- 2. COMPASS_SECTORS (COMPASS_SECTOR) must be at production status

Error Messages:

• Status for COMPASS_SECTOR is inactive.

Insert Rules

1. Direction to Street is mandatory.

Error Messages:

• Direction from Site to Street is mandatory.

Update Rules

1. Direction from Site to Street may not be nullified.

Error Messages:

• No update occurred. Probable use of non updateable field

Delete Rules

None.

3.2.12 Source of Traffic Count

Description: The method by which the traffic volume/flow count was obtained.

Attributes: Numeric

10 digits (nnnnnnnnn)

Optional

Coding Instructions: Enter a valid source of traffic count code in the twelfth delimited field. A

source of traffic count value is valid if it exists on the Traffic Volume

Sources Table.

Business Rules:

Common Rules

- 1. Source of Traffic Count must be in TRAFFIC_VOLUME_SOURCES table **Error Messages:**
 - Integrity constraint (AIRSRAQS.TR_TVS_FK) violated
- 2. TRAFFIC_VOLUME_SOURCES (TVS_ID) must be at production status. **Error Messages:**
 - Status for TVS_ID is inactive.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

3.3 Site Open Path Information - Transaction Type AC

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals.htm for a summary list of requirements for each transaction format.

3.3.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code Mandatory

Coding Instructions: Place AC in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

• Invalid transaction format.

2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None

3.3.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

- U Change one or more column values for an existing row in one or more tables.
- D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

3.3.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules:

1. State Code is mandatory.

Error Messages:

- State Code is required.
- 2. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

• Invalid Site ID.

3.3.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table.

County Code Business Rules

Common Rules:

1. County Code is required.

Error Messages:

- County Code is required.
- 2. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

• Invalid Site ID.

Tribal Code Business Rules

Common Rules:

1. Tribal Code is required.

Error Messages:

- Tribal Code is required.
- 2. (Tribal Code, Site ID) must be in the SITES table.

Error Messages:

• Invalid Site ID.

3.3.4 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes:

Alphanumeric 4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and delete, a Site ID value is valid if it exists in combination with state code and County or Tribal Code in the Sites Table.

Business Rules

Common Rules:

Site ID is mandatory. 1.

Error Messages:

- Site ID is required.
- 2. Site ID must be exactly 4 digits.

Error Messages:

- Invalid Site ID length
- (State Code, County Code, Site ID) must be in the SITES table. 3.

Error Messages:

- Invalid Site ID.
- 4. (Tribal Code, Site ID) must be in the SITES table.

Error Messages:

• Invalid Site ID.

3.3.5 Open Path Number

Description: A unique numeric identifier for the individual open path at a site.

Attributes: Numeric

2 digits (nn) Mandatory Key Field

Coding Instructions: Place a valid open path number in the sixth delimited field. An open path

number value is valid if it is between 1 and 99.

Business Rules:

Common Rules

1. Open Path Number must be greater than 0.

Error Messages:

• Open Path Number must be positive

Insert Rules

1. Open Path Number is mandatory

Error Messages:

- Open Path Number is mandatory.
- 2. Open Path Number cannot already be entered for the site.

Error Messages:

• Unique constraint (AIRSRAQS.TR SITEOPNPTH1 UK) violated

Update Rules

1. Requested Open Path Number not in database for Site.

Error Messages:

• Requested Open Path Number not in database

Delete Rules

1. Requested Open Path Number not in database for site.

Error Messages:

• Requested Open Path Number not in database

3.3.6 Direction from Receiver to Transmitter

Description: The direction from the receiver to the transmitter at the site.

Attributes: Alphanumeric

Up to 3-character code Mandatory (for Insert)

Coding Instructions: Place a valid direction to transmitter in the seventh delimited field. A

direction to transmitter value is valid if it exists on the Compass Sectors

Table.

Business Rules:

Common Rules

1. Direction to Transmitter must be in COMPASS SECTORS table.

Error Messages:

- Integrity constraint (AIRSRAQS.TR CS FK) violated.
- 2. COMPASS_SECTORS (COMPASS_SECTOR) must be at production status

Error Messages:

• Status for COMPASS SECTOR is inactive.

Insert Rules

1. Direction to Transmitter is mandatory.

Error Messages:

• Direction from Receiver to Transmitter is mandatory.

Update Rules

1. Direction from Receiver to Transmitter may not be nullified.

Error Messages:

• Direction from Receiver to Transmitter is mandatory.

Delete Rules

None.

3.3.7 Beam Length

Description: The length of the beam projected between the transmitter and the receiver

at the site, in meters.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory (for Insert)

Coding Instructions: Place a valid beam length in the eighth delimited field. A beam length

value is valid if it is greater than 0.

Business Rules:

Common Rules

1. Beam Length must be greater than 0.

Error Messages:

• Beam Length must be positive

Insert Rules

1. Beam Length is mandatory.

Error Messages:

• Beam Length is mandatory.

Update Rules

1. Beam Length may not be nullified.

Error Messages:

• Beam Length is mandatory.

Delete Rules

None.

3.3.8 Height of Transmitter

Description: The height of the transmitter above the ground, in meters.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory (for Insert)

Coding Instructions: Place a valid height of transmitter in the ninth delimited field. A height of

transmitter value is valid if it is greater than 0.

Business Rules:

Common Rules

1. Height of Transmitter must be greater than 0.

Error Messages:

• Height of Transmitter must be positive

Insert Rules

1. Height of Transmitter is mandatory.

Error Messages:

• Height of Transmitter is mandatory.

Update Rules

1. Height of Transmitter may not be nullified.

Error Messages:

• Height of Transmitter is mandatory.

Delete Rules

None.

3.3.9 Height of Receiver

Description: The height of the receiver above the ground, in meters.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory (for Insert)

Coding Instructions: Place a valid height of receiver in the tenth delimited field. A height of

receiver value is valid if it is greater than 0.

Business Rules:

Common Rules

1. Height of Receiver must be greater than 0.

Error Messages:

• Height of Receiver must be positive

Insert Rules

1. Height of Receiver is mandatory.

Error Messages:

• Height of Receiver is mandatory.

Update Rules

1. Height of Receiver may not be nullified.

Error Messages:

• Height of Receiver is mandatory.

Delete Rules

None.

3.3.10 Minimum Beam Height

Description: The height of the beam (at the lowest point from the ground) being

projected between the receiver and transmitter at the site, in meters.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory

Coding Instructions: Place a valid minimum height value in the eleventh delimited field. A

minimum height value is valid if it is greater than 0 and less than

maximum height.

Business Rules:

Common Rules

1. Minimum Height and Maximum height must be supplied together or not at all.

Error Messages:

- Minimum Beam Height is mandatory
- 2. If valued Minimum Height must be greater than 0.

Error Messages:

- Minimum Height must be positive
- 3. If valued Minimum Height must be less than Maximum Height.

Error Messages:

• Maximum Height must be greater than Minimum Height

Insert Rules

1. Minimum Beam Height is mandatory.

Error Messages:

• Minimum Beam Height is mandatory.

Update Rules

1. Minimum Beam Height may not be nullified.

Error Messages:

• Minimum Beam Height is mandatory.

Delete Rules

None.

3.3.11 Maximum Beam Height

Description: The height of the beam (at the highest point from the ground) being

projected between the receiver and transmitter at the site, in meters.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory (for Insert)

Coding Instructions: Place a valid Maximum height value in the twelfth delimited field. A

maximum height value is valid if it is greater than 0 and greater than

minimum height.

Business Rules:

Common Rules

1. Minimum Height and Maximum height must be supplied together or not at all.

Error Messages:

- Maximum Beam Height is mandatory
- 2. If valued Maximum Height must be greater than 0.

Error Messages:

- Maximum Height must be positive
- 3. If valued, Minimum Height must be less than Maximum Height,.

Error Messages:

• Maximum Height must be greater than Minimum Height

Insert Rules

1. Maximum Beam Height is mandatory.

Error Messages:

• Maximum Beam Height is mandatory.

Update Rules

1. Maximum Beam Height may not be nullified.

Error Messages:

Maximum Beam Height is mandatory.

Delete Rules

None.

3.3.12 Land Use Under Path

Description: The prevalent land use under the path of the beam being projected

between the receiver and transmitter at the site.

Attributes: Alphanumeric

Up to 20 characters Mandatory (for Insert)

Coding Instructions: Place a valid land use under path description in the thirteenth delimited

field. A land use under path value is valid if it exists on the Land Use

Types Table.

Valid land use under path include:

AGRICULTURAL BLIGHTED AREAS COMMERCIAL DESERT FOREST

MILITARY RESERVATION

MOBILE RESIDENTIAL

INDUSTRIAL

Business Rules:

Common Rules

1. Land Use Under Path must be in LAND USE TYPES table

Error Messages:

- Integrity constraint (AIRSRAQS.OP LUT FK) violated
- 2. LAND USE TYPES (LAND USE TYPE) must be at production status.

Error Messages:

• Status for LAND USE TYPE is inactive

Insert Rules

1. Land Use Under Path is mandatory

Error Messages:

• Land Use Under Path is mandatory.

Update Rules

1. Land Use Under Path may not be nullified.

Error Messages:

• Land Use Under Path is mandatory.

Delete Rules

None.

3.4 Site Comments (Online Update Only)

Description: Site comments is a free-format field that may be used in any way desired.

It is commonly used to describe special features of a site. Multiple lines can be created by incrementing the sequence number. Online update only.

Attributes: 68 characters

Optional

Coding Instructions: To insert or update, place the appropriate text in the comment fields using

the maintain screen.

4 Monitor Transactions (MA – MK)

This set of eleven transactions is used to update monitor information in the site file.

Type MA, Basic Monitor Information, performs data manipulation on the Monitors and Pollutant Area Monitors Tables, and contains the following fields:

Transaction Type Measurement Scale Spatial Average Indicator Action Indicator Schedule Exemption Open Path Number State Code or Tribal Probe Location Code Indicator Probe Height Community Monitoring Zone Indicator Probe Horizontal Distance Pollutant Area Code – 1 County Code or Tribal Code Probe Vertical Distance Pollutant Area Code – 2 Site ID Surrogate Indicator Pollutant Area Code – 3 Unrestricted Air Flow Indicator Pollutant Area Code – 4 Parameter Sample Residence Time Pollutant Area Code – 5 POC Worst Site Type Close Date Project Class **Dominant Source** Applicable NAAQS Indicator

Type MB, Monitor Sampling Periods, performs data manipulation on the Sample Periods Table, and contains the following fields:

Transaction Type County Code or Tribal Code Date Sampling Began
Action Indicator Site ID Date Sampling Ended
State Code or Tribal Parameter

Type MC, Monitor Type Information, performs data manipulation on the Monitor Type Assignments Table, and contains the following fields:

Transaction Type County Code or Tribal Code Monitor Type

POC

Action Indicator Site ID Monitor Type Begin Date
State Code or Tribal Parameter Monitor Type End Date

Indicator POC

Indicator

Type MD, Monitor Agency Role, performs data manipulation on the Agency Roles Table, and contains the following fields:

Transaction Type County Code or Tribal Code Agency Role Name
Action Indicator Site ID Agency Code

State Code or Tribal Parameter Agency Role Begin Date
Indicator POC Agency Role End Date

Type ME, Monitoring Objective Information, performs data manipulation on the Monitor Objectives Table, and contains the following fields:

Transaction Type County Code or Tribal Code Monitor Objective Type
Action Indicator Site ID Urban Area Represented
State Code or Tribal Parameter MSA Represented
Indicator POC CMSA Represented

Type MF, Monitor Sampling Schedule, performs data manipulation on the Req. Coll. Frequencies and Sample Schedules Tables, and contains the following fields:

Transaction Type POC

Action Indicator Required Collection Frequency Code
State Code or Tribal Indicator Required Collection Frequency Begin Date
County Code or Tribal Code Required Collection Frequency End Date

Site ID Monthly Required Collection Frequency Code January -

Parameter December (12 instances)

Type MG, Monitor Street Description, performs data manipulation on the Monitor Tangent Roads Table, and contains the following fields:

Transaction Type County Code or Tribal Code Tangent Street Number
Action Indicator Site ID Distance from Monitor to
State Code or Tribal Parameter Tangent Road

Indicator POC

Type MH, Monitor Obstruction Information, performs data manipulation on the Probe Obstructions Table, and contains the following fields:

Transaction Type County Code or Tribal Code Direction from Monitor to
Action Indicator Site ID Probe Obstruction
State Code or Tribal Parameter Distance from Monitor to
Indicator POC Probe Obstruction
Probe Obstruction Type Probe Obstruction Height

Type MI, Monitor Regulatory Compliance, performs data manipulation on the Regulation Compliances Table, and contains the following fields:

Transaction Type County Code or Tribal Code
Action Indicator Site ID Compliance Indicator
State Code or Tribal Parameter Compliance Date
Indicator POC

Type MJ, Monitor Collocation Period, performs data manipulation on the Monitor Collocations Table, and contains the following fields:

State Code or Tribal Parameter Distance from Primary Sampler Indicator POC Primary Sampler Indicator

Type MK, Monitor Protocol performs data manipulation on the Monitor Protocols Table, and contains the following fields:

Transaction Type Site ID Method Code

Action Indicator Parameter Collection Frequency Code

State Code or Tribal POC Composite Type

Indicator Monitor Protocol ID Alternate Method Detectable

County Code or Tribal Duration Code Limit

Code Reported Unit

Update transactions are used to change information for existing monitors. The values coded on an update transaction replace the values of the corresponding fields in the monitor related tables. If no value existed previously, the value from the transaction is inserted into the appropriate monitor related table row. Some fields are required, and cannot be deleted. They are identified in the coding instructions that follow, and in transaction formats.

4.0 Monitor Transactions - Data Completeness

To insert a new monitor, either its parent site must already exist in the database, or the parent site must be created at the same time as the site transactions in the batch file.

To create a new monitor:

- Transaction Types MA, MB, MC, MD, and ME are always required;
- Type MF is required for all PM10 and PM2.5 monitors;
- Type MF with a monthly schedule is required for random or seasonal frequencies;
- Type MI for criteria pollutant monitors;
- Type MH for monitors with Unrestricted Air Flow Indicator of "N' or "W".

An incomplete monitor will be set to "F" status. Once all required values are entered for a monitor it will be changed to "P" status. ("F" status monitors can only be created through batch loading of data. The Maintain monitor form will not allow a save of data until all required values are entered.) Once a monitor is at "P" status, its information cannot be updated such that the completeness rules are violated.

Business Rules:

1. A parent site must exist in the database before a monitor can be created.

Error Message:

• An associated Site record could not be found for this monitor.

2. A production monitor must have at least one sample period.

Error Message:

- To enter a Monitor record, you must also enter a Sampling Period record.
- 3. A production monitor must have monitor type assignments that cover all sample periods. **Error Message:**
 - Each day in a sample period must have a monitor type assignment.
- 4. A production monitor for a criteria pollutant must have reporting organization periods that cover all portions of sample periods up to 12/31/2006.

Error Message:

- Each day in a sample period before 1/1/2007 for a criteria pollutant must have a reporting organization.
- 5. A production monitor must have PQAO periods that cover all portions of sample periods since 1/1/2007.

Error Message:

- Each day in a sample period since 1/1/2007 must have a primary quality assurance organization.
- 6. A production monitor must have at least one monitor objective.

Error Message:

- To enter a Monitor record, you must also enter a Monitoring Objective record.
- 7. A production monitor for PM10 (81102) or PM2.5 (88101) must have required collection frequency periods that cover all portions of sample periods since 7/1/1987.

Error Message:

- Each day in a sample period since 7/1/1987 for a criteria PM monitor must have a required collection frequency.
- 8. A production monitor with seasonal or random required collection frequency periods must have a monthly schedule for those periods.

Error Message:

- Sample schedules are required when the required collection frequency is '8', '9', or 'S'.
- 9. A production monitor with an Unrestricted Air Flow Indicator of "N" or "W" requires at least one probe obstruction.

Error Message:

• You must have at least one active Probe Obstruction record.

4.1 Basic Monitor Information - Transaction Type MA

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. For criteria pollutant monitors, transaction types MA through ME are required.

4.1.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code Mandatory

Coding Instructions: Place MA in the first delimited field.

Business Rules:

Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION_TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

4.1.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None

Update Rules

None.

Delete Rules

None.

4.1.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules:

1. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

Invalid Site ID.

4.1.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table.

County Code Business Rules

Common Rules:

1. County Code is required.

Error Messages:

- County Code is required.
- 2. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

Invalid Site ID.

Tribal Code Business Rules

Common Rules:

1. Tribal Code is required.

Error Messages:

- Tribal Code is required.
- 2. (Tribal Code, Site ID) must be in the SITES table.

Error Messages:

• Invalid Site ID.

4.1.4 Site ID

Description: A numeric identifier (ID) that uniquely identifies each air monitoring site

within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area

and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules:

1. Site ID is mandatory.

Error Messages:

- Site ID is required.
- 2. Site ID must be exactly 4 digits.

Error Messages:

- Invalid Site ID length
- 3. (State Code, County Code, Site ID) must be in the SITES table.

Error Messages:

- Invalid Site ID.
- 4. (Tribal Code, Site ID) must be in the SITES table.

Error Messages:

• Invalid Site ID.

4.1.5 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists on the Parameters Table when Inserting a new monitor; or in combination with state code, County/Tribal Code, Site ID, and POC in the Monitors Table for Updating or Deleting an existing

monitor.

Business Rules

Common Rules

1. Parameter Code is Mandatory.

Error Messages:

- Parameter Code is mandatory.
- 2. Parameter Code must be in PARAMETERS table.

Error Messages:

- Integrity constraint (AIRSRAQS.MO_PA_FK) violated
- 3. PARAMETERS (PARAMETER CODE) must be at production status.

Error Messages:

• 1. Status for PARAMETER CODE is inactive.

Insert Rules

1. The Monitor ID, (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC), cannot be already in the MONITORS table in the database.

Error Messages:

• Unique constraint (AIRSRAQS.MO MON UK) violated.

<u>Update Rules</u>

1. The Monitor ID, (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC), must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Delete Rules

1. The Monitor ID, (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC), must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

4.1.6 POC (Parameter Occurrence Code)

Description:

An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes:

Numeric 2-digit ID Mandatory Key Field

Coding Instructions:

Place a valid POC value in the seventh delimited field. For Insert, a POC value is valid if it is a one or 2 digit value from 1 to 99, and has not been used for the site and parameter previously. For Update and Delete, a POC value is valid if it exists in combination with state code, County/Tribal Code, Site ID, and parameter on the Monitors Table.

Business Rules

Common Rules

1. POC is Mandatory.

Error Messages:

- POC is mandatory.
- 2. POC must be a number greater than 0

Error Messages:

• POC must be positive

Insert Rules

1. The Monitor ID, (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC), cannot be already in the MONITORS table in the database.

Error Messages:

• Unique constraint (AIRSRAQS.MO_MON_UK) violated.

Update Rules

- 1. The Monitor ID, (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC), must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Delete Rules

- 1. The Monitor ID, (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC), must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

4.1.7 Project Class

Description: The type of sampling performed by the monitor.

Attributes: Alphanumeric

2-digit code Optional

Coding Instructions: Place a valid project class code in the eighth delimited field. A project

class value is valid if it exists in the Project Types Table.

Business Rules:

Common Rules

1. Project Class must be in PROJECT TYPES table.

Error Messages:

- Integrity constraint (AIRSRAQS.MO PTY FK) violated.
- 2. PROJECT_TYPES (PROJECT_TYPE_CODE) must be at production status.

Error Messages:

• Status for PROJECT TYPE CODE is inactive.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.1.8 Dominant Source

Description: The primary source of the pollutant being measured.

Attributes: Alphanumeric

Up to 20 characters

Optional

Coding Instructions: Place a valid dominant source term in the ninth delimited field. A

dominant source value is valid if it exists in the Dominant Sources Table.

Valid dominant sources include:

AREA MOBILE POINT

Business Rules:

Common Rules

1. Dominant Source must be in DOMINANT SOURCES table.

Error Messages:

- Integrity constraint (AIRSRAQS.MO DS FK) violated.
- 2. DOMINANT SOURCES (DOMINANT SOURCE) must be at production status.

Error Messages:

• Status for DOMINANT SOURCE is inactive.

Insert Rules

None

Update Rules

None.

Delete Rules

None.

4.1.9 Measurement Scale

Description: The geographic scope of the air quality measurements made by the

monitor. The implication is that the same measurement made elsewhere within the measurement scale would produce an equivalent result to that

produced at the monitoring site.

Attributes: Alphanumeric

Up to 20 characters

Optional

Coding Instruction: Place a valid measurement scale term in the tenth delimited field. A

measurement scale term is valid if it exists in the Measurement Scales

Table.

Valid measurement scales and descriptions include:

MICROSCALE 0 M TO 100 M MIDDLE SCALE 100 M TO 500 M NEIGHBORHOOD 500 M TO 4KM

REGIONAL SCALE 50 TO HUNDREDS KM URBAN SCALE 4 KM TO 50 KM

Business Rules:

Common Rules

1. Measurement Scale must be in MEASUREMENT SCALES table.

Error Messages:

- Integrity constraint (AIRSRAQS.MO MS FK) violated.
- 2. MEASUREMENT_SCALES (MEASUREMENT_SCALE) must be at production status. **Error Messages:**
 - Status for MEASUREMENT_SCALE is inactive.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

4.1.10 Open Path Number

Description: The specific open path number registered at the site that the monitoring

data and monitor represent. The number must be registered at the site

before it can be identified by the monitor.

Attributes: Numeric

2 digits (nn) Optional

Coding Instructions: Place a valid open path number in the eleventh delimited field. An open

path number value is valid if it exists in combination with state code, County/Tribal Code, and Site ID on the Open Paths Table. In other words, an open path must be defined for the site before it can be referenced from

the monitor.

Business Rules:

Common Rules

1. Open Path Number must be in OPEN PATHS table for the site.

Error Messages:

- Integrity constraint (AIRSRAQS.MO OP FK) violated.
- 2. Open Path Number for Site must be at production status.

Error Messages:

• Open Path is inactive.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.1.11 Probe Location Code

Description: The location of the sampling probe.

Attributes: Alphanumeric

Up to 20 characters

Optional

Coding Instructions: Place a valid probe location term in the twelfth delimited field. A probe

location term is valid if it exists in the Probe Locations Table.

Valid probe location codes include:

GROUND LEVEL SUPPORT

OTHER POLE

SIDE OF BUILDING TOP OF BUILDING

TOWER

Business Rules:

Common Rules

1. Probe Location Code must be in PROBE LOCATIONS table.

Error Messages:

- Integrity constraint (AIRSRAQS.MO PL FK) violated.
- 2. Probe Location Code (PROBE LOCATION) must be at production status.

Error Messages:

• Status for PROBE LOCATION is inactive.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.1.12 Probe Height

Description: The height of the sampling probe from the ground in meters.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Optional.

Required on insert Optional on update May not be deleted

Coding Instructions: Place a valid probe height value in the thirteenth delimited field. A probe

height value is valid if it is greater than 0.

Business Rules:

Common Rules

1. Probe Height must be greater than 0.

Error Messages:

• Probe Height must be positive

Insert Rules

None

Update Rules

None.

Delete Rules

None.

4.1.13 Probe Horizontal Distance

Description: The horizontal distance, in meters, of the probe from its supports.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Conditionally required.

Coding Instructions: Place a valid probe horizontal distance value in the fourteenth delimited

field. A horizontal distance value is valid if it is greater than 0. A value of 0 or greater is required if the Probe Vertical Distance is greater than 0.

This is a required field for NAMS or PAMS monitors.

Business Rules:

Common Rules

1. Horizontal Distance or Vertical Distance, if provided, must be greater than 0, and the

other must be at least 0.

Error Messages:

• Horizontal Distance or Vertical Distance must be greater than 0, and the other must be at least 0

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.1.14 Probe Vertical Distance

Description: The vertical distance, in meters, of the probe from its supports.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Optional

Coding Instructions: Place a valid probe vertical distance value in the fifteenth delimited field.

A horizontal distance value is valid if it is greater than 0. A value of 0 or greater is required if the Probe Horizontal Distance is greater than 0.

Business Rules:

Common Rules

1. Horizontal Distance or Vertical Distance must be greater than 0, and the other must be at least 0

Error Messages:

• Horizontal Distance or Vertical Distance must be greater than 0, and the other must be at least 0

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.1.15 Surrogate Indicator

Description: Indicates whether a Total Suspended Particulate (TSP) monitor serves as a

surrogate monitor for PM-10.

Attributes: 1-character code: Y or N

Optional: (Required for TSP insert. Prohibited if not TSP)

Coding Instructions: If parameter is 11101 and the monitor is a surrogate for PM-10, then place

the value Y in the sixteenth delimited field.

If parameter is not 11101, or parameter is 11101, but the monitor is not a surrogate for PM-10, place nothing or N in the sixteenth delimited field.

Business Rules:

Common Rules

1. Surrogate Indicator may only be valued when Parameter is equal to '11101', which is the code for TSP.

Error Messages:

- Surrogate Indicator is required for TSP (11101) and invalid for all other parameters
- 2. Surrogate Indicator must be 'Y' or 'N'.

Error Messages:

• Value for Surrogate Flag Indicator must be one of the following: Y, N

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

4.1.16 Unrestricted Air Flow Indicator

Description: Indication of whether the flow of air to the monitor is restricted.

Attributes: Alphanumeric

1-character code: Y, N, or W

Optional

Coding Instructions: Place a valid unrestricted air flow indicator in the seventeenth delimited

field. An unrestricted air flow indicator value is valid if it is Y (for yes), N (for no), or W (for waiver). If the value entered is N, transaction MH is

required to enter monitor obstruction information.

Business Rules:

Common Rules

1. Unrestricted Air Flow Indicator must be 'Y', 'N', or 'W'.

Error Messages:

• Value for Unrestricted Air Flow Indicator must be one of the following: Y, N, W

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

4.1.17 Sample Residence Time

Description: The time in seconds for the sample to move from the probe inlet to the

monitor.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Optional

Coding Instructions: Place a valid sample residence time in the eighteenth delimited field. A

sample residence time value is valid if it is greater than 0.

Business Rules:

Common Rules

1. Sample residence time value must be numeric.

Error Messages:

- 1. Unable to insert Monitor into database.
- invalid number

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.1.18 Worst Site Type

Description: Within a particular monitoring area, those monitors with the highest PM-

10 concentrations must have their worst site type set to "1", and are expected to monitor at the recommended collection frequency. Other monitors must be classified as either: "2", not worst site monitors; or "3", monitoring on an accelerated schedule, but not at the recommended

collection frequency.

Attributes: Alphanumeric

1-digit code

Optional: (Required for PM-10 insert, Prohibited if not PM-10)

Coding Instructions: Place a valid worst site type code in the nineteenth delimited field.

If either Pollutant Area Code 1, Pollutant Area Code 2, Pollutant Area Code 3, Pollutant Area Code 4, Or Pollutant Area Code 5 are populated with a monitoring area code, then worst site type is required.

For an insert, a worst site type value is valid if:

- it exists in the Worst Site Types Table AND
- at least one of the Pollutant Area Code fields (1,2,3,4 or 5) is populated with a monitoring area code.

For an update, a worst site type value is valid if:

- it exists in the Worst Site Types Table AND
 - at least one of the Pollutant Area Code fields (1,2,3,4 or 5) is populated with a monitoring area code, OR
 - there is an existing monitoring area code for the monitor on the Pollutant Area Monitors Table.

Business Rules:

Common Rules

- 1. Worst Site Type must be valued when Pollutant Area Code is a Monitoring Area. **Error Messages:**
 - Worst Site Type is required for a Monitoring Area

- 2. Worst Site Type may not be valued when Pollutant Area Code is not a Monitoring Area **Error Messages:**
 - Worst Site Type is invalid for a Monitor Planning Area
 - Worst Site Type is invalid for a Status Area
- 3. Worst Site Type must be in WORST SITE TYPES table.

Error Messages:

- Integrity constraint (AIRSRAQS.PAM WST FK) violated.
- 4. WORST_SITE_TYPES (WORST_SITE_TYPE) must be at production status. **Error Messages:**
 - Status for WORST SITE TYPE is inactive.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

4.1.19 Applicable NAAQS Indicator

Description: The applicable NAAQS (National Ambient Air Quality Standards)

indicator determines whether the data from a monitor in a monitor planning area should be compared to either the short-term or annual

NAAQS, or both.

Attributes: Alphanumeric

1-character code

Optional

Coding Instructions: Place a valid applicable NAAQS indicator in the twentieth delimited field.

An applicable NAAQS indicator value is valid if it is S (for short-term), A (for annual), or B (for both), and one of the following is populated with a monitor planning area code: Pollutant Area Code 1, Pollutant Area Code 2, Pollutant Area Code 3, Pollutant Area Code 4, or Pollutant Area Code

5.

Business Rules:

Common Rules

1. Applicable NAAQS Indicator must be valued when Pollutant Area Code is a Monitor Planning Area.

Error Messages:

• Applicable NAAQS Indicator is required for a Monitor Planning Area

2. Applicable NAAQS Indicator may not be valued when Pollutant Area Code is not a Monitor Planning Area.

Error Messages:

- Applicable NAAQS Indicator is invalid for a Monitoring Area
- Applicable NAAQS Indicator is invalid for a Status Area
- 3. Applicable NAAQS Indicator must be 'S', 'A', or 'B'.

Error Messages:

• Value for Applicable NAAQS Indicator must be one of the following: S, A, B

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.1.20 Spatial Average Indicator

Description: Indicates whether spatial averaging is to be performed for the individual

annual weighted means for sites that are flagged and in the same

community monitoring zone.

Attributes: Alphanumeric

1-character code

Optional

Coding Instructions: Place a valid spatial average indicator in the twenty-first delimited field.

A spatial average indicator value is valid if:

- It is Y (for yes), or N (for no), AND
- One of the following is populated with a monitor planning area code: Pollutant Area Code 1, Pollutant Area Code 2, Pollutant Area Code 3, Pollutant Area Code 4, or Pollutant Area Code 5, AND
- Community monitoring zone is assigned a value.

Business Rules:

Common Rules

1. Spatial Average Indicator must be valued when Pollutant Area Code is a Monitor Planning Area.

Error Messages:

• Spatial Average Indicator is required for a Monitor Planning Area

2. Spatial Average Indicator may not be valued when Pollutant Area Code is not a Monitor Planning Area.

Error Messages:

- Spatial Average Indicator is invalid for a Monitoring Area
- Spatial Average Indicator is invalid for a Status Area
- 1. Spatial Average Indicator must be 'Y' or 'N'.

Error Messages:

• Value for Spatial Average Indicator must be one of the following: Y, N

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.1.21 Schedule Exemption Indicator

Description: Indicates whether the sampling schedule differs from that required by the

standard by approval of the Regional Administrator.

Attributes: 1-character code: Y or N

Optional

Coding Instructions: Place a valid schedule exemption indicator in the twenty-second delimited

field. A schedule exemption indicator value is valid if it is Y (for yes) or N (for no), and one of the following is populated with a monitor planning area code: Pollutant Area Code 1, Pollutant Area Code 2, Pollutant Area

Code 3, Pollutant Area Code 4, Or Pollutant Area Code 5.

Business Rules:

Common Rules

1. Schedule Exemption Indicator must be valued when Pollutant Area Code is a Monitor Planning Area.

Error Messages:

- Schedule Exemption Indicator is required for a Monitor Planning Area
- 2. Schedule Exemption Indicator may not be valued when Pollutant Area Code is not a Monitor Planning Area

Error Messages:

- Schedule Exemption Indicator is invalid for a Monitoring Area
- Schedule Exemption Indicator is invalid for a Status Area.

3. Schedule Exemption Indicator must be 'Y' or 'N'.

Error Messages:

• Value for Schedule Exemption Indicator must be one of the following: Y, N

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.1.22 Community Monitoring Zone

Description: A sequential number assigned to an optional averaging area with an

established, defined boundary within a monitor planning area that has a

relatively uniform concentration of annual PM-2.5. Community

monitoring zones do not cross geographical lines.

Attributes: Numeric

4 digits (nnnn)

Optional

Coding Instructions: Place a valid community monitoring zone number in the twenty-third

delimited field. A community monitoring zone value is valid if one of the following is populated with a monitor planning area code: Pollutant Area Code 1, Pollutant Area Code 2, Pollutant Area Code 3, Pollutant Area

Code 4, Or Pollutant Area Code 5.

Business Rules:

Common Rules

1. Community Monitoring Zone must be valued when Pollutant Area Code is a Monitor Planning Area.

Error Messages:

- Community Monitoring Zone is required for a Monitor Planning Area.
- 2. Community Monitoring Zone may not be valued when Pollutant Area Code is not a Monitor Planning Area.

Error Messages:

- Community Monitoring Zone is invalid for a Monitoring Area.
- Community Monitoring Zone is invalid for a Status Area

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.1.23 Pollutant Area Code 1, 2, 3, 4, or 5

Description: Designation of pollutant areas to which the monitor is assigned. Using

these fields, up to five pollutant areas can be designated for an individual

monitor.

Pollutant areas are geographic areas defined by a program office in which a certain pollutant should be closely watched. Most are problem or non-attainment areas, but attainment areas requiring special attention may also be defined. Types of pollutant areas are status areas, monitoring areas,

and monitor planning areas.

Attributes: Alphanumeric

5-character code

Optional

Coding Instructions: The transaction format allows the designation of up to five pollutant areas.

The delimited fields allocated for these designations are positions 24-28. Assignments should be made in sequence, i.e., do not use position 25, if you have not yet used position 24. Only one Pollutant Area Code for each pollutant area type may be assigned to a single monitor. (It is valid to assign one monitoring area and one status area; it is not valid to assign

two monitoring areas.)

To insert, place a valid pollutant area code in the next available field (24-28). A Pollutant Area Code value is valid if it exists on the Pollutant Areas Table, and its pollutant area type is valid for the assigned value of

parameter.

Business Rules:

Common Rules

1. Pollutant Area Code must be in POLLUTANT AREAS table.

Error Messages:

- Integrity constraint (AIRSRAQS.PAM PAR FK) violated
- 2. (POLLUTANT_AREAS) POLLUTANT_AREA_CODE must be at production status. **Error Messages:**
 - POLLUTANT AREA CODE is inactive.

- 3. Pollutant Area Code must be valid for Parameter **Error Messages:**
 - Invalid PAM TYPE for parameter.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.1.24 Close Date

Description: User supplied date for closing/stopping all monitor activities. Supplying

this field will automatically end all monitor subordinates with the date

provided.

Please Note: Clearing this field (i.e. updating it to null) will not re-open any subordinate date ranges (such as Sample Period); that must be done

via a separate transaction.

Attributes: Date

8 digits in format YYYYMMDD.

Optional

Coding Instructions: Place a valid date string (YYYYMMDD) in the 29th

delimited field. A Close Date is valid if it is greater than all of the

monitor subordinate (e.g. Sampling Period) begin dates.

Business Rules:

Common Rules

1. Close Date must be in a valid date format...

Error Messages:

• Invalid Date Format.

Insert Rules

1. Close Date is not allowed when inserting a Monitor.

Error Messages:

• Close Date not allowed when inserting a Monitor.

Update Rules

1. Close Date must be less than the Site's termination date.

Error Messages:

• Close Date is greater than the site termination date.

2. Close Date must be greater than all Sample Period Begin Dates for the Monitor.

Error Messages:

- Close Date is less than a Sample Period Begin Date for the Monitor.
- 3. Close Date may only be set for a monitor at production status.

Error Messages:

• Monitor is not at production status.

Delete Rules

None.

4.2 Monitor Sampling Periods - Transaction Type MB

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. For criteria pollutant monitors, transaction types MA through ME are required.

Multiple sampling periods allow for definition of periodic monitoring. The edit restrictions prevent more than one sampling period from having a null value for Date Sampling Ended. The beginning of a sample period can not be changed with an update (U) transaction.

The fields described below are validated for insert (I) and update (U) transactions.

4.2.0 Transaction Level Rules and Errors

1. A sampling period cannot be deleted for a production monitor if that deletion would leave the monitor with no sample periods.

Error Messages:

- To enter a Monitor record, you must also enter a Sampling Period record.
- 2. A sampling period cannot be changed (updated or deleted) in a manner that would exclude existing raw data from that sample period.

Error Messages:

- Raw data exists outside of sample periods.
- 3. A sampling period cannot be changed (updated or deleted) in a manner that would exclude existing blanks data from that sample period.

Error Messages:

- Blank data exists outside of sample periods.
- 4. A sampling period cannot be changed (updated or deleted) in a manner that would exclude existing accuracy data from that sample period.

Error Messages:

- Accuracy data exists outside of sample periods.
- 5. A sampling period cannot be changed (updated or deleted) in a manner that would exclude existing precision data from that sample period.

Error Messages:

• Precision data exists outside of sample periods.

4.2.1 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code Mandatory

Coding Instructions: Place MB in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.2.2 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

4.2.3 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

Update Rules

None.

Delete Rules

Invalled State-County

4.2.4 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.2.5 Site ID

Description: A numeric identifier (ID) that uniquely identifies each air monitoring site

within a county or tribal area. There is no requirement that Site IDs be

assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.2.6 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

> 5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

Insert Rules

None

Update Rules

None.

Delete Rules

None.

4.2.7 POC (Parameter Occurrence Code)

Description: An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

> For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes: Numeric

2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is

valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors

Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.2.8 Date Sampling Began

Description: The date on which a distinct period of operations, i.e., collection of air

quality samples, began for the monitor.

Attributes: Date

8-digit date Mandatory Key Field

Coding Instructions: Place a valid date string in the eighth delimited field. A date sampling

began value is valid if it: is in the format of YYYYMMDD, does not fall within any other sample periods defined for the monitor, is greater than or equal to the date site established for the corresponding site, and is less than

or equal to the date site terminated for the corresponding site, if populated.

Business Rules:

Common Rules

1. Date Sampling Began is mandatory.

Error Messages:

• Sample Period Begin Date is required.

Insert Rules

1. Date Sampling Began must not fall within any other Date Sampling Began-Date Sampling Ended range for the same monitor.

Error Messages:

- Date cannot be within an existing date range
- 2. Date Sampling Begin must be greater than, or equal to, the site's established date, and less than, or equal to, the site's terminated date, if the site has been terminated, or one year from the current date, if the site hasn't been terminated.

Error Messages:

• Samp Beg must be between date Site estab '& term or 1yr from today.

Update Rules

None.

Delete Rules

None

4.2.9 Date Sampling Ended

Description: The date on which a distinct period of operations, i.e., collection of air

quality samples, stopped for the monitor.

Attributes: Date

8-digit date Optional

Coding Instructions: Place a valid date string in the ninth delimited field. A date sampling

ended value is valid if it: is in the format of YYYYMMDD, is greater than or equal to the specified date sampling began, does not fall within any other sample periods defined for the monitor, is greater than or equal to the date site established for the corresponding site, and is less than or equal to the date site terminated for the corresponding site, if populated.

Business Rules:

Common Rules

1. Date Sampling Ended must be greater than Date Sampling Began.

Error Messages:

• The end date must be greater than the start date

- 2. Date Sampling Ended must be less than, or equal to, the site's terminated date, if the site has been terminated, or one year from the current date, if the site hasn't been terminated. **Error Messages:**
 - Date Sampling Ended must be between date Site estab '& term or 1yr from today.
- 3. Date Sampling Ended must not fall within any other Date Sampling Began-Date Sampling Ended range for the same monitor.

Error Messages:

- Date cannot be within an existing date range
- 4. Date Sampling Ended must not exclude (orphan) any Raw, Precision, Accuracy, or Summary data that has been loaded for the monitor. (I.e. there cannot be any data loaded for the monitor after the Date Sampling Ended.)

Error Messages:

• Raw, precision, or accuracy data exist outside of sample periods

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.3 Monitor Type Information - Transaction Type MC

See the AQS Data Input Transaction Formats at

http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. For criteria pollutant monitors, transaction types MA through ME are required.

4.3.0 Transaction Level Rules and Errors

1. The monitor type assignment for a production monitor cannot be changed, (i.e., updated or deleted), in a manner that would leave any portion of that monitor's sample periods without a monitor type assignment.

Error Messages:

• Each day in a sample period must have a monitor type assignment..

The fields described below are validated for insert (I) and update (U) transactions.

4.3.1 Transaction Type

Description:

Specifies which batch transaction is being processed by the batch load software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place MC in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

4.3.2 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code

Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.3.3 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

Invalled State-County

4.3.4 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.3.5 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address.

Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.3.6 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors Table.

Business Rules

Common Rules

The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.3.7 POC (Parameter Occurrence Code)

Description: An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

> For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes: Numeric

2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is

valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors

Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.3.8 Monitor Type

Description: The monitor's administrative classification as determined by the purpose

for the monitor in the agency sampling strategy.

Attributes: Alphanumeric

20-character code

Mandatory Key Field

Coding Instructions: Place a valid monitor type term in the eighth delimited field. A monitor

type value is valid if it exists in the Monitor Types Table.

Valid monitor typevalues include:

IMPROVE INDEX SITE INDUSTRIAL NATTS NCORE

NON-EPA FEDERAL NON-REGULATORY

PAMS

PROPOSED NCORE QA COLLOCATED

SLAMS

SPECIAL PURPOSE

SUPPLMNTL SPECIATION TRENDS SPECIATION TRIBAL MONITORS UNOFFICIAL PAMS

Assignment of monitor types "NCORE" and "PAMS" is limited to EPA Headquarters and is done only after a complete review and approval is done for all site/monitor meta data.

Business Rules:

Common Rules

1. Monitor Type is mandatory.

Error Messages:

- Monitor Type is mandatory.
- 2. Monitor Type must be in MONITOR TYPES table.

Error Messages:

- Integrity constraint (AIRSRAQS.MTA_MT_FK) violated.
- 3. Monitor Type must be at production status.

Error Messages:

- Status for MONITOR TYPE is inactive.
- 4. User must have EPA_HQ application role to assign PAMS and NCORE monitor type.

Error Messages:

• Insufficient privileges for specified monitor type.

Insert Rules

1. The combination of Monitor Type and Monitor Type Begin Date must not already be in MONITOR TYPES table for monitor.

Error Messages:

• Unique constraint (AIRSRAQS.MTA_MONTYPASG1_UK) violated.

Update Rules

None.

Delete Rules

None.

4.3.9 Monitor Type Begin Date

Description: The date on which the monitor type assignment went into effect.

Attributes: Date

8-digit date Mandatory Key Field

Coding Instructions: Place a valid date string in the ninth delimited field. A monitor type begin date value is valid if it: is in the format of YYYYMMDD, the monitor type is National Air Monitoring System (NAMS) or State and Local Air Monitoring System (SLAMS) and is between January 1, 1980 and one year from the current date, the monitor type is not NAMS or SLAMS and is between January 1, 1957 and one year from the current date, does not fall within any other monitor type assignment periods defined for the monitor for the same monitor type, and falls within a sample period for the monitor.

Business Rules:

Common Rules

.Monitor Type Begin Date is mandatory. 1

Error Messages:

- Monitor Type Begin Date is required.
- 2. If Monitor Type is 'NAMS' or 'SLAMS', then Monitor Type Begin Date must greater than, or equal to, 1/1/1980.

Error Messages:

- The NAMS AND SLAMS monitor type begin date must be between a valid range > 01-JAN-1980.
- 3. Monitor Type Begin Date must be less than one year from the current date.

Error Messages:

- Begin Date must be within sampling period or today plus 1 year.
- 4. Monitor Type Begin Date must fall within a sampling period of the monitor.

Error Messages:

- Monitor type begin date not within valid sample period.
- 5. Monitor Type Begin Date must not fall within any other Monitor Type Begin Date-Monitor Type End Date range for the same monitor and type

Error Messages:

• Date cannot be within an existing date range

Insert Rules

None.

Update Rules

None

Delete Rules

None

4.3.10 Monitor Type End Date

Description: The date on which a monitor type assignment ends.

Attributes: Date

8-digit date Optional

Coding Instructions: Place a valid date string in the tenth delimited field. A monitor type end

date value is valid if it: is in the format of YYYYMMDD, is greater than the monitor type begin date, the monitor type is National Air Monitoring System (NAMS) or State and Local Air Monitoring System (SLAMS) and

is between January 1, 1980 and one year from the current date, the

monitor type is not NAMS or SLAMS and is between January 1, 1957 and one year from the current date, does not fall within any other monitor type assignment periods defined for the monitor for the same monitor type, and falls within a sample period for the monitor. Multiple monitor type

designations with a blank monitor type end date are valid. By definition, a NAMS is also a SLAMS, and a Photochemical Assessment Monitoring

System (PAMS) can also be a NAMS or SLAMS.

Business Rules:

Common Rules

- 1. Monitor Type End Date must be greater than, or equal to, Monitor Type Begin Date. **Error Messages:**
 - The end date must be greater than the start date.
- 2. Monitor Type End Date must fall within a valid sampling period.

Error Messages:

- End Date must be within sampling period or today plus 1 year
- 3. Monitor Type End Date must not fall within any other Monitor Type Begin Date-Monitor Type End Date range for the same monitor and type.

Error Messages:

- Date cannot be within an existing date range
- 4. Monitor Type End Date must not exclude (orphan) any Raw, Precision, Accuracy, or Summary data that has been loaded for the monitor. (I.e. There cannot be any data loaded for the monitor after the Monitor Type End Date.)

Error Messages:

• Monitor Type End Date will orphan data for monitor.

Insert Rules

None

Update Rules

4.4 Monitor Agency Role - Transaction Type MD

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. For criteria pollutant monitors, transaction types MA through ME are required.

4.4.0 Transaction Level Rules and Errors

1. A Reporting Organization assignment cannot be changed, (i.e., updated or deleted), in a manner that would exclude existing any Precision or Accuracy data, up to 12/31/2006, from that assignment's date range.

Error Messages:

- Raw, precision, or accuracy data exists outside of reporting organization periods
- 2. A Reporting Organization assignment for a production monitor cannot be changed, (i.e., updated or deleted), in a manner that leave any portion of that monitor's sample periods, up to 12/31/2006, without a Reporting Organization.

Error Messages:

- Each day in a sample period before 1/1/2007 for a criteria pollutant must have a reporting organization.
- 3. A PQAO assignment for a production monitor cannot be changed, (i.e., updated or deleted), in a manner that leave any portion of that monitor's sample periods since 1/1/2007 without a PQAO.

Error Messages:

- Each day in a sample period since 1/1/2007 must have a primary quality assurance organization.
- 3. A PQAO assignment cannot be created for any period preceding 1/1/2007.

Error Messages:

• The beginning date for a PQAO period must start no earlier than 1/1/2007.

The fields described below are validated for insert (I) and update (U) transactions.

4.4.1 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place MD in the first delimited field.

Business Rules:

Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION_TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.4.2 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code

Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.4.3 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.4.4 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.4.5 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in

respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.4.6 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code,

Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.4.7 POC (Parameter Occurrence Code)

Description:

An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes:

Numeric 2-digit ID

Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is

valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors

Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None

Delete Rules

None

4.4.8 Agency Role Name

Description: Classification of an agency's role in regard to the monitor.

Attributes: Alphanumeric

20 characters Mandatory Key Field

Coding Instructions: Place a valid agency role term in the eighth delimited field. A role name

value is valid if it exists in the Agency Roles Table with the role type of monitor. Multiple agency role names with the same value cannot be entered for the same period. If the agency changes for any role, the begin

and end dates are used to define the applicable time period.

Valid agency roles include:

REPORTING ANALYZING COLLECTING POAO

Business Rules:

Common Rules

1. Agency Role Name must be in ROLES table.

Error Messages:

• Integrity constraint (AIRSRAQS.AR1 ROL FK) violated.

2. ROLES (ROLE) must be at production status.

Error Messages:

- Status for ROLE is inactive.
- 3. Agency Role Name is mandatory.

Error Messages:

• Agency Role Name is mandatory.

Insert Rules

None

Update Rules

None.

Delete Rules

None.

4.4.9 Agency Code

Description: Identification of an agency responsible for performing a role for the

monitor.

Attributes: Alphanumeric

4-digit code Mandatory Key Field

Coding Instructions: To insert or update, place a valid agency code in the ninth delimited field.

An agency code value is valid if it exists in combination with the state

code value in the State Agencies Table.

Business Rules:

Common Rules

1. (State Code, Agency Code) must be in STATE AGENCIES table.

Error Messages:

- Integrity constraint (AIRSRAQS.AR1_SA_FK) violated.
- 2. STATE_AGENCIES (STT_STATE_CODE, AG_AGENCY_CODE) must be at production status.

Error Messages:

• Status for STT STATE CODE, AG AGENCY CODE is inactive

Insert Rules

1. Agency Code is mandatory.

Error Messages:

• Agency Code is mandatory.

Update Rules

1. Agency Code may not be nullified.

Error Messages:

• No update occurred. Probable use of non updateable field

Delete Rules

None.

4.4.10 Agency Role Begin Date

Description: The date on which the agency began performance of the role for the

monitor. For the role of reporting, it also indicates the date that precision

and accuracy data applies to the agency as reporting organization.

Attributes: Date

8-digit date Key Field Mandatory

Coding Instructions: Place a valid date string in the tenth delimited field. A begin date value is

valid if it: is in the format of YYYYMMDD, does not fall within any other agency role periods defined for the monitor for the same role name,

and falls within a sample period for the monitor.

Business Rules:

Common Rules

1. Agency Role Begin Date is mandatory.

Error Messages:

- Agency Role Begin Date is mandatory.
- 2. Agency Role Begin Date must fall within a valid sample period.

Error Messages:

• Agency Role Begin Date must fall within a valid sample period.

Insert Rules

1. Agency Role Begin Date must not fall within any other Begin Date-End Date range for the same monitor and role.

Error Messages:

• Date cannot be within an existing date range.

Update Rules

None.

Delete Rules

4.4.11 Agency Role End Date

Description: The date on which the agency ended a period of performance of the role

for the monitor. For the role of reporting, it also indicates the last date that

precision and accuracy data applies to the agency as reporting

organization.

Attributes: Date

8-digit date Mandatory

Coding Instructions: Place a valid date string in the tenth delimited field. An end date value is

valid if it: is in the format of YYYYMMDD, is greater than the begin date, does not fall within any other agency role periods defined for the monitor for the same role name, and falls within a sample period for the

monitor.

Business Rules:

Common Rules

1. Agency Role End Date may only be valued if Agency Role Begin Date is valued, and must be greater than, or equal to, Agency Role Begin Date.

Error Messages:

- Monitor Agency Role End Date must be greater than or equal to Begin Date.
- 2. Agency Role End Date must not fall within any other Begin Date-End Date range for the same monitor and role.

Error Messages:

- Date cannot be within an existing date range
- 3. End date must fall in a valid sampling period.

Error Messages:

- End date must fall in a valid sampling period.
- 4. Agency Role End Date must not exclude (orphan) any Raw, Composite, Blank, Precision, Accuracy, or Summary data that has been loaded for the monitor, (i.e., there cannot be any data loaded for the monitor after the End Date.)

Error Messages:

• Raw, precision, or accuracy data exists outside of reporting organization periods

<u>Insert Rules</u>

None.

Update Rules

None

Delete Rules

4.5 Monitoring Objective Information - Transaction Type ME

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. For criteria pollutant monitors, transaction types MA through ME are required.

The fields described below are validated for insert (I) and update (U) transactions.

4.5.0 Transaction Level Rules and Errors

1. An objective for a production monitor cannot be deleted if that would leave the monitor without at least one objective.

Error Messages:

• To enter a Monitor record, you must also enter a Monitoring Objective record.

4.5.1 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place ME in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

4.5.2 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

4.5.3 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.
 - **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.5.4 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None

Update Rules

None.

Delete Rules

None

4.5.5 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.5.6 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.5.7 POC (Parameter Occurrence Code)

Description: An identifier used to distinguish between multiple monitors at the same

site that are measuring the same parameter. For example, the first monitor

established to measure CO at a site could have a POC of 1. If an

additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes:

Numeric 2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors Table.

Business Rules

Common Rules

The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

Monitor ID not in database.

Insert Rules

None.

Update Rules

None

Delete Rules

4.5.8 Monitor Objective Type

Description: Identification of the reason for measuring air quality by the monitor.

Attributes: Alphanumeric

Up to 50 characters

Mandatory Key Field

Coding Instructions: Place a valid monitor objective term in the eighth delimited field. A

monitor objective value is valid if it exists in the Monitor Objective Types Table. Multiple monitor objectives, applying to one or more geographical

areas, may be specified.

Valid monitoring objective types include:

EXTREME DOWNWIND GENERAL/BACKGROUND HIGHEST CONCENTRATION MAX OZONE CONCENTRATION

MAX PRECURSOR EMISSIONS IMPACT

OTHER

POPULATION EXPOSURE
QUALITY ASSURANCE
REGIONAL TRANSPORT
SOURCE ORIENTED
UPWIND BACKGROUND
WELFARE RELATED IMPACTS

Business Rules:

Common Rules

1. Monitor Objective Type is required.

Error Messages:

- Monitor Objective Type is mandatory.
- 2. Monitor Objective Type must be in MONITOR OBJECTIVE TYPES table.

Error Messages:

- Integrity constraint (AIRSRAQS.MOB MOT FK) violated
- 3. . Monitor Objective Type must be at production status.

Error Messages:

• Status for MONITOR OBJ TYPE is inactive

Insert Rules

1. Monitor Objective Type must not already be in database for monitor.

Error Messages:

• Unique constraint (AIRSRAQS.MOB MONOBJ1 UK) violated.

Update Rules

None.

Delete Rules

None.

4.5.9 Urban Area Represented

Description: The urbanized area from which the concentrations originated (not the

location of the monitor).

Attributes: Alphanumeric

4-digit code Optional:

Conditionally Required Optional on update May not be deleted

Coding Instructions: It is required to designate the origin of concentrations that apply to a

monitor objective classification. One, and only one, of urban area represented, Metropolitan Statistical Area (MSA) represented, or

Consolidated Metropolitan Statistical Area (CMSA) represented may be used to indicate the designation. Place a valid urban area represented code in the ninth delimited field. An urban area represented value is valid if it

exists in the Urbanized Areas Table.

Business Rules:

Common Rules

1. Urban Area Represented must be valued if both MSA Represented and CMSA Represented are not valued, and it must not be valued if either of the other two are valued.

Error Messages:

- A value is required for ONLY one of the following: MSA, CMSA, or UAR
- 2. Urban Area Represented and MSA Represented and CMSA Represented may not be null.
 - **Error Messages:**
 - Urbanized Area, MSA, or CMSA must be specified.
- 3. Urban Area Represented must be in URBANIZED AREAS table.

Error Messages:

- Integrity constraint (AIRSRAQS.MOB UA FK) violated.
- 4. URBANIZED AREAS (UAR CODE) must be at production status.

Error Messages:

• Status for UAR CODE is inactive

Insert Rules

None.

Update Rules

Delete Rules

None.

4.5.10 MSA Represented

Description: The Metropolitan Statistical Area (MSA) from which the concentrations

originated, not the location of the monitor.

Attributes: Alphanumeric

4-digit code

Conditionally Required

Coding Instructions: It is required to designate the origin of concentrations that apply to a

monitor objective classification. One, and only one, of urban area represented, MSA represented, or CMSA represented may be used to indicate the designation. Place a valid MSA represented code in the tenth delimited field. An MSA represented value is valid if it exists in the

MSAs Table.

Business Rules:

Common Rules

1. MSA Represented must be valued if both Urban Area Represented and CMSA Represented are not valued, and it must not be valued if either of the other two are valued.

Error Messages:

- A value is required for ONLY one of the following: MSA, CMSA, or UAR
- 2. Urban Area Represented and MSA Represented and CMSA Represented may not be null. **Error Messages:**
 - Urbanized Area, MSA, or CMSA must be specified.
- 3. MSA Represented must be in MSAS table.

Error Messages:

- Integrity constraint (AIRSRAQS.MOB MSA FK) violated.
- 4. MSAS (MSA CODE) must be at production status.

Error Messages:

• Status for MSA CODE is inactive

Insert Rules

None.

Update Rules

None.

Delete Rules

4.5.11 CMSA Represented

Description: The Consolidated Metropolitan Statistical Area (CMSA) from which the

concentrations originated, not the location of the monitor.

Attributes: Alphanumeric

2-digit code

Conditionally Required

Coding Instructions: It is required to designate the origin of concentrations that apply to a

monitor objective classification. One, and only one, of urban area represented, MSA represented, or CMSA represented may be used to indicate the designation. Place a valid CMSA represented code in the eleventh delimited field. A CMSA represented value is valid if it exists in

the CMSA Table.

Business Rules:

Common Rules

1. CMSA Represented must be valued if both MSA Represented and Urban Area Represented are not valued, and it must not be valued if either of the other two are valued

Error Messages:

- A value is required for ONLY one of the following: MSA, CMSA, or UAR
- 2. Urban Area Represented and MSA Represented and CMSA Represented may not be null.

Error Messages:

- Urbanized Area, MSA, or CMSA must be specified.
- 3. CMSA Represented must be in CMSAS table.

Error Messages:

- Integrity constraint (AIRSRAQS.MOB CMSA FK) violated.
- 4. CMSAS (CMSA CODE) must be at production status.

Error Messages:

• Status for CMSA CODE is inactive.

Insert Rules

None

Update Rules

None.

Delete Rules

4.6 Monitor Sampling Schedule - Transaction Type MF

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format.

4.6.0 Transaction Level Rules and Messages

- 1. Monitor Sampling Schedule is required for PM10 and PM2.5 monitors.
 - **Error Messages:**
 - Each day in a sample period since 7/1/1987 for a criteria PM monitor must have a required collection frequency.
- 2. The Required Collection Frequency assignment for a production monitor cannot be changed, (i.e., updated or deleted), in a manner that would leave any portion of that monitor's sample periods since 7/1/1987 without a required collection frequency.
 - **Error Messages:**
 - Each day in a sample period since 7/1/1987 for a criteria PM monitor must have a required collection frequency.
- 3. A seasonal or random Required Collection Frequency assignment, (i.e., where RCF Code is "8", "9", or "S'), requires at least one Monthly Collection Frequency.
 - **Error Messages:**
 - Sample schedules are required when the required collection frequency is '8', '9', or 'S'.

The fields described below are validated for insert (I) and update (U) transactions.

4.6.1 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code Mandatory

Coding Instructions: Place MF in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

4.6.2 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code

Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.6.3 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

> 2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.6.4 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

A value that identifies a Tribal Area using a Bureau of Indian Tribal Code Description:

Affairs Tribal Code.

Attributes: Alphanumeric

> 3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas table.

Business Rules

Common Rules

- The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal 1. Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.6.5 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal

Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.6.6 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.6.7 POC (Parameter Occurrence Code)

Description:

An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes: Numeric

2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is

valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors

Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None

Update Rules

None.

Delete Rules

None.

4.6.8 Required Collection Frequency Code

Description: The required collection frequency (RCF) is mandatory for both

Photochemical Assessment Monitoring System (PAMS) regulations for

organic compounds and PM-2.5 or PM-10 monitors.

Attributes: Alphanumeric

1- or 2-character code

Mandatory for certain monitors, prohibited for others.

Key Field

Coding Instructions: Place a valid RCF code in the eighth delimited field. A RCF code value is

valid if it exists in the Collection Frequencies Table.

Valid collection frequency codes for PAMS include:

- A DAILY: 24 1 HR SAMPLES -PAMS
- B DAILY: 8 3 HR SAMPLES PAMS
- C DAILY: 1 3 HR SAMPLE PAMS
- D DAILY: 1 24 HR SAMPLE PAMS
- E DAILY: 4 6 HR SAMPLES PAMS
- F DAILY: 4 3 HR SAMPLES PAMS
- G EVERY 3RD DAY:24-1 HR PAMS
- H EVERY 3RD DAY:8-3 HOUR PAMS
- I EVERY 3RD DAY:1-3 HR PAMS
- J EVERY 3RD DAY:1-24 HR PAMS
- K EVERY 3RD DAY:4-6 HR PAMS L EVERY 3RD DAY:4-3 HR - PAMS
- M EVERY 6TH DAY:24-1 HR PAMS
- N EVERY 6TH DAY:8-3 HR PAMS
- O EVERY 6TH DAY:1-3 HR PAMS
- P EVERY 6TH DAY:1-24 HR PAMS
- Q EVERY 6TH DAY:4-3 HR PAMS

Valid collection frequency codes for PM monitors include:

- S SEASONAL
- T 5 OUT OF 7 DAYS (FOR PSI)
- 1 EVERY DAY

- 2 EVERY OTHER DAY
- 3 EVERY 3RD DAY
- 4 EVERY 4TH DAY
- 5 EVERY 5TH DAY
- 6 EVERY 6TH DAY
- 7 EVERY 12TH DAY
- 8 STRATIFIED RANDOM
- 9 RANDOM
- R EPISODIC SAMPLING
- 10 EVERY 24TH DAY

Business Rules:

Common Rules

- 1. Required Collection Frequency Code must be in COLLECTION_FREQUENCIES table.
 - **Error Messages:**
 - Integrity constraint (AIRSRAQS.RCF_CF_FK) violated.
- 2. COLLECTION_FREQUENCIES (COLL_FREQ_CODE) must be at production status. **Error Messages:**
 - Status for COLL FREQ CODE is inactive.
- 3. Required Collection Frequency Code must be '1', '3', '6', '7', or 'S' if Parameter is '88101'. **Error Messages:**
 - PM2.5 may only have required collection frequencies of 1, 3, 6, 7, or S.
- 4. Required Collection Frequency Code must be valid for the Parameter, e.g., a PAMS collection frequency may not be assigned to a PM monitor.

Error Messages:

• Invalid collection frequency for the parameter.

Insert Rules

1. Required Collection Frequency Code is required.

Error Messages:

• Required Collection Frequency Code is mandatory.

Update Rules

1. Required Collection Frequency Code may not be nullified.

Error Messages:

• No update occurred. Probable use of non updateable field

Delete Rules

None.

4.6.9 Required Collection Frequency Begin Date

Description: The date on which the required collection frequency (RCF) went into

effect.

Attributes: Date

8-digit date Mandatory: Key Field

Coding Instructions: Place a valid date string in the ninth delimited field. An RCF begin date

value is valid if it: is in the format of YYYYMMDD, is between July 1, 1987 and one year from the current date, does not fall within any other required collection frequency periods defined for the monitor, and falls within a sample period for the monitor. The RCF begin date cannot be

changed via update.

Business Rules:

Common Rules

1. Required Collection Frequency Begin Date is required.

Error Messages:

- Required Collection Frequency Begin Date is mandatory.
- 2. Required Collection Frequency Begin Date must be greater than, or equal to, July 1, 1987.

Error Messages:

- RCF Begin Date must be greater than, or equal to, July 1, 1987.
- 3. Required Collection Frequency Begin Date must fall within a sample period for the monitor .

Error Messages:

- RCF Begin Date must fall within a sample period for the monitor
- 4. Required Collection Frequency Begin Date must not be greater than one year from the current date.

Error Messages:

- Begin Date must be within sampling period or today plus 1 year
- 5. Required Collection Frequency Begin Date must not fall within any other RCF Begin Date-RCF End Date range for the same monitor (State Code, County Code, Site ID, Parameter, POC).

Error Messages:

• More than one RCF defined for this monitor-date.

Insert Rules

None

Update Rules

None

Delete Rules

None.

4.6.10 Required Collection Frequency End Date

Description: The date on which the required collection frequency (RCF) ended.

Attributes: Date

8-digit date Optional

Coding Instructions: Place a valid date string in the tenth delimited field. An RCF end date

value is valid if it: is in the format of YYYYMMDD, is greater than the RCF begin date, is between July 1, 1987 and one year from the current date, does not fall within any other required collection frequency periods defined for the monitor, and falls within a sample period for the monitor.

Business Rules:

Common Rules

1. RCF End Date must be greater than RCF Begin Date.

Error Messages:

- The End Date must be greater than the Begin Date.
- 2. RCF End Date must fall within a sample period for the monitor.

Error Messages:

- RCF End Date must fall within a sample period for the monitor
- 3. RCF End Date must not fall within any other RCF Begin Date-RCF End Date range for the same monitor.

Error Messages:

- More than one RCF defined for this monitor-date.
- 4. RCF End Date must not exclude (orphan) any Raw, Precision, Accuracy, or Summary data that has been loaded for the monitor . (I.e. There cannot be any data loaded for the monitor after the RCF End Date.)

Error Messages:

• Required Collection Frequency data cannot be updated. Raw Data exists that would be effected by this change.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.6.11 Monthly Required Collection Frequency - January to December (12 Instances)

Description: Specifies the collection frequency required within an indicated month for a

monitor's required collection frequency when that frequency is stratified random, random, or seasonal. Twelve slots are available, one for each

month in a year.

Attributes: Numeric

12 digits (nnnnnnnnnn)

Optional

Coding Instructions: The Monthly Required Collection Frequency is required when the

Required Collection Frequency code is 8, 9, or S (stratified random, random, or seasonal). The delimited field positions for collection

frequency are 11-22. Field positions correlate to month number offset by

10, i.e., field 11 is for month 1 (January), field 12 is for month 2 (February), etc. Place a valid collection frequency in the appropriate delimited field. A value is valid if it is a valid collection frequency code

for intermittent sampling (values 1, 2, 3, 4, 5, 6, or 7).

Business Rules:

Common Rules

1. Monthly Required Collection Frequency may only be valued if Required Collection Frequency Code is '8', '9', or 'S'.

Error Messages:

- The RCF for the Monitor Must = 8, 9, or S to establish a sample schedule.
- 2. Monthly Required Collection Frequency must be in COLLECTION_FREQUENCIES table.

Error Messages:

- Integrity constraint (AIRSRAQS.SS_CF_FK) violated.
- 3. Monthly Required Collection Frequency must be at production status.

Error Messages:

- Status for COLL FREQ CODE is inactive.
- 4. Monthly Required Collection Frequency must be '1', '3', '6', or '7' if Parameter is '88101'. **Error Messages:**
 - PM2.5 may only have required collection frequencies of 1, 3, 6, 7, or S.
- 5. Monthly Required Collection Frequency Code must be valid for the Parameter, e.g., a PAMS collection frequency may not be assigned to a PM monitor.

Error Messages:

- Invalid collection frequency for the parameter.
- 6. Monthly Required Collection Frequency Code must have a daily interval, i.e., it must not be codes '8', '9', or 'S'.

Error Messages:

• Monthly collection frequency must have daily interval.

Insert Rules

None.

<u>Update Rules</u>

None

Delete Rules

None.

4.7 Monitor Tangent Roads - Transaction Type MG

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. For criteria pollutant monitors, transaction types MA through ME are required.

The monitor's relationship to nearby streets may be described by using the MG transaction. If either tangent street number or distance from monitor is valued, the other must also be valued. Similarly, one may not be deleted without also deleting the other.

The fields described below are validated for insert (I) and update (U) transactions.

4.7.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code Mandatory

Coding Instructions: Place MG in the first delimited field.

Business Rules:

Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.7.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.7.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

> 2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.7.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

> entity, such as parish or independent city. For foreign countries, it identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

A value that identifies a Tribal Area using a Bureau of Indian Tribal Code Description:

Affairs Tribal Code.

Attributes: Alphanumeric

> 3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas table.

Business Rules

Common Rules

- The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal 1. Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.7.4 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.7.5 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.7.6 POC (Parameter Occurrence Code)

Description:

An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes:

Numeric 2-digit ID Mandatory Key Field

Coding Instructions:

Place a valid POC value in the seventh delimited field. A POC value is valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors Table

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal

Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.7.7 Tangent Street Number

Description: Designation of a street number that exists for the site. Used to associate

information about the relationship between specific monitors at the site

and tangent roads at the site.

Attributes: Numeric

2 digits (nn) Mandatory Key Field

Coding Instructions: Place a valid tangent street number in the eighth delimited field. A tangent

street number is valid if it exists in combination with state code, County/Tribal Code, and Site ID on the Tangent Roads Table.

Business Rules:

Common Rules

1. Tangent Street Number is required.

Error Messages:

- Tangent Street Number is mandatory.
- 2. Tangent Street number must be in the TANGENT ROADS table for the site.

Error Messages:

• Integrity constraint (AIRSRAQS.MTR_TR_FK) violated.

Insert Rules

1. The Tangent Street Number must not already be in the database for the monitor.

Error Messages:

• Unique constraint (AIRSRAQS.MTR UK) violated.

Update Rules

None.

Delete Rules

None.

4.7.8 Distance from Monitor to Tangent Road

Description: The distance in meters between the sensing of air sampling equipment at a

monitoring site and the nearest edge of the roadway.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory

Coding Instructions: Place a valid distance from monitor value in the ninth delimited field. A

distance from monitor value is valid if it is greater than 0.

Business Rules:

Common Rules

1. Distance from monitor must be greater than 0.

Error Messages:

• Distance from monitor must be positive.

Insert Rules

1. Distance from Monitor to Tangent Road is mandatory.

Error Messages:

• Required Collection Frequency Begin Date is mandatory.

<u>Update Rules</u>

1. Distance from Monitor to Tangent Road may not be nullified.

Error Messages:

- Unable to Update or Delete requested Monitor Tangent Road.
- Invalid number

Delete Rules

None.

4.8 Monitor Obstruction Information - Transaction Type MH

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. For criteria pollutant monitors, transaction types MA through ME are required.

The type of obstruction for a monitor with restricted air flow is described by using the MH transaction. See Appendix XX for a description of the transaction formats, including those required to create monitors. For criteria pollutant monitors, transaction types MA through ME are required.

4.8.0 Transaction Level Rules and Errors

1. A Monitor Obstruction record may not be created if the unrestricted air flow indicator for the monitor (State Code, County Code, Site ID, Parameter, POC) is 'Y'.

Error Messages:

- Probe Obstruction information is not valid for the given Unrestricted Air Flow indicator.
- 2. A Monitor Obstruction record is required if the unrestricted air flow indicator for the monitor (State Code, County Code, Site ID, Parameter, POC) is 'N' or 'W'.

Error Messages:

• You must have at least one active Probe Obstruction record.

The fields described below are validated for insert (I) and update (U) transactions.

4.8.1 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place MH in the first delimited field.

Business Rules:

Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.8.2 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code

Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.8.3 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.8.4 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal_Areas

table

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.8.5 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business RulesCommon Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.8.6 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.8.7 POC (Parameter Occurrence Code)

Description: An identifier used to distinguish between multiple monitors at the same

site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes: Numeric

> 2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors Table

Business Rules

Common Rules

- The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.8.8 Probe Obstruction Type

Description: The type of obstruction responsible for the restricted air flow of a monitor.

Attributes: Alphanumeric

Up to 20 characters

Mandatory Key Field

Coding Instructions: Place a valid type obstruction term in the eighth delimited field. A type

obstruction value is valid if it exists in the Probe Obstruction Types Table.

Valid probe obstruction types include:

BUILDINGS CLIFFS OTHER RIDGES TREES/BRUSH

Business Rules:

Common Rules

1. Type of Obstruction is required.

Error Messages:

- Probe Obstruction Type is mandatory.
- 2. Type of Obstruction must be in PROBE OBSTRUCTION TYPES table.

Error Messages:

- Integrity constraint (AIRSRAQS.POB POT FK) violated.
- 3. Type of Obstruction must be at production status.

Error Messages:

• Status for PROBE OBSTR TYPE is inactive.

Insert Rules

1. The combination of Type of Obstruction and Direction from Monitor must not already exist in database for monitor.

Error Messages:

• Unique constraint (AIRSRAQS.POB_UK) violated

Update Rules

None.

Delete Rules

None.

4.8.9 Direction from Monitor to Probe Obstruction

Description: The direction from the monitor to the obstruction.

Attributes: Alphanumeric

3-character code Mandatory

Coding Instructions: Place a valid direction from monitor in the ninth delimited field. A

direction from monitor value is valid if it exists in the Compass Sectors

Table.

Business Rules:

Common Rules

1. Direction From Monitor is required.

Error Messages:

- Direction from Monitor to Probe Obstruction is mandatory.
- 2. Direction From Monitor must be in COMPASS SECTORS table.

Error Messages:

- Integrity constraint (AIRSRAQS.POB CS FK) violated.
- 3. Direction From Monitor must be at production status (in COMPASS_SECTORS).

Error Messages:

• Integrity constraint (AIRSRAQS.POB_CS_FK) violated

Insert Rules

1. The combination of Type of Obstruction and Direction From Monitor must not already exist in database for monitor.

Error Messages:

• Unique constraint (AIRSRAQS.POB_UK) violated

Update Rules

None

Delete Rules

None.

4.8.10 Distance from Monitor to Probe Obstruction

Description: The distance, in meters, between the probe and obstruction.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory

Coding Instructions: Place a valid distance to monitor value in the tenth delimited field. A

distance to monitor value is valid if it is greater than 0.

Business Rules:

Common Rules

1 Distance from Monitor to Probe Obstruction must be greater than 0.

Error Messages:

• Distance To Monitor must be positive.

Insert Rules

1. Distance from Monitor to Probe Obstruction is required.

Error Messages:

• Distance from Monitor to Probe Obstruction is mandatory.

<u>Update Rules</u>

1. Distance from Monitor to Probe Obstruction may not be nullified.

Error Messages:

- Unable to Update or Delete requested Probe Obstruction.
- 1. Missing expression

Delete Rules

None.

4.8.11 Probe Obstruction Height

Description: The height, in meters, of the top of the obstruction above the probe.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Mandatory

Coding Instructions: Place a valid height of obstruction value in the eleventh delimited field. A

height of obstruction value is valid if it is greater than 0.

Business Rules:

Common Rules

1. Probe Obstruction Height must be greater than 0.

Error Messages:

• Height of Obstruction must be positive.

Insert Rules

1. Probe Obstruction Height is required.

Error Messages:

• Probe Obstruction Height is mandatory.

<u>Update Rules</u>

1. Probe Obstruction Height may not be nullified

Error Messages:

• Unable to Update or Delete requested Probe Obstruction.

1. Missing expression

Delete Rules None.

4.9 Monitor Regulatory Compliance - Transaction Type MI

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. For criteria pollutant monitors, transaction types MA through ME are required.

The fields described below are validated for insert (I) and update (U) transactions.

4.9.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place MI in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION_TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

4.9.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.9.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.9.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

4.9.4 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code

Attributes:

Alphanumeric 4-digit ID

Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

- The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.9.5 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.9.6 POC (Parameter Occurrence Code)

Description: An identifier used to distinguish between multiple monitors at the same

site that are measuring the same parameter. For example, the first monitor

established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed

to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes:

Numeric 2-digit ID Mandatory Key Field

Coding Instructions:

Place a valid POC value in the seventh delimited field. A POC value is valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.9.7 Regulation Code

Description: Identification of an EPA regulation for which compliance documentation

is required.

Attributes: Alphanumeric

2-character code

Mandatory Key Field

Coding Instructions: Place a valid monitor regulation code in the eighth delimited field. A

monitor regulation code value is valid if it exists in combination with

parameter on the Parameter Regulations Table. Valid regulation codes and meanings include: QC Quality Assurance Criteria Met

RM Reference Method Used SC Siting Criteria Met

Business Rules:

Common Rules

1. Regulation Code is required.

Error Messages:

- Regulation Code is mandatory.
- 2. Regulation Code must be in REGULATIONS table.

Error Messages:

- Integrity constraint (AIRSRAQS.RC_RE_FK) violated.
- 3. Regulation Code must be at production status.

Error Messages:

• Status for REGULATION CODE is inactive.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.9.8 Compliance Indicator

Description: The compliance status of a monitor with respect to an EPA regulation.

Attributes: Alphanumeric

1-character code

Conditionally Required

Key Field

Coding Instructions: Place a compliance indicator in the ninth delimited field. A compliance

indicator value is valid for the following cases: monitor regulation code is RM or ST and compliance indicator is Y or N; monitor regulation code is SC and compliance indicator is Y, N, or W; monitor regulation code is QC and compliance indicator is Y, N, or C. Indicators: Y (in compliance with the regulation), N (not in compliance with the regulation), W (compliance has been waived), or C (in conditional compliance with the

regulation).

Business Rules:

Common Rules

1. Compliance Indicator may be: 'Y' or 'N' if Regulation Code is 'ST', 'RM', or 'FC.

Error Messages:

- The Compliance Indicator must be Y or N when the Regulation Code is ST, RM, or FC.
- 2. Compliance Indicator may be: 'Y', 'N', or 'W' if Regulation Code is 'SC'.

Error Messages:

- The Compliance Indicator must be Y, N, or W when the Regulation Code is SC.
- 3. Compliance Indicator may be 'Y', 'N', or 'C' if Regulation Code is 'QC'.

Error Messages:

• The Compliance Indicator must be Y, N, or C when the Regulation Code is QC.

Insert Rules

None

Update Rules

None.

Delete Rules

None.

4.9.9 Compliance Date

Description: The date on which the current status of the monitor's compliance with the

regulation was achieved.

Attributes: Date

8-digit date

Conditionally Required

Coding Instructions: Place a valid compliance date in the tenth delimited field. A compliance

date value is valid if it is in the form of YYYYMMDD and compliance

indicator is Y, W, or C.

Business Rules:

Common Rules

1. If Regulation Code is 'SC and Compliance Indicator is 'Y' or 'W' then Compliance Date must be between 1/1/1957 and one year from the current date.

Error Messages:

- The Compliance Date must be between 1/1/1957 and 1 year from the current date when the Regulation Code is SC and the Compliance Indicator is Y or W.
- 2. If Regulation Code is 'SC' and Compliance Indicator is 'N' then Compliance Date is not allowed.

Error Messages:

- The Compliance Date must be null when the Compliance Indicator is N and the Regulation Code is SC, QC, RM, or ST.
- 3. If Regulation Code is 'QC' and Compliance Indicator is 'Y' or 'C' then Compliance Date must be between 1/1/1957 and the current date.

Error Messages:

- Compliance Date must be between 1/1/1957 and the current date.
- 4. If Regulation Code is 'QC' and Compliance Indicator is 'N' then Compliance Date is not allowed.

Error Messages:

- The Compliance Date must be null when the Compliance Indicator is N and the Regulation Code is SC, QC, RM, or ST.
- 5. If Regulation Code is 'FC', then Compliance Date must be between 1/1/1957 and the current date.

Error Messages:

- The Compliance Date must be between 1/1/1957 and the current date when the Regulation Code is FC.
- 6. If Regulation Code is 'RM', and Compliance Indicator is 'Y' then Compliance Date must be between 1/1/1970 and the current date.

Error Messages:

- The Compliance Date must be between 1/1/1970 and the current date when the Regulation Code is RM and the Compliance Indicator is Y.
- 7. If Regulation Code is 'RM' and Compliance Indicator is 'N' then Compliance Date is not allowed.

Error Messages:

- The Compliance Date must be null when the Compliance Indicator is N and the Regulation Code is SC, QC, RM, or ST.
- 8. If Regulation Code is 'ST' and Compliance Indicator is 'Y' then Compliance Date must be between 7/1/1987 and one year from the current date.

Error Messages:

• The Compliance Date must be between 7/1/1987 and 1 year from the current date when the Regulation Code is ST and the Compliance Indicator is Y.

9. If Regulation Code is 'ST' and Compliance Indicator is 'N' then Compliance Date is not allowed.

Error Messages:

- The Compliance Date must be null when the Compliance Indicator is N and the Regulation Code is SC, QC, RM, or ST.
- 10. Compliance Date must fall within a sampling period of the monitor.

Error Messages:

• The compliance date is not within the valid range.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.10 Monitor Collocation Period - Transaction Type MJ

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. For criteria pollutant monitors, transaction types MA through ME are required.

Submission of this transaction is recommended for collocated monitors when the raw data for both monitors is submitted for storage. When the raw data is posted to production, the post process will also create precision records when the raw data falls within collocation period for the specific monitors.

The fields described below are validated for insert (I) and update (U) transactions.

4.10.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place MJ in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION_TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None

Update Rules

None.

Delete Rules

None.

4.10.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code

Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.10.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.10.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal_Areas

table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.10.4 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in

determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.10.5 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None

Update Rules

None.

Delete Rules

None.

4.10.6 POC (Parameter Occurrence Code)

Description:

An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes: Numeric

2-digit ID Mandatory Key Field Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is

valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors

Table.

Business Rules

Common Rules

The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal 1. Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.10.7 **Collocation Begin Date**

The beginning date of the time period during which a collocated monitor Description:

pair recorded precision and accuracy data. Used to determine data

completeness.

Attributes: Date

> 8-digit date Mandatory Key Field

Coding Instructions: Place a valid collocation begin date in the eighth delimited field. A

collocation begin date value is valid if:

- it is in the format of YYYYMMDD, primary monitor indicator is Y, and the collocation begin date does not fall within any other collocation period defined for the monitor, or
- primary monitor indicator is N, and collocation begin date falls within a primary monitor collocation period defined for a monitor of the same parameter at the same site.

Business Rules:

Common Rules

Collocation Begin Date is required.

Error Messages:

• The Collocation Begin Date is mandatory.

2. Collocation Begin Date must not fall within any other Collocation Begin Date-Collocation End Date range for the same monitor.

Error Messages:

- Date cannot be within an existing date range.
- 3. Collocation Begin Date must fall within a sampling period of the monitor.

Error Messages:

• The begin date must fall within an existing sampling period.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.10.8 **Collocation End Date**

Description: The ending date of the time period during which a collocated monitor pair

recorded precision and accuracy data. Used to determine data

completeness.

Attributes: Date

> 8-digit date **Optional**

Coding Instructions: Place a valid collocation end date in the ninth delimited field. A collocation end date value is valid if:

- it is in the format of YYYYMMDD and either primary monitor indicator is Y and the collocation end date does not fall within any other collocation period defined for the monitor, **OR**
- primary monitor indicator is N, and the collocation end date falls within a primary monitor collocation period defined for a monitor of the same parameter at the same site.

Business Rules:

Common Rules

1. Collocation End Date must be greater than Collocation Begin Date.

Error Messages:

- End Date must be greater than Begin Date.
- 2. Collocation End Date must not fall within any other Collocation Begin Date-Collocation End Date range for the same monitor.

Error Messages:

• Date cannot be within an existing date range.

3. Collocation End Date must fall within a sampling period of the monitor.

Error Messages:

• Collocation End Date must fall within a valid sample period.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.10.9 Distance from Primary Sampler

Description: The distance, in meters, between a duplicate sampler and the primary

sampler in a collocated pair.

Attributes: Numeric

10 digits, including 2 decimal places (nnnnnnn.nn)

Optional

Coding Instructions: Place a valid distance from primary sampler in the tenth delimited field.

Business Rules:

Common Rules

- 1. Distance from Primary Sampler is mandatory when Primary Sampler Indicator is "N".
 - **Error Messages:**
 - Distance from Primary Sampler must be between 1 and 4.
- 2. Distance from Primary Sampler is invalid when Primary Sampler Indicator is "Y" **Error Messages:**
 - Collocation distance must be null when the primary monitor indicator is 'Y'
- 3. Distance from Primary Sampler must be between 1 and 4.

Error Messages:

• Distance from Primary Sampler must be between 1 and 4.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.10.10 Sampler Indicator

Description: Indicates whether the monitor is the primary or duplicate monitor in a

collocated monitor pair.

Attributes: 1-character code: Y or N

Mandatory

Coding Instructions: Place a valid primary monitor indicator in the eleventh delimited field. A

primary monitor indicator value is valid if it is Y (for primary) or N (for

not primary).

Business Rules:

Common Rules

1. Primary Sampler Indicator must be 'Y' or 'N'.

Error Messages:

- Value for Primary Monitor Indicator must be one of the following: Y, N.
- 2. If Primary Sampler Indicator is 'N', then there must be another monitor of the same parameter at the same site that is defined as the primary monitor.

Error Messages:

• No primary monitor found for this collocated monitor

Insert Rules

1. Primary Sampler Indicator is required.

Error Messages:

• Primary Sampler Indicator is mandatory.

Update Rules

1. Primary Sampler Indicator may not be nullified.

Error Messages:

- Unable to Update or Delete Monitor Collocation
- Literal does not match format string

Delete Rules

None.

4.11 Monitor Protocol - Transaction Type MK

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. For criteria pollutant monitors, transaction types MA through ME are required.

The database records created by this transaction will be automatically created by the load process when a new protocol is submitted for a monitor. This transaction can be used to specify an

alternate method detectable limit when the Federal default is not used, but the preferred method for submitting an alternate method detection limit is to enter the value on the individual raw data transactions for which the value applies and a new monitor protocol record will be created.

The fields described below are validated for insert (I) and update (U) transactions.

4.11.0 Transaction Level Rules and Errors

1. The monitor protocol cannot be deleted when Raw, Composite, Blank, Precision, Accuracy or Summary data has been loaded that uses the Monitor Protocol.

Error Messages:

- 1. Integrity constraint (AIRSRAQS.AC MP FK) violated
- 2. Integrity constraint (AIRSRAQS.PD MP FK) violated
- 3. Integrity constraint (AIRSRAQS.SPR MP FK) violated
- 4. Monitor protocol cannot be deleted due to use on raw data

4.11.1 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place MK in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.11.2 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.11.3 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.11.4 **County Code or Tribal Code**

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

A value that identifies a Tribal Area using a Bureau of Indian Tribal Code Description:

Affairs Tribal Code.

Attributes: Alphanumeric

> 3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

> field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table

Business Rules

Common Rules

The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.11.5 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.
 - **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

4.11.6 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.11.7 **POC (Parameter Occurrence Code)**

Description:

An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes:

Numeric 2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors Table.

Business Rules

Common Rules

- The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

4.11.8 Monitor Protocol ID

Description: The sequential monitor protocol identification (MP ID) number used to

distinguish combinations of sample duration, unit, method, collection frequency, composite type, and alternate method detectable limit (Alt-

MDL) for a monitor.

Attributes: Numeric

4 digits (nnnn) Mandatory Key Field

Coding Instructions: Place a valid alternate MP ID in the eighth delimited field. An alternate

MP ID value is valid if it is between 1 and 99 and has not been assigned to

another protocol combination for the monitor.

Business Rules:

Common Rules

1. .Alternate MP ID must be greater than 0

Error Messages:

• Alternate MP ID must be positive

Insert Rules

1. Alternate MP ID cannot already be in database for monitor.

Error Messages:

• Unique constraint (AIRSRAQS.MP PK) violated

Update Rules

None.

Delete Rules

None.

4.11.9 Duration Code

Description: The period of time during which the sample value was collected.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place a valid sample duration code in the eighth delimited field. A sample

duration value is valid if it exists in the Sample Durations Table and if it exists in combination with unit, parameter, method, and collection

frequency in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

- Invalid Protocol.
- 2. Sample duration must be an observed rather than a computed duration.

Error Messages:

- Raw data must be submitted with an observed duration.
- 3. Duration Code must not be different than the one used for any other data for the monitor in the year.

Error Messages:

• Duration Code does not match the annual summary duration.

Insert Rules

1. Duration Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None

4.11.10 Reported Unit

Description: The dimensional system in which the pollutant concentration or parameter

reading is expressed.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid unit code in the ninth delimited field. A unit value is valid if

it exists in the Units Table and if it exists in combination with parameter, sampling duration, method, and collection frequency in the Protocols

Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, Method Code, and Collection Frequency Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Reported Unit is required

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

4.11.11 Method Code

Description: Identifies a particular method for collecting and analyzing samples of the

monitor's parameter.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid method code in the tenth delimited field. A method code is

valid if it exists in combination with parameter in the Sampling Methodologies Table, and if it exists in combination with parameter, sampling duration, units, and collection frequency in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, Method Code, and Collection Frequency Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Method Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

4.11.12 Collection Frequency Code

Description: The frequency according to which sample observations are to be made,

specified as the amount of time that elapses between observations.

Indicates how often 24-hour samples are taken, e.g., daily, every third day,

stratified random, etc.

Attributes: Alphanumeric

1- or 2-digit code

Optional Key Field

Coding Instructions: Place a valid collection frequency code in the twelfth delimited field. A

collection frequency value is valid if it exists in the Collection Frequencies Table and if it exists in combination with parameter, method, sample

duration, unit, and composite type in the Protocols Table.

Business Rules:

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, Method Code, Collection Frequency Code, Composite Type) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. The combination of (State Code, County Code, Site ID, Parameter, POC, Duration Code, Reported Unit, Method Code, Collection Frequency Code, Composite Type, Alternate Minimum Detectable Limit) cannot already be in database for the monitor.

Error Messages:

• Unique constraint (AIRSRAQS.MP UK) violated.

Update Rules

1. Collection Frequency Code is not an updateable field.

Error Messages:

• Collection Frequency Code is not an updateable field.

Delete Rules

None.

4.11.13 Composite Type

Description: The time period over which samples are composited, or the frequency of

submitting composite samples.

Attributes: Alphanumeric

10 characters Optional Key Field Coding Instructions: Place a valid composite type term in the thirteenth delimited field. A

composite type value is valid if it exists in the Composite Types Table, it exists in combination with parameter, method, sample duration, unit, and collection frequency in the Protocols Table, and sample duration is C.

Business Rules:

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, Method Code, Collection Frequency Code, Composite Type) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. The combination of (State Code, County Code, Site ID, Parameter, POC, Duration Code, Reported Unit, Method Code, Collection Frequency Code, Composite Type, Alternate Minimum Detectable Limit) cannot already be in database for the monitor.

Error Messages:

• Unique constraint (AIRSRAQS.MP_UK) violated.

Update Rules

1. Collection Frequency Code is not an updateable field.

Error Messages:

• Sampling Frequency is not an updateable field.

Delete Rules

None

4.11.14 Alternate Method Detectable Limit (Alt-MDL)

Description: The method detectable limit defined for the monitor by the reporting

agency, which supercedes the EPA-defined method detectable limit for the

designated methodology.

Attributes: Numeric

10 digits, including 5 decimal places (nnnn.nnnnn)

Optional

Coding Instructions: Place an alternate MDL value in the fourteenth delimited field. There are

no edit checks to verify the validity of an alternate method detectable limit

value.

Business Rules:

Common Rules

None

Insert Rules

1. The combination of (State Code, County Code, Site ID, Parameter, POC, Duration Code, Reported Unit, Method Code, Collection Frequency Code, Composite Type, Alternate Minimum Detectable Limit) cannot already be in database for the monitor.

Error Messages:

• Unique constraint (AIRSRAQS.MP UK) violated.

Update Rules

None.

Delete Rules

None.

4.12 Monitor Comments (Online Input Only)

Description: Monitor comments is a free-format field that may be used in any way. It

is normally used to describe special features of the monitor.

Attributes: 62-character field

Optional

5 Raw Data Transactions (RC, RD)

Transaction Types RC (Composite Raw Data) and RD (Hourly, Daily, Sub-Hourly Raw Data) perform data manipulation on the Raw Data Table.

The transactions in this group are used to insert, update, and delete the individual observations of parameter values in the AQS database. Most of the parameter values are the concentrations of air pollutants measured with various methods and at various time intervals. Some non-pollutant observations are also reported, such as wind speed and other meteorological values. Together, these observations of parameter values are collectively called raw data because they are the actual values reported by the monitoring sites. There are two types of Raw Data, Simple and Composite.

Hourly, Daily, Sub-Hourly Raw Data are the sample values that have been collected by monitoring stations that can be defined for a given date and time of day. Types of Hourly, Daily, Sub-Hourly Raw Data include: hourly, daily, and sub-hourly type of data.

Composite data are concentration values derived from two or more air samples obtained at different times and combined and analyzed as one sample.

In contrast to this is the summary data, which is usually calculated from the raw data by the AQS software, and is stored in the summaries tables.

The qualifier field is used only with real observations, when an observed parameter value is present. A non-blank qualifier indicates the observed value is exceptional in some way, usually higher than normal by a substantial amount. The value of the qualifier indicates the cause of the exceptional observation (a forest fire, for example). If no specific cause can be attributed for the exceptional observation, V is coded for the qualifier (to indicate that the value is valid). A non-blank qualifier exempts the associated observation from certain quality assurance tests which it would not otherwise pass. Without the exemption provided by the qualifier, neither the exceptional data nor any normal data for the same day or month would pass the quality assurance tests.

Most values do not have qualifiers, since qualifiers are only associated with rare (exceptional) events or circumstances. Furthermore, the use of qualifiers is important only for criteria pollutants. Every episode of qualifier usage (except code V) must be approved by state and EPA officials and must conform with the procedures and requirements set forth in Guideline on the Identification and Use of Air Quality Data Affected by Exceptional Events, publication number EPA-450/4-86-007, July, 1986.

Action Indicators are interpreted the same way for raw data transactions. Insert actions are used to enter raw data values where none previously existed. The monitor reporting the raw data values must already exist in the database. Delete actions are used to remove one or more existing raw data values from the AQS database. Update actions are used to change existing raw data values.

Transaction type RC contains composite raw data with the following fields:

Transaction Type Composite Period Oualifier-6 Action Indicator Number of Samples Qualifier-7 State Code or Tribal Indicator Composite Type Oualifier-8 County Code or Tribal Code Reported Sample Value Qualifier-9 Monitor Protocol ID Oualifier-10 Site ID Oualifier-1 Parameter Alternate Method Oualifier-2 **POC** Detectable Limit Reported Unit Oualifier-3 Uncertainty Value Method Code Oualifier-4

Method Code Qualifier-4 Composite Year Qualifier-5

Transaction type RD contains simple data with the following fields:

Transaction Type Date Oualifier-5 Action Indicator Sample Time Oualifier-6 State Code or Tribal Indicator Reported Sample Value Oualifier-7 County Code or Tribal Code Qualifier Code -Null Data Qualifier-8 Collection Frequency Code Oualifier-9 Site ID Parameter Monitor Protocol ID Oualifier-10 **POC** Oualifier-1 Alternate Method Oualifier-2 **Duration Code** Detectable Limit Oualifier-3 Reported Unit Uncertainty Value

Method Code Qualifier-4

Detailed coding instructions for these raw data transactions are given in the following sections.

5.1 Composite Raw Data - Transaction Type RC

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format. Transaction type RC is used for composite observations. Type RC transactions insert, update, and delete raw data values in the Raw Data Table of the AQS database.

An insert transaction is used to insert a new observation into the database where none already exists. An update transaction is used to change or delete an existing observation in the database. A delete transaction is used to delete an observation and its associated fields from the database.

5.1.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code Mandatory

Coding Instructions: Place RC in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION_TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

5.1.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.1.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

5.1.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

5.1.4 Site ID

Description: A numeric identifier (ID) that uniquely identifies each air monitoring site

within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area

and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

5.1.5 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

5.1.6 POC (Parameter Occurrence Code)

Description:

An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes: Numeric

2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is

valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors

Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.1.7 Reported Unit

Description: The dimensional system in which the pollutant concentration or parameter

reading is expressed. If an alternate method detectable limit is reported, it

is also expressed in these same units.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid unit code in the eighth delimited field. A unit value is valid

if it exists in the Units Table and if it exists in combination with a sample duration of C, parameter, method, and composite type in the Protocols

Table.

Business Rules

Common Rules

1. The combination of (Parameter, Composite Type, Reported Unit, and Method Code) must be in PROTOCOLS table.

Error Messages:

- Invalid Protocol
- 2. Flow units are not allowed.

Error Messages:

• Flow units are not allowed.

Insert Rules

1. Reported Unit is required

Error Messages:

• Invalid Protocol

Update Rules

None.

Delete Rules

None.

5.1.8 Method Code

Description: Identifies a particular method for collecting and analyzing samples of the

monitor's parameter.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid method code in the ninth delimited field. A method value is

valid if it exists in combination with parameter in the Sampling

Methodologies Table, and if it exists in combination with the parameter, duration code of C, unit, and composite type in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Parameter, Composite Type, Reported Unit, and Method Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Method Code is required.

Error Messages:

• Invalid Protocol

Update Rules

None.

Delete Rules

None.

5.1.9 Composite Year

Description: The calendar year for which the observation was reported.

Attributes: Date

4-digit year (yyyy)

Mandatory Key Field

Coding Instructions: Place a valid year in the tenth delimited field. A year value is valid if it is

in the form of YYYY, it is between 1957 and the current year, and there is

valid sample period defined for the monitor in that year.

Business Rules

Common Rules

1. Composite Year is Required.

Error Messages:

- Invalid Composite Year.
- 2. Composite Year must be in the format 'YYYY'.

Error Messages:

- Invalid Composite Year.
- 3. Composite Year must be less than, or equal to, the current year.

Error Messages:

- The sample date is not within an available year
- 4. Composite Year must be greater or equal to the earliest supported year.

Error Messages:

- The sample date is not within an available year
- 5. Composite Year and Composite Period must fall within a valid sample period for the monitor.

Error Messages:

• Monitor is inactive for this date.

Insert Rules

1. Composite data must not already exist in the database for the combination of Composite Year and Composite Period for the Monitor.

Error Messages:

• Attempted to insert a value for an existing date and time.

Update Rules

1. Composite data must exist in the database for the combination of Composite Year and Composite Period for the Monitor

Error Messages:

• Production record doesn't exist to update or delete

Delete Rules

1. Composite data must exist in the database for the combination of Composite Year and Composite Period for the Monitor.

Error Messages:

• Production record doesn't exist to update or delete.

5.1.10 Composite Period

Description: Indicates the time period within the year to which the observation applies.

It is expressed in units that may be inferred from composite type.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid period code in the eleventh delimited field. A period code is

valid if it falls within the minimum and maximum period defined for

composite type in the Composite Types Table.

Valid period codes depend on composite type as follows:

Comp Type	Valid Period Code
1 (Quarterly)	01-04
2 (Seasonal)	01-04
3 (Monthly)	01-12
4 (Weekly)	01-53
5 (Annually)	01

Business Rules

Common Rules

1. Composite Period is Required.

Error Messages:

- Invalid Composite Period
- 2. Composite Period must be an integer with value between the MIN_PERIOD and MAX_PERIOD defined in the COMPOSITE_TYPES table for the Composite Type. **Error Messages:**

- Invalid Composite Period.
- 3. The date corresponding to the Composite Year and Composite Period must be less than, or equal to, the current date.

Error Messages:

- The sample date is not within an available year
- 4. Composite Year and Composite Period must fall within a valid sample period for the monitor .

Error Messages:

• Monitor is inactive for this date.

Insert Rules

1. Composite data must not already exist in the database for the combination of Composite Year and Composite Period for the Monitor.

Error Messages:

• Attempted to insert a value for an existing date and time.

<u>Update Rules</u>

1. Composite data must exist in the database for the combination of Composite Year and Composite Period for the Monitor

Error Messages:

Production record doesn't exist to update or delete

Delete Rules

1. Composite data must exist in the database for the combination of Composite Year and Composite Period for the Monitor.

Error Messages:

• Production record doesn't exist to update or delete.

5.1.11 Number of Samples

Description: Indicates the number of samples that were combined to yield the

composite sample value.

Attributes: Alphanumeric

10-digit number Mandatory

Coding Instructions: Place a valid number of samples in the twelfth delimited field. A number

of samples value is valid if it is greater than 0 and is less than the

maximum number of samples defined for composite type in the Composite

Types Table.

Business Rules

Common Rules

1. Number of Samples is required.

Error Messages:

- Invalid Sample Count
- 2. Number of samples must be a positive integer.

Error Messages:

- Invalid Sample Count
- 3. Number of Samples must be less than or equal to the maximum count defined for Composite Type.

Error Messages:

• Sample Count must be between 1 and the maximum allowed for the Composite Type.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.1.12 Composite Type

Description: Indicates the time period over which samples are composited or the

frequency of submitting composite samples.

Attributes: Alphanumeric

10 Character Code

Mandatory

Coding Instructions: Place a valid composite type term in the thirteenth delimited field. A

composite type value is valid if it exists in the Composite Types Table, it exists in combination with parameter, method, sample duration equal to C,

and unit in the Protocols Table. Valid composite types include:

ANNUAL MONTHLY QUARTERLY SEASONAL WEEKLY

Business Rules

Common Rules

1. Composite Type is required.

Error Messages:

- Invalid Composite Type
- 2. Composite Type must exist in the COMPOSITE_TYPES table.

Error Messages:

- Invalid Composite Type
- 3. The combination of (Parameter, Composite Type, Reported Unit, and Method Code) must be in PROTOCOLS table at production status.

Error Messages:

- Invalid Protocol
- 4. Composite Type must not be different than the one used for any other composite data for the monitor in the year.

Error Messages:

• Composite Type may only change for first sample of year.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

5.1.13 Reported Sample Value

Description: The value of a composite observation.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Mandatory

Coding Instructions:

Place the sample value in the thirteenth delimited field. Reported scale is implied from the number of digits allocated to the right of the decimal point. For example, to submit the value of 1.2 in the scale of 3, then submit the value as 1.200.

For some parameters, upper and lower limits have been established for observations. The sample value is compared to these limits, if they exist on the Parameters Table for the pollutant. If the sample value falls outside the range defined by the absolute max sample value and the absolute min sample value, and there is no qualifier entered with the sample value, then the sample value will be rejected with an error message.

Lead (12128) is the only parameter that is reported as a composite observation (duration code = C), and that has an absolute maximum sample value defined on the Parameters Table. The relevant maximum values for lead composite observations are listed below:

Para- meter	Code	Units	Abs Max Sample Value	Abs Min Sample Value	Fed Rel Max Sample Value	Fed Rel Min Sample Value
Lead	12128	μg/m³ (25 C)	100	0	100	0

If the sample falls outside the range defined by the Federal relative maximum sample value and Federal relative minimum sample value, and there is not qualifier entered with the sample value, then the sample will not be rejected, but will be highlighted in the Statistical Critical Review Report with a warning message.

Business Rules

Common Rules

1. Reported Sample Value must be a number with no more than 5 digits before or after the decimal point.

Error Messages:

- Invalid numeric format.
- 2. Reported Sample Value, when converted to standard units, must fall within the absolute value range defined for the parameter.

Error Messages:

- Standard value falls outside absolute value range for the parameter.
- 3. Reported Sample Value is required.

Error Messages:

• Invalid combination of Reported Sample Value, Uncertainty Value, and Qualifier Code -Null Data

Insert Rules

None.

Update Rules

None.

Delete Rules

None

5.1.14 Monitor Protocol ID

Description: The sequential monitor protocol identification (MP ID) number used to

distinguish combinations of sample duration, unit, method, collection frequency, composite type, and alternate method detectable limit (MDL)

for a monitor.

Attributes: Numeric

2 digits (nn) Optional

Coding Instructions: Place a valid MP ID number in the fifteenth delimited field. An MP ID

value is valid if it exists in the monitor Protocols Table for the monitor. (Note: it is only necessary to provide this value if an Alternate Method Detectable Limit applies to the sample value; otherwise, the appropriate monitor protocols can be derived.) If an MP ID does not exist for the combination of codes, but the combination exists on the Protocols Table, a new MP ID will be assigned and stored in the correct database table.

Business Rules

Common Rules

1. The MP ID must exist in the database for the monitor.

Error Messages:

• Invalid MP_ID

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.1.15 Qualifier Code 1-10

Description: Qualifications used to describe the composite raw data. They may

document exceptional data or quality assurance exceptions.

Attributes: Alphanumeric

1- or 2-character code

Optional

Coding Instructions: Ten fields (delimited fields 16-25), are allocated for the designation of

composite data qualifiers. It is valid to designate one, and only one, exceptional data qualifier, and as many quality assurance qualifiers as are necessary. To designate qualifiers, use the available fields in sequence: place the first qualification in field 16, the second in field 17, and so on. A particular qualifier value is valid if it exists in the Qualifiers Table and is associated with the parameter as defined in the Parameter Qualifiers

table.

Business Rules

Common Rules

1. Qualifier Code must be in PARAMETER QUALIFIERS table.

Error Messages:

- Invalid Raw Data Qualifier.
- 2. QUALIFIERS (QUALIFIER CODE) must be at production status.

Error Messages:

- Invalid Raw Data Qualifier.
- 3. Qualifier Code must not be a Null Data type.

Error Messages:

• Invalid Raw Data Qualifier

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.1.16 Alternate Method Detectable Limit (Alt-MDL)

Description: Method Code detectable limit (MDL) is the minimum detectable level

defined for the monitor and method.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place a valid MDL in the 26th delimited field. A user can report MDL on

the composite raw data (RC) transaction, or leave it blank. If it is reported on the composite raw data transactions, then the software looks to see whether it is a new MDL value (i.e., does not exist on the Monitor Protocol Table). If it is a new value, a new monitor protocol record is system-generated and that new monitor protocol identification (MP ID)

number is stored with the raw data value.

If the reported MDL value is not a new value, and there is already a monitor protocol record for that method and MDL, then the existing alternate MP ID from the Monitor Protocol Table is stored with the raw data value.

Although not the recommended process for reporting Alt-MDL, another way of reporting a new MDL is to create a new monitor protocol with the new value using the MK transaction. Then the raw data transactions can reference the new monitor protocol record being created in the batch stream, and the MDL value is not needed, since it is already on the

protocol record. To do this, the alternate MP ID on the MK record being created would be the same value contained in the alternate MP ID field on the RC or RD transactions.

Business Rules

Common Rules

1. MDL must be a positive number with no more than 5 digits before or after the decimal point.

Error Messages:

- Value larger than specified precision allows for this column
- 2. Alternate MP ID and MDL may not be specified at the same time.

Error Messages:

• MP_ID and MDL may not both be specified.

Insert Rules

None.

Update Rules

None.

5.1.17 Uncertainty Value

Description: The measure of method uncertainty associated with the sample data point,

which will include components of both the analytical and the volume uncertainty. No blank corrections are assumed (other than laboratory baseline corrections which are an integral part of each analysis).

Attributes: Numeric

11 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place a valid Uncertainty Value in the 28th field. A valid value is a number between 1 and 999999.99999, inclusive.

Business Rules

Common Rules

1. Uncertainty Value must be a positive number with no more than 6 digits before, and 4 digits after, the decimal point.

Error Messages:

• Value larger than specified precision allows for this column

Insert Rules

None.

Update Rules

None.

Delete Rules None.

5.2 Hourly, Daily, Sub-Hourly Raw Data - Transaction Type RD

The type RD ("Raw Data") transaction is used for individual parameter observations. See <u>AQS</u> <u>Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals/manuals.htm for a summary list of requirements on each transaction format.

An insert transaction is used to insert observations or qualifiers into the database where neither currently exists. An update transaction is used to change or delete existing observations or missing value reason codes. A delete transaction is used to remove all the existing observations and missing value reason codes within the temporal scope of the transaction.

Data Review and Production Status

The process for setting Raw data to production status is the most involved of all of the data types in AQS and requires the most user interaction. At each stage of the process the Status Indicator is set to a different value:

- 1. F Data is loaded and load process is not complete. Data at F status is only visible to members of the screening group while the data is loading. Data remaining at F status after a load is supposedly completed indicates a failure during load and a likely corruption of data.
- 2. R Data has been successfully loaded, automated relational checks have passed and data is ready for review. Data is only visible to members of the screening group responsible for the monitor and will not be included in any reports except for those specifically designed to view pre-production data.
- 3. S Statistical Analysis and Critical Review tests (StatCR) have been done and reports are available (see sections 6.3 and 6.4 below). Some manual editing and further review may be required. Data is only visible to members of the screening group responsible for the monitor and will not be included in any reports except for those specifically designed to view pre-production data.
- 4. P Data is at Production status and is readable by all AQS users.

5.2.0 Transaction Level Rules and Errors

- 1. Raw data linked to collocated precision checks may not be updated or deleted. **Error Messages:**
 - Raw data that are part of collocated precision checks may not be updated or deleted.

5.2.1 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place RD in the first delimited field.

Business Rules: Common Rules

- 1. Transaction Type is mandatory
 - **Error Messages:**
 - Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.2.2 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code

Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.2.3 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

5.2.4 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Areas

table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

5.2.5 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building

to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

5.2.6 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors Table.

Business Rules

Common Rules

- The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.2.7 POC (Parameter Occurrence Code)

Description:

An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes: Numeric

> 2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is

valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors

Table.

Business Rules

Common Rules

The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database

Insert Rules

None.

Update Rules

None

Delete Rules

None.

5.2.8 Duration Code

Description: The period of time during which the blank sample value was collected.

Attributes: Alphanumeric

> 1-character code Mandatory

Coding Instructions:

Place a valid sample duration code in the eighth delimited field. A sample duration value is valid if it exists in the Sample Durations Table and if it exists in combination with unit, parameter, method, and collection frequency in the Protocols Table.

Business Rules

Common Rules

The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

- Invalid Protocol.
- 2. Sample duration must be an observed rather than a computed duration.

Error Messages:

- Raw data must be submitted with an observed duration.
- 3. Duration Code must not be different than the one used for any other data for the monitor in the year.

Error Messages:

• Duration Code does not match the annual summary duration.

Insert Rules

1. Duration Code is required.

Error Messages:

Invalid Protocol.

Update Rules

None.

Delete Rules

None.

5.2.9 Reported Unit

Description: The dimensional system in which the pollutant concentration or parameter

reading is expressed. If an alternate method detectable limit is reported, it

must also be expressed in the same units.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid unit code in the ninth delimited field. A unit value is valid if

it exists in the Units Table and if it exists in combination with parameter, sampling duration, method, and collection frequency in the Protocols

Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, Method Code, and Collection Frequency Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Reported Unit is required

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

5.2.10 Method Code

Description: Identifies a particular method for collecting and analyzing samples of the

monitor's parameter.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid method code in the tenth delimited field. A method code is

valid if it exists in combination with parameter in the Sampling Methodologies Table, and if it exists in combination with parameter, sampling duration, units, and collection frequency in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, Method Code, and Collection Frequency Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Method Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

5.2.11 Sample Date

Description: The calendar date for which the observation is being reported. This date

represents the date for the day which contains the most hours for the

collected sample.

Attributes: Date

8-digit date Mandatory

Key Field

Coding Instructions: Place a valid date in the eleventh delimited field. A date value is valid if it

is in the form of YYYYMMDD, it is between 1957 and the current date,

and it falls within a valid sample period defined for the monitor.

Business Rules

Common Rules

1. Sample Date is required.

Error Messages:

- A non-numeric character was found where a numeric was expected
- 2. Sample Date must be in a year greater or equal to the earliest supported year

Error Messages:

- The sample date is not within an available year
- 3. Sample Date must be less than, or equal to, the system date.

Error Messages:

- The sample date is not within an available year
- 4. Sample Date must fall within a valid sample period for the monitor.

Error Messages:

- Monitor inactive for this date.
- 5. A pre-production raw data value must not already be in the database for the Monitor, Sample Date, and Sample Time.

Error Messages:

• A pre-production Record already exists for the Monitor - Date-Time

Insert Rules

1. A production raw data value must not exist for the Monitor, Sample Date, and Sample Time.

Error Messages:

- Attempted to insert a value for an existing date and time.
- 2. Sample Date and Sample Time for the sample may not fall within the duration period of the sample immediately preceding it, not span into the duration period of the sample immediately succeeding it.

Error Messages:

• Sample duration overlaps immediately preceding or succeeding sample value.

Update Rules

1. A production raw data value must be in the database for the Monitor, Sample Date, and Time.

Error Messages:

• Production record doesn't exist to update or delete.

Delete Rules

1. A production raw data value must be in the database for the Monitor, Sample Date, and Time.

Error Messages:

• Production record doesn't exist to update or delete.

5.2.12 Sample Time

Description: The time at which the sampling for the reported observation began, in

standard time at the location of the monitoring site.

Attributes: Alphanumeric

5 characters Mandatory Key Field

Coding Instructions: Place a valid sample time in the twelfth delimited field. A sample time

value is valid if it is in the format of HH:MM, and does not overlap another observation's time period for the monitor, for the same Duration

Code.

Business Rules

Common Rules

1. Sample Time is required.

Error Messages:

- A non-numeric character was found where a numeric was expected
- 2. Start time must be in the format: HH:MM.

Error Messages:

- Invalid time format
- 3. A pre-production raw data value must not already be in the database for the Monitor, Sample Date, and Time.

Error Messages:

• A pre-production Record already exists for the Monitor - Date-Time

Insert Rules

1. A production raw data value must not already be in the database for the Monitor, Sample Date, and Time.

Error Messages:

- Attempted to insert a value for an existing date and time.
- 2. Sample Date and Sample Time for the sample may not fall within the duration period of the sample immediately preceding it, not span into the duration period of the sample immediately succeeding it.

Error Messages:

• Sample duration overlaps immediately preceding or succeeding sample value

Update Rules

1. A production raw data value must be in the database for the Monitor, Sample Date, and Time.

Error Messages:

• Production record doesn't exist to update or delete.

Delete Rules

1. A production raw data value must be in the database for the Monitor, Sample Date, and Time.

Error Messages:

• Production record doesn't exist to update or delete.

5.2.13 Reported Sample Value

Description: The value of an observation being reported.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn) Conditionally Required for Insert and Update

Coding Instructions:

Place the sample value in the thirteenth delimited field. Reported scale is implied from the number of digits allocated to the right of the decimal point. For example, to submit the value of 1.2 in the scale of 3, then submit the value as 1.200.

For some parameters, upper and lower limits have been established for observations. The sample value is compared to these limits, if they exist on the Parameters Table for the pollutant. If the sample value falls outside the range defined by the absolute max sample value and the absolute min sample value, and there is no qualifier entered with the sample value, then the sample value will be rejected with an error message.

If the sample falls outside the range defined by the Federal relative maximum sample value and Federal relative minimum sample value, and there is no qualifier entered with the sample value, then the sample will not be rejected, but will be highlighted in the Statistical Critical Review Report with a warning message.

For PM10 and PM2.5, the negative minimum values only apply to specific continuous sampling methods that do report individual hourly averages less than 0 because of the measurement technology used.

The relevant maximum/minimum values and parameters are listed below:

Para- meter Code	Parameter Description	Abs Max Sample Value	Abs Min Sample Value	Fed Rel Max Sample Value	Fed Rel Min Sample Value	Reported Unit
11101	Suspended Particulate (TSP)	10000	0	1000	0	μg/meter ³ (25 c)
12128	Lead (TSP)	100	0	100	0	μg/meter ³ (25 c)
42101	Carbon Monoxide	50	0	75	0	parts per million (ppm)
42401	Sulfur Dioxide	1	0	1	0	ppm
42601	Nitric Oxide	1.2	0	1	0	ppm
42602	Nitrogen Dioxide	0.4	0	1	0	ppm
42603	Oxides of Nitrogen	1.2	0	1.5	0	ppm
43101	Total Hydro- carbons	99999	0	10000	0	parts per billion carbon
43102	Total NMOC	20000	0	10000	0	parts per billion carbon
43201	Methane	99999	0			parts per billion carbon
44201	Ozone	0.5	0	0.4	0	pm
61102	Wind Direction	360	0	360	0	degrees, compass
61104	Resultant Direction	360	0	360	0	degrees, compass
61106	Std Dev Hz Wind Dir	360	0	360	0	degrees, compass
61107	Std Dev Vt Wind Dir	360	0	360	0	degrees, compass
61112	Vert Wind Direction	360	0	360	0	degrees, compass
62101	Outdoor Temperature	150	-60			degrees, Fahrenheit
62102	Virtual Temperature	150	-60			degrees, Fahrenheit
62104	Temperature 24-Hour Max	150	-60			degrees, Fahrenheit

Para- meter Code	Parameter Description	Abs Max Sample Value	Abs Min Sample Value	Fed Rel Max Sample Value	Fed Rel Min Sample Value	Reported Unit
62105	Temperature 24-Hour Min	150	-60			degrees, Fahrenheit
62107	Indoor Temperature	150	-60			degrees, Fahrenheit
62201	Relative Humidity	100	0			percent rel humidity
68101	Sample Flow Rate - CV	20	0	20	0	percent
68102	Sample Volume	25.2	8			cubic meter
68103	Ambient Min Temperature	55	-40	55	-40	degrees, centigrade
68104	Ambient Max Temperature	55	-40	55	-40	degrees, centigrade
68105	Ambient Avg. Temperature	55	-40	55	-40	degrees, centigrade
68106	Sample Min Barometric Pressure	850	450	850	450	millimeters (mercury)
68107	Sample Max Barometric Pressure	850	450	850	450	millimeters (mercury)
68108	Sample Avg. Barometric Pressure	850	450	850	450	millimeters (mercury)
68109	Elapsed Sample Time	1500	480			minutes
81102	PM-10 Total 0-10 Um	5000	-10	500	-10	μg/cubic meter (25 c)
88101	PM-2.5 Local Conditions	5000	-10	500	-10	μg/cubic meter (LC)

Business Rules

Common Rules

1. Reported Sample Value must be a number with no more than 5 digits before or after the decimal point.

Error Messages:

- Value larger than specified precision allows for this column
- 2. Reported Sample Value must be valued if Qualifier Code -Null Data is not valued, and must not be valued if Qualifier Code -Null Data is valued.

Error Messages:

• The null data qualifier code or the reported sample value must exist.

3. Reported Sample Value, when converted to standard units, must fall within the absolute value range defined for the parameter.

Error Messages:

• Standard value falls outside absolute value range for the parameter.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.2.14 Null Data Code

Description: This is a code to explain why no sample value was reported.

Attributes: Alphanumeric

2-character code

Optional

Coding Instructions: Place a valid null value code in the fourteenth delimited field. A null data

code value is valid if it exists in the Qualifiers Table with the qualifier

type of null.

Business Rules

Common Rules

1. Null Data Code is required if the Reported Sample Value is blank (Null).

Error Messages:

- Invalid combination of value, uncertainty, and null data code.
- 2. Null Data Code must be in QUALIFIERS table.

Error Messages:

- Integrity constraint (AIRSRAQS.QD_QU_FK) violated.
- 3. Null Data Code must be at production status.

Error Messages:

• Null Data Code is not at production status.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.2.15 Collection Frequency Code

Description: Indicates the elapsed time period between observations.

Attributes: Alphanumeric

1- or 2-digit code

Optional

Coding Instructions: Place a valid collection frequency code in the fifteenth delimited field. A

collection frequency is required only for PM-10 and PM-2.5. For hourly data, leave collection frequency blank. A collection frequency value is valid if it exists in the Collection Frequencies Table, and if it exists in combination with parameter, method, sample duration, and unit in the

Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, Collection Frequency, and Method Code) must be in the PROTOCOLS table at production status.

Error Messages:

• Invalid Protocol.

Insert Rules

None

Update Rules

None

Delete Rules

None.

5.2.16 Monitor Protocol ID

Description: The sequential monitor protocol identification (MP ID) number used to

distinguish combinations of parameter, sample duration, unit, method, collection frequency, and alternate method detectable limit (MDL) for a

monitor.

Attributes: Numeric

2 digits (nn) Optional

Coding Instructions: Place a valid alternate MP ID number in the sixteenth delimited field. An

alternate MP ID value is valid if the MP ID Value exists in the monitor

Protocols Table for the monitor. (Note: it is only necessary to provide this value if an Alternate Method Detectable Limit applies to the sample value; otherwise, the appropriate monitor protocols can be derived.)

The preferred method is to not enter an MP ID even if an alternate method detectable limit applies but to enter the alternate method detectable limit on the transaction and the system will create a new database record with a MP ID and assign that MP ID to the raw data record.

Business Rules

Common Rules

1. The Alternate MP ID must exist in the database for the monitor.

Error Messages:

• Invalid MP ID.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.2.17 Qualifier Code 1-10

Description: Qualifications used to describe the raw data. They may document

exceptional data, or quality assurance exceptions.

Attributes: Alphanumeric

1- or 2-character code

Optional

Coding Instructions: Ten fields (delimited fields 17-26), are allocated for the designation of raw

data qualifiers. It is valid to designate one, and only one, exceptional data qualifier, and as many quality assurance qualifiers as are necessary. To designate qualifiers, use the available fields in sequence: place the first qualification in field 17, the second in field 18, and so on. A particular

qualifier value is valid if it exists in the Qualifiers Table and the

combination of parameter qualifier exists on the parameter qualifier table.

Business Rules

Common Rules

1. Qualifier Code must be in QUALIFIERS table.

Error Messages:

• Invalid Raw Data Qualifier.

2. QUALIFIERS (QUALIFIER CODE) must be at production status.

Error Messages:

- Invalid Raw Data Qualifier.
- 3. Qualifier Code must not be a Null Data code

Error Messages:

• Invalid Raw Data Qualifier

Insert Rules

None

<u>Update Rules</u>

None.

Delete Rules

None.

5.2.18 Alternate Method Detectable Limit (Alt-MDL)

Description: Method Code detectable limit (MDL) is the minimum detectable level

defined for the monitor and method.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place a valid MDL in the 27th delimited field. A user can report MDL on

the Hourly, Daily, Sub-Hourly Raw Data (RD) transaction, or leave it blank. If it is reported on the raw data transactions, then the software looks to see whether it is a new MDL value (i.e., does not exist on the Monitor Protocol Table). If it is a new value, a new monitor protocol record is system-generated and that new monitor protocol identification

(MP ID) number is stored with the raw data value.

If the reported MDL value is not a new value, and there is already a monitor protocol record for that method and MDL, then the existing alternate MP ID from the Monitor Protocol Table is stored with the raw data value.

Although not the preferred process, another way of reporting a new MDL is to create a new monitor protocol with the new value using the MK transaction. Then the raw data transactions can reference the new monitor protocol record being created in the batch stream, and the MDL value is not needed, since it is already on the protocol record. To do this, the alternate MP ID on the MK record being created would be the same value contained in the alternate MP ID field on the RC or RD transactions.

Business Rules

Common Rules

1. MDL must be a positive number with no more than 5 digits before or after the decimal point.

Error Messages:

- Value larger than specified precision allows for this column
- 2. Alternate MP ID and MDL may not be specified at the same time.

Error Messages:

• MP ID and MDL may not both be specified.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.2.19 Uncertainty Value

Description: The measure of method uncertainty associated with the blank data point,

which will include components of both the analytical and the volume

uncertainty.

Attributes: Numeric

11 digits, including 5 decimal places (nnnnn.nnnn)

Optional

Coding Instructions: Must be a positive number

Business Rules

Common Rules

1. Uncertainty Value must be a positive number with no more than 6 digits before, and 4 digits after, the decimal point.

Error Messages:

• Value larger than specified precision allows for this column

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

5.3 Statistical Tests

The pattern and gap tests performed on hourly data (duration of 1) are described briefly below. See EPA document Screening Procedures for Ambient Air Quality for more detailed information (publication # EPA-450/2-78-037, July 1978). The values are validated via the following statistical tests, and results are included in the Statistical Critical Review Report for user review prior to posting as production data.

5.3.0 Pattern Tests

Pattern tests are performed on hourly data for pollutants 44201 ozone (O₃), 42101 carbon monoxide (CO), 42401 sulfur dioxide (SO₂), and 42602 nitrogen dioxide (NO₂). Exceptional event data are excluded from the tests. The tests are run on a month of hourly data. Essentially, each test scans the month's values and compares them against empirically derived thresholds to determine if they are questionable. If so, the value is flagged as failing that particular test. The raw data values are converted to the appropriate units before the tests are applied. The factors used to convert from reporting units to the units of the tests are given in Table 6-1. The threshold values for each pollutant and each test are listed in Table 6-2. As Table 6-2 shows, different threshold values pertain depending on the season of the year and the time of day.

The Dixon test (not applied to CO) scans each day's values and determines the highest, second highest, and lowest values in that day. It then computes the Dixon ratio, defined as (max - secmax)/(max -low). If this value is greater than 0.55, the day fails the Dixon test and all hours are marked as failing.

The max hour test compares each value in the month to a constant to determine if the value is too high. If so, it is marked as failing.

The high difference test compares the value at each hour in the month to the previous hour and the subsequent hour. If the difference between any two hours is greater than allowable, then the hour under inspection is marked as failing.

The spike test works much like the high difference test, except that both differences must be greater than allowable for the test to fail. Also, the percentage difference between the hour in question and both its adjacent hours must be greater than allowable for the test to fail. If either the difference or the percentage comparison fails, the value is rejected.

The high consecutive values test looks at each hour and the subsequent three hours. If all four values are greater than allowable, then all four hours are marked as failing the test.

 Table 6-1 Conversion Factors for the Pattern and Gap Tests

Pollutant	Units for Gap and Pattern Tests	Reported Units	Conversion Factors
-----------	------------------------------------	----------------	--------------------

42101 (CO)	parts per million (ppm)	005 (mg/m ³ 25°) 006 (mg/m ³ 0°) 007 (ppm) 008 (ppb)	0.86957 0.79653 1.0 0.001
42401 (SO ₂)	pphm*	001 (µg/m ³ 25°) 002 (µg/m ³ 0°) 007 (ppm) 008 (ppb)	0.03817 0.03496 100.0 0.1
42602 (NO ₂)	pphm*	001 (µg/m ³ 25°) 002 (µg/m ³ 0°) 007 (ppm) 008 (ppb)	0.05319 0.04872 100.0 0.1
44201 (O ₃)	pphm*	001 (μg/m ³ 25°) 002 (μg/m ³ 0°) 007 (ppm) 008 (ppb)	0.05102 0.04673 100.0 0.1

^{*}ppm - parts per million, mg/m 3 - milligrams per cubic meter, ppb - parts per billion, pphm - parts per hundred million, $\mu g/m^3$ - micrograms per cubic meter

Table 6-2 Threshold Values for the Pattern Tests

Pollutant	Data Minimum Stratification	Maximum Hour Test	High Difference Test	Spike	Consecutive Values Test	Value*
Ozone (pphm)	Summer-Day Months: 05-10 Hours: 10-17	50	15	10 (300%)	26	5
	Summer-Night Months: 05-10 Hours: 18-09	38	10	5 (300%)	26	5
	Winter-Day Months: 11-04 Hours: 10-17	26	13	10 (300%)	26	5
	Winter-Night Months: 11-04 Hours: 18-09	15	10	5 (300%)	26	5
Carbon Monoxide (ppm)	Rush Traffic Hours: 06-10 06-20	65	22	17 (500%)	35	17
	Non-Rush Traffic Hours: 11-15 21-05	44	22	17 (500%)	35	17
Sulfur Dioxide (pphm)	Zone 1 Regions 1, 5, 6, 7	99	19	8 (500%)	38	25
	Zone 2 Regions 2, 3, 4	50	11	8 (500%)	38	25
	Zone 3 Regions 8, 9, 10	30	8	8 (500%)	38	25

Pollutant	Data Minimum Stratification	Maximum Hour Test	High Difference Test	Spike	Consecutive Values Test	Value*
Nitrogen Dioxide (pphm)	None	64	27	11 (300%)	53	12

^{*} Values below the minimum are excluded from the high difference and spike tests.

5.3.1 Gap Test

The gap test is performed on data for pollutants 44201 (O₃), 42101 (CO), 42401 (SO₂), and 42602 (NO₂). Exceptional events data are excluded. The gap test is so named because it looks for gaps in the Frequency Distribution Table for a month's values. The test is run on a month of hourly data (duration of 1). For each pollutant, the program builds a Frequency Distribution Table and computes constants associated with the frequency distribution. If there is not enough data to compute the constants, a warning is issued. Having determined the constants, a largest reasonable gap is estimated. Then the largest actual gap in the data is determined and compared to the largest estimated gap to determine whether the month passes or fails the gap test.

5.3.2 Patterns and Gap Failure Report

The patterns and gap failure report is produced by the Critical Review (CR) statistics program. The report identifies the day in which a pattern test failed or the month in which the gap test failed, and it shows the first and last keys of the transactions involved in the failed test. Under the heading hourly values failing test(s)/test(s) failed, the report gives additional information to help identify the values that failed the test.

For pattern test failures, the report shows all the values for each day in which a value failed a test. If database values are being changed with update transactions, some of the values listed may be from the database and some from the transactions in the batch transaction file. One-letter codes under the values indicate which of the pattern tests a value failed. If a particular value failed more than one test, multiple codes are listed. The codes are:

- C High consecutive values test
- D Dixon test
- H High difference test
- M Max hour test
- S Spike test

The codes are also listed on each page of the reports as part of the page heading.

Determining which values are involved in a failure of the gap test is a bit more difficult. The gap test identifies a gap in the frequency distribution of a month's values. Often, but not always, the gap is due to an outlier, a value unusually higher or lower than the bulk of the data for the month. The patterns and gap failure report does not identify the date and hour of the value(s) failing the gap test, but it does give information that may allow manual identification of the values(s). Gap size is the difference in magnitude between the two values on either side of the gap in the

frequency distribution, expressed in the units used for the gap test (ppm or pphm). Num above gap is the number of values above the gap. If the number of values is large, the gap is in the smallest values for the month. Finally, slot below gap is the value on the low end of the gap, expressed in the units of the test (ppm or pphm).

5.3.3 Shewhart Test

The Shewhart test which is performed on daily data is described briefly herein. See EPA Document Screening Procedures for Ambient Air Quality for more detailed information (publication # EPA-450/2-78-037, July 1987).

The Shewhart test is performed on daily data (duration is 7, 24-hour) for pollutants 12128 (Pb), 42401 (SO₂), 42602 (NO₂), 88101 (PM-2.5), and 81102 (PM-10). Exceptional events data are excluded. The test is run on a month of daily data. The program counts the number of valid samples for the current month and each of the three previous months. If there is insufficient data to perform the test, a warning message is issued. Given sufficient data for at least two of the three previous months, the program computes the mean and range for the current month. It then computes the historical mean and range, from the mean and range of the data for the three historical months. The mean and range for the current month are compared against the historical values to determine whether the current month passes or fails the Shewhart test.

5.4 Critical Review Tests

For monitors defined as SLAMS, NAMS, and PAMS, measurement data is identified as suspect, or considered for review, if it meets certain "Critical Review" conditions. This data is periodically examined by EPA headquarters personnel.

The specific tests are as follows:

5.4.0 Criteria Pollutants

- Any value that exceeds the 3-year historical maximum. The 3-year historical maximum is defined as the maximum non-exceptional event value obtained between the current year and the current year minus 3 years.
- The first maximum of the dataset is 125% greater than second maximum on a per monitor-year basis.
- Value exceeds of the NAAQS times the critical review factor located in the state threasholds table.
- NAAQS exceedances that are deleted from the database.

5.4.1 Non-Criteria Pollutants

- Any monitor/quarter whose first maximum is 175% greater than the 3-year historical maximum
- The first maximum of the dataset is 150% greater than second maximum on a per monitor-year basis.

5.4.2 Certified Data

• Any monitor whose certified data has changed due to a change in the raw data for a monitor/year. This change may be in the form of an insertion, modification, or deletion of the supporting raw data.

6 Accuracy and Precision Transactions (RA, RP)

The transactions in this group are used to insert, update, and delete the individual observations of parameter values in the AQS database, for audit information. The parameter values are the known and observed concentrations of air pollutants measured with the various methods and at various time intervals. These observations of parameter values are collectively called raw audit data because they are the actual, unprocessed values reported by the monitoring sites. This is contrasted with the summaries of the observations derived by AQS software. For Precision and Accuracy Data, there are two sets of fields for each possible observation: actual value, which is the known concentration of a parameter, and indicated value, which is the observed concentration of a parameter as measured by the monitor.

Insert actions are used to enter audit data values where none previously existed. The monitor reporting the audit data values must already exist in the database. Delete actions are used to remove one or more existing audit data values from the AQS database. Update actions are used to change existing audit data values.

The audit data transactions have several fields in common: transaction type, Action Indicator, state code, country code, Site ID, parameter, POC, and unit.

Transaction type RA contains accuracy data in the following fields:

Transaction Type	Year Represented	Level 1 Indicated Value
Action Indicator	Quarter Represented	Level 2 Actual Value
State Code or Tribal Indicator	Accuracy Date	Level 2 Indicated Value
County Code or Tribal Code	Audit Type	Level 3 Actual Value
Site ID	Local Primary Standard	Level 3 Indicated Value
Parameter	Audit Class	Level 4 Actual Value
POC	Accuracy Type	Level 4 Indicated Value
Accuracy Audit ID Number	Audit Sample ID	Level 5 Actual Value
Duration Code	Expiration Date	Level 5 Indicated Value
Reported Unit	Audit Scheduled	Zero Span
Method Code	Level 1 Actual Value	

Transaction type RP contains precision data in the following fields:

Transaction Type	Precision ID	Indicated Value
Action Indicator	Duration Code	Collocated POC
State Code or Tribal Indicator	Reported Unit	Precision Sample ID
County Code or Tribal Code	Actual Method	Agency Performing FRM
Site ID	Precision Date	Audit
Parameter	Actual Value	
POC	Indicated Method	

6.1 Accuracy Data - Transaction Type RA

The type RA transaction is used for parameter observations at various intervals to insert, update, or delete accuracy raw data values.

For intermittent monitors, the actual value is the known value from the audit device. The indicated value is the observation recorded by the sampler.

For continuous monitors, the actual value is the known concentration of the gas mixture used to challenge the monitor. The indicated value is the observed concentration of gas indicated when the monitor was challenged with a known concentration of gas mixture. For continuous PM monitors, the actual value is the known value for the audit device, and the indicated value is the value observed by the sampler.

An insert transaction is used to insert observations into the database. An update transaction is used to change existing observations. A delete transaction is used to remove existing observations.

6.1.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place RA in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

6.1.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

6.1.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in third delimited field.

A State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

6.1.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal_Areas

table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

6.1.4 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None

Delete Rules

None

6.1.5 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

6.1.6 POC (Parameter Occurrence Code)

Description:

An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes:

Numeric 2-digit ID Mandatory Key Field

Coding Instructions:

Place a valid POC value in the seventh delimited field. A POC value is valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

Update Rules

None.

Delete Rules

None.

6.1.7 Accuracy Audit ID Number

Description: A sequentially assigned number used to identify (ID) a unique

measurement data group for a monitor on a specific date.

Attributes: Numeric

2-digit code (n)

Mandatory when using the new transaction format (pipe-delimited) Optional when using the old transaction format (80 columns) and

reporting only one audit transaction for the day. If more than one audit is

performed on the same day, then this field must be valued for each accuracy transaction for that day, with different values to keep the

transactions unique.

Key Field

Coding Instructions: Place a valid accuracy audit ID number in the eighth delimited field.

Valid values are 1 through 99.

For the old transaction format (80 columns): This ID is mandatory in the database, but not mandatory on the old format transactions. If left blank, the system will generate an ID of 1 for the transaction on the load step. However, if you are submitting multiple accuracy transactions for the same monitor and day, be sure to supply unique accuracy audit ID numbers for each transaction. This applies to the old transaction format only.

For the new transaction format (pipe-delimited), the accuracy audit ID number must always be supplied.

Business Rules

Common Rules

1. Accuracy Audit ID Number is required.

Error Messages:

- Invalid Audit ID
- 2. Accuracy Audit ID Number must be greater than zero.

Error Messages:

• Audit ID must be positive

Insert Rules

1. A record must not be in the database for the Monitor, Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

• Unique constraint (AIRSRAQS.ACA ACCDET FK) violated.

Update Rules

1. A record must be in the database for the Monitor, Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

No data found

Delete Rules

1. A record must be in the database for the Monitor, Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

No data found

6.1.8 Duration Code

Description: The sampling period that the sample values are collected for the monitor.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place a valid sample duration code in the ninth delimited field. A sample

duration value is valid if it exists in the Sample Durations Table and if it exists in combination with unit, parameter, and method in the Protocols

Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

2. Duration Code must not be different than the one used for any other data for the monitor in the year.

Error Messages:

• Sample duration cannot change within a calendar year.

Insert Rules

1. Duration Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

6.1.9 Reported Unit

Description: The dimensional system in which the pollutant concentration or parameter

reading is expressed.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid unit code in the tenth delimited field. A unit value is valid if

it exists in the Units Table and if it exists in combination with parameter,

sampling duration, and method in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Reported Unit is required

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

6.1.10 Method Code

Description: Identifies a particular method for collecting and analyzing samples of the

monitor's parameter.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid method code in the eleventh delimited field. A method code

is valid if it exists in combination with parameter in the Sampling Methodologies Table, and if it exists in combination with parameter,

sampling duration, and units in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Method Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

6.1.11 Year Represented

Description: The year represented by the audit.

Attributes: Date

4-digit year (yyyy)

Optional

Coding Instructions: Place a valid year represented number in the twelfth delimited field. A

year represented value is valid if it is in the format of YYYY and is less than or equal to the accuracy date's year. The year represented is required only for lead analytical audits performed in the laboratory, to link the year to the concentration samples analyzed during the audits.

Business Rules

Common Rules

1. Year Represented must be the year of Date or the previous year.

Error Messages:

 The Year Represented must be equal to or one year prior to the year of the Accuracy Date

Insert Rules

Update Rules

None.

Delete Rules

None.

6.1.12 Quarter Represented

Description: The quarter represented by the audit.

Attributes: Alphanumeric

2 characters Optional

Coding Instructions: Place a valid quarter represented number in the thirteenth delimited field.

A quarter represented value is valid if it is Q1, Q2, Q3, or Q4, and is less than or equal to the quarter of the analysis, or any quarter of the previous year. The quarter represented is required only for lead (Pb) analytical audits performed in the laboratory, to link the quarter to the concentration

samples analyzed during the audits.

Business Rules

Common Rules

1. Quarter Represented must be between 1 and 4.

Error Messages:

- Qtr Represented must be qtr of Acc Date or any qtr of prior year.
- 2. Quarter Represented must be for the quarter of Date or any of the previous four quarters.

Error Messages:

• Qtr Represented must be qtr of Acc Date or any qtr of prior year.

Insert Rules

None

Update Rules

Delete Rules

None.

6.1.13 Accuracy Date

Description: The calendar date for which the accuracy audit is being reported.

Attributes: Date

8-digit date Mandatory Key Field

Coding Instructions: Place a valid date in the fourteenth delimited field. An accuracy date

value is valid if:

• It is in the form of YYYYMMDD,

• It is between January 1, 1980 and the current date,

• It falls within a valid sample period defined for the monitor, **AND**,

• If a criteria pollutant monitor is being audited, it falls within a valid reporting organization period.

Business Rules

Common Rules

1. Accuracy Date is required.

Error Messages:

- Accuracy Date is mandatory.
- 2. Accuracy Date must between 1/1/1980 and current date.

Error Messages:

- The Precision/Accuracy Date must be between Jan 1, 1980 and the current date.
- 3. Accuracy Date must fall within a valid sample period for the monitor.

Error Messages:

- Date must be within a valid sample period.
- 4. Accuracy Date must fall within a valid reporting organization period for the monitor, if before 1/1/2007, otherwise, it must be in a valid PQAO period.

Error Messages:

• No active QA agency for precision and accuracy data.

Insert Rules

1. A record must not be in the database for the Monitor, Accuracy Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

• Unique constraint (AIRSRAQS.ACA ACCDET FK) violated.

Update Rules

1. A record must be in the database for the Monitor, Accuracy Date, Audit Class, Accuracy

Type, and Accuracy Audit ID Number.

Error Messages:

No data found

Delete Rules

1. A record must be in the database for the Monitor, Accuracy Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

• No data found

6.1.14 Audit Type

Description: Description of who performed the audit and how the audit standard was

certified.

Attributes: Alphanumeric

20 characters Mandatory Key Field

Coding Instructions: Place a valid Audit Type term in the fifteenth delimited field. A Audit

Type value is valid if it exists in the Audit Types Table.

Valid audit types include:

AUDIT AND CERT BY RO AUDIT BY REP ORG AUDIT NOT BY RO AUDIT ONLY BY RO

Business Rules

Common Rules

1. Audit Type must be in AUDIT TYPES table.

Error Messages:

- Integrity constraint (AIRSRAQS.AC AUTY FK) violated
- 2. AUDIT_TYPES (AUDIT_TYPE) must be at production status.

Error Messages:

• Status for AUDIT TYPE is inactive

Insert Rules

1. Audit Type is mandatory.

Error Messages:

• Audit Type is mandatory.

Update Rules

1. Audit Type may not be nullified.

Error Messages:

- Unable to Insert/Update Accuracy Record in database
- Integrity constraint (AIRSRAQS.AC_AUTY_FK) violated parent key not found

Delete Rules

None.

6.1.15 Local Primary Standard

Description: The source of the local primary standards used for the audit.

Attributes: Alphanumeric

30 characters Mandatory

Coding Instructions: Place a valid local primary standard term in the sixteenth delimited field.

A local primary standard term is valid if it exists in the Local Primary

Standards Table.

Examples of local primary standards include:

BIOS 40K

BUBBLE FLOW METER

CALIBRATED REFERENCE HUMIDITY

CERTIFIED ORIFICE DEVICE

CHINOOK FTS COMMERCIAL CRM

Business Rules

Common Rules

1. Local Primary Standard must be in LOCAL PRIMARY STANDARDS table.

Error Messages:

- Integrity constraint (AIRSRAQS.AC LPS FK) violated.
- 2. Local Primary Standard must be at production status.

Error Messages:

• Status for LOCAL PRI STD is inactive

Insert Rules

1. Local Primary Standard is required.

Error Messages:

• Local Primary Standard is mandatory.

Update Rules

1. Local Primary Standard may not be nullified.

Error Messages:

- Unable to Insert/Update Accuracy Record in database
- Integrity constraint (AIRSRAQS.AC_LPS_FK) violated parent key not found

Delete Rules

6.1.16 Audit Class

Description: Description of the class of audit taken at the monitor.

Attributes: Alphanumeric

20 characters Mandatory Key Field

Coding Instructions: Place a valid audit class term in the seventeenth delimited field. An audit

class term is valid if it exists in the Audit Classes Table, and, if there is an

entry in the protocol Audit Classes Table for the parameter, sample

duration, and unit combination, it matches the prescribed audit class term

for that combination.

Values are ANALYTICAL and FLOW.

The following table shows the audit class values that are required for the specified parameter, duration, and unit combinations.

Parameter	Durations	Units	Audit Class
11101	7	072, 083	FLOW
12128	7, 8, C	077	ANALYTICAL
12128	7, 8, C	072, 083	FLOW
42101	1	005, 007	ANALYTICAL
42401	1	001, 007, 008	ANALYTICAL
42602	1	001, 007, 008	ANALYTICAL
44201	1	001, 007, 008	ANALYTICAL
81102	1, 7	072, 073, 083	FLOW

Business Rules

Common Rules

1. Audit Class is required.

Error Messages:

- Undefined Audit Class.
- 2. Audit Class must be in AUDIT CLASSES table.

Error Messages:

- Integrity constraint (AIRSRAQS.AC AUC FK) violated.
- 3. Audit Class must be at production status.

Error Messages:

- Status for AUDIT_CLASS is inactive
- 4. Audit Class must be valid for the Parameter, Duration Code, and Reported Unit combination.

Error Messages:

• Invalid audit class for protocol

Insert Rules

1. A record must not already be in the database for the Monitor, Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

• Unique constraint (AIRSRAQS.ACA_ACCDET_FK) violated.

Update Rules

1. A record must already be in the database for the Monitor, Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

• No data found.

Delete Rules

1. A record must already be in the database for the Monitor, Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

No data found

6.1.17 Accuracy Type

Description: A description of the type of accuracy test performed.

Attributes: Alphanumeric

20 characters Mandatory

Coding Instructions: Place a valid accuracy type term in the eighteenth delimited field. An

accuracy type term is valid if it exists in the Accuracy Types Table.

Business Rules

Common Rules

1. Accuracy Type is required.

Error Messages:

- Accuracy Type is mandatory.
- 2. Accuracy Type must be in ACCURACY TYPES table.

Error Messages:

- Integrity constraint (AIRSRAQS.AC_ACT_FK) violated.
- 3. Accuracy Type must be at production status.

Error Messages:

• Status for ACC TYPE is inactive.

Insert Rules

1. A record must not already be in the database for the Monitor, Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

• Unique constraint (AIRSRAQS.ACA ACCDET FK) violated.

Update Rules

1. A record must not already be in the database for the Monitor, Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

No data found

Delete Rules

1. A record must already be in the database for the Monitor, Date, Audit Class, Accuracy Type, and Accuracy Audit ID Number.

Error Messages:

No data found

6.1.18 Audit Sample ID

Description: The unique identity (ID) number of the reference sample used to challenge

the instrument.

Attributes: Alphanumeric

10 characters Optional

Coding Instructions: Place the audit sample ID alphanumeric text in the nineteenth delimited

field. No edit checks are performed on an audit sample ID value.

Business Rules

There are no business rules for Audit Sample ID.

6.1.19 Expiration Date

Description: The expiration date for the local primary standard.

Attributes: Date

8-digit date Optional

Coding Instructions: Place a valid expiration date in the twentieth delimited field. An

expiration date value is valid if it is in the format of YYYYMMDD.

Business Rules

Common Rules

1. The expiration date must be in the format 'YYYYMMDD'.

Error Messages:

• Invalid input record format

- Not a valid month
- Day of month must be between 1 and last day of month

Insert Rules

None.

Update Rules

None.

Delete Rules

None

6.1.20 Audit Scheduled

Description: The initial date that the performance audit was scheduled.

Attributes: Alphanumeric

8 characters Optional

Coding Instructions: Place a valid audit scheduled date in the twenty-first delimited field. An

audit scheduled value is valid if it is in the format of YYYYMMDD.

Business Rules

Common Rules

1. The expiration date must be in the format 'YYYYMMDD'.

Error Messages:

- Invalid input record format
- Not a valid month
- Day of month must be between 1 and last day of month

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

6.1.21 Level 1-5 Actual Value

Description: The true observation of the parameter value at the prescribed audit level.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnn)

Conditionally Required

Coding Instructions: The accuracy data transaction format allows for the specification of actual values for five levels. At least one pair of Indicated and Actual values is required for a valid accuracy record. In batch entry, the levels are positiondependent and coordinate with the audit levels defined for the parameter and the method's recording mode (continuous or intermittent) in the Audit Levels Table. Each actual value must be submitted with a corresponding indicated value for the same level. The level 1 actual value is assigned to the twenty-second delimited field; level 2, to the twenty-fourth; level 3 to the twenty-sixth; level 4, to the twenty-eighth; level 5, to the thirtieth.

> Place the true values of parameter concentrations being used to challenge the monitor according to the defined levels in the appropriate field. An actual value is valid if it falls within the corresponding range of values defined for the parameter and recording mode (Intermittent or Continuous instrument) in the Audit Levels Table.

> If the value entered is outside the range defined in the Audit Levels Table, the value will be accepted and a warning given.

For parameters listed below, a range of expected values has been established for observations. Actual Value concentrations reported outside the range may be data for another audit level. Ranges are specified in 40 Code of Federal Regulations (CFR) Part 58 Appendix A. In AQS, however, exceptional out of range values are accepted into the database and a warning will be given.

Parameter Code	Parameter Description	Level Number	Range
12128	Lead (TSP)	1	0.5 - 1.5 μg/m3 (25 c)
12128	Lead (TSP)	2	3 - 5 μg/m3 (25 c)
42101	Carbon Monoxide	1	3 - 8 ppm
42101	Carbon Monoxide	2	15 - 20 ppm
42101	Carbon Monoxide	3	35 - 45 ppm
42101	Carbon Monoxide	4	80 - 90 ppm
42401	Sulfur Dioxide	1	0.03 - 0.08 ppm
42401	Sulfur Dioxide	2	0.15 - 0.20 ppm
42401	Sulfur Dioxide	3	0.35 - 0.45 ppm
42401	Sulfur Dioxide	4	0.80 - 0.90 ppm
42602	Nitrogen Dioxide	1	0.03 - 0.08 ppm
42602	Nitrogen Dioxide	2	0.15 - 0.20 ppm
42602	Nitrogen Dioxide	3	0.35 - 0.45 ppm

Parameter Code	Parameter Description	Level Number	Range
42602	Nitrogen Dioxide	4	
44201	Ozone	1	0.03 - 0.08 ppm
44201	Ozone	2	0.15 - 0.20 ppm
44201	Ozone	3	0.35 - 0.45 ppm
44201	Ozone	4	0.80 - 0.90 ppm

For some parameters, percent differences are utilized to reject data that could be in error as a result of transcription errors. The maximum percent differences and parameters are listed below:

Parameter Code	Maximum Percent Difference
44201	<u>+</u> 90 %
42602	<u>+</u> 90 %
42401	<u>+</u> 90 %
42101	<u>+</u> 90 %
12128	<u>+</u> 90 %

The percent difference is calculated using the following formula:

$$\left\{\frac{(indicated-actual)}{actual}\right\} \times 100$$

Business Rules

Common Rules

1. The Actual Value must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Value larger than specified precision allows for this column
- 2. Actual Value must fall within the value range defined for the corresponding audit level. **Warning Messages:**
 - The value must be within the min and max concentration levels for the parameter.
- 3. The relative percent difference of Actual Value and Indicated Value may not exceed the maximum allowed for the parameter.

Error Messages:

• Maximum Percent Difference defined for the parameter was exceeded.

Insert Rules

1. At least one of the 5 levels must have a data entry. Up to five actual/indicated pairs can

be entered. One of any of the pairs is mandatory.

Error Messages:

- There is no Accuracy Audit for this Accuracy Data record.
- 2. An Indicated Value must be paired with an Actual Value at each level. One of any of the pairs is mandatory. An Actual Value may not be null if an Indicated Value is given.

Error Messages:

• Invalid Actual Value.

Update Rules

None.

Delete Rules

None.

6.1.22 Level 1-5 Indicated Value

Description: The recorded observation of the parameter value at the prescribed audit

level.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Conditionally Required

Coding Instructions:

The accuracy data transaction format allows for the specification of actual values for five levels. At least one pair of Indicated and Actual values is required for a valid accuracy record. In batch entry, the levels are position-dependent and coordinate with the audit levels defined for the parameter and the method's recording mode (continuous or intermittent) in the Audit Levels Table. Each indicated value must be submitted with a corresponding actual value for the same level. The level 1 Indicated Value is assigned to the twenty-third delimited field; level 2, to the twenty-fifth; level 3 to the twenty-seventh; level 4, to the twenty-ninth; level 5, to the thirty-first.

Place the actual values of parameter concentrations being used to challenge the monitor according to the defined levels in the appropriate field. The indicated, or reported values should be paired with their associated actual values. An indicated value is expected to fall within the corresponding range of values defined for the parameter and recording mode in the Audit Levels Table.

If the value entered is outside the range defined in the Audit Levels Table, the value will still be accepted and an Informational warning given when using the data entry form. Warnings are given during batch load of this data but the Session Status of the load will be listed as "COMPLETED" meaning the job loaded successfully even though warning messages were

generated.

For parameters listed below, a range of expected values has been established for observations. Actual Value concentrations reported outside the range may be data for another audit level. Ranges are specified in 40 Code of Federal Regulations (CFR) Part 58 Appendix A. In AQS, however, exceptional out of range values, both indicated and actual, are accepted into the database. When entering data through the interactive "Maintain" form, warnings will be given if values are out of range for a particular level. In Batch, warnings are provided and, because they are not errors, the batch status will be listed as "COMPLETED".

Parameter Code	Parameter Description	Level Number	Range
12128	Lead (TSP)	1	0.5 - 1.5 μg/m3 (25 c)
12128	Lead (TSP)	2	3 - 5 μg/m3 (25 c)
42101	Carbon Monoxide	1	3 - 8 ppm
42101	Carbon Monoxide	2	15 - 20 ppm
42101	Carbon Monoxide	3	35 - 45 ppm
42101	Carbon Monoxide	4	80 - 90 ppm
42401	Sulfur Dioxide	1	0.03 - 0.08 ppm
42401	Sulfur Dioxide	2	0.15 - 0.20 ppm
42401	Sulfur Dioxide	3	0.35 - 0.45 ppm
42401	Sulfur Dioxide	4	0.80 - 0.90 ppm
42602	Nitrogen Dioxide	1	0.03 - 0.08 ppm
42602	Nitrogen Dioxide	2	0.15 - 0.20 ppm
42602	Nitrogen Dioxide	3	0.35 - 0.45 ppm
42602	Nitrogen Dioxide	4	
44201	Ozone	1	0.03 - 0.08 ppm
44201	Ozone	2	0.15 - 0.20 ppm
44201	Ozone	3	0.35 - 0.45 ppm
44201	Ozone	4	0.80 - 0.90 ppm

For some parameters, percent differences are utilized to reject data that could be in error as a result of transcription errors. The maximum percent differences and parameters are listed below:

Parameter Code	Maximum Percent Difference
44201	<u>+</u> 90 %
42602	<u>+</u> 90 %

42401	<u>+</u> 90 %
42101	<u>+</u> 90 %
12128	<u>+</u> 90 %

The percent difference is calculated using the following formula:

$$\left\{\frac{(indicated - actual)}{actual}\right\} \times 100$$

Business Rules

Common Rules

1. The Indicated Value must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Value larger than specified precision allows for this column
- 2. Indicated Value must fall within the value range defined for the corresponding audit level.

Warning Messages:

- The value must be within the min and max concentration levels for the parameter.
- 3. The relative percent difference of Actual Value and Indicated Value may not exceed the maximum allowed for the parameter.

Error Messages:

• Maximum Percent Difference defined for the parameter was exceeded.

Insert Rules

1. At least one of the 5 levels must have a data entry. Up to five actual/indicated pairs can be entered. One of any of the pairs is mandatory.

Error Messages:

- There is no Accuracy Audit for this Accuracy Data record.
- 2. An Actual Value must be paired with an Indicated Value at each level. One of any of the pairs is mandatory. An Indicated Value may not be null if an Actual Value is given.

Error Messages:

• Invalid Indicated Value.

Update Rules

None

Delete Rules

6.1.23 Zero Span

Description: A measurement obtained with gas from a zero concentration. Zero span is

the observed value read from the instrument when the concentration of the

specific parameter used to test the monitor was zero.

Attributes: Numeric

10 digits, including 5 decimals (nnnnn.nnnnn)

Optional

Coding Instructions: Place the zero span value in the thirty-second delimited field.

Business Rules

Common Rules

1. The Zero Span must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

Value larger than specified precision allows for this column

Insert Rules

None.

Update Rules

None

Delete Rules

None.

6.2 Precision Data - Transaction Type RP

The type RP transaction is used for reporting quality control information. The values reported by intermittent collocated monitors are checked for agreement among the collocated monitors. Continuous monitors are challenged with a known concentration of gas. See below for a list of fields on the RP transaction.

An insert transaction is used to insert an observation into the database where it does not currently exist.

An update transaction is used to change or delete existing observations. The actual method and actual value fields on this transaction pertain to the designated monitor, and the indicated method and indicated value fields pertain to the collocated sampler (monitor). For continuous monitors, the indicated method and indicated value fields pertain to a known gas mixture.

If both the indicated and actual values from the intermittent collocated samplers are reported to the raw data files and the monitors are updated with the monitor collocated transaction (MJ) to indicate that they are collocated, the post process for the raw data will also create precision records without the use of the RP transaction.

If both the indicated and actual values from the intermittent collocated samplers are reported to the raw data files, then only the monitor ID (State-County-Site-Parameter-POC) and the collocated POC ID are required on the RP transaction, along with the date.

In other words, precision data from collocated monitors can be submitted in three different ways:

- 1. Allow the system to create precision records when the raw data is psoted by submitting RJ transactions to register the monitors as collocated.
- 2. Include the actual and indicated values on the precision transaction. In this case, there must be a daily raw data observation value in the database for the primary monitor, and this value must match the actual value on the precision transaction. If there is a raw data observation for the collocated POC ID, then the value supplied for indicated value must match that raw data observation value.
- 3. Submit the precision data transaction to refer to existing daily raw data values in the database. To submit data this way, the following fields are left null: sample duration, unit, actual method, actual value, indicated method, and indicated value. The transaction identifies the primary monitor using state, county, site, parameter, and POC; and identifies the duplicate sampler using collocated POC ID, along with the same state, county, site, and parameter values as the primary monitor. In this case, the database must contain daily raw data values for both samplers for the same day. The system will populate the precision data using those raw data values for that day.

See <u>AQS Input Transaction Formats</u> at http://www.epa.gov/ttn/airs/airsaqs/manuals.htm for a summary list of requirements on each transaction format.

6.2.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code

Mandatory

Coding Instructions: Place RP in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION_TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

6.2.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code

Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

6.2.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

6.2.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions:

Place a valid county code or a valid tribal code in the fourth delimited field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal_Areas table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

6.2.4 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

6.2.5 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None

Update Rules

None

Delete Rules

None.

6.2.6 POC (Parameter Occurrence Code)

Description:

An identifier used to distinguish between multiple monitors at the same site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes: Numeric

2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is

valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

6.2.7 Precision ID

Description: A sequentially assigned number used to identify (ID) a particular precision

check from others, when multiple checks are performed on the same day.

Attributes: Numeric

2-digit ID (nn)

Optional when using the old transaction format (80 columns) Mandatory when using the new transaction format (pipe-delimited)

Key Field

Coding Instructions: Place a valid precision ID number in the eighth delimited field. A

precision ID value is valid if it is greater than 0.

For the old transaction format (80 columns): This ID is mandatory in the database, but not mandatory on the transaction. If left blank on the transaction, the system will generate a "1" for the field as part of the load step. If precision data for the same monitor on the same date already exists (in the database or in the transaction set), the system will not increment the number being generated for the field. A "1"will still be system-generated for each blank precision ID field on the transactions.

Therefore, if an agency is attempting to submit multiple precision transactions for the same day and monitor, and is leaving this field blank, then all of the RP transactions in the job will get a system-generated "1" in this field. Then the transactions for the same day and monitor will reject because they are not unique (they will all have a precision ID of "1").

So be sure to manually supply unique values for this field if you are submitting more than one set of precision data values for the same day and monitor.

The above applies to the old transaction format only.

For the new transaction format (pipe-delimited), the precision ID must be supplied.

Business Rules

Common Rules

1. Precision ID is required.

Error Messages:

- Precision ID Required.
- 2. Precision ID must be between 1 and 99.

Error Messages:

• Precision ID must be between 1 and 99.

Insert Rules

1. The combination of Date and Precision ID must not already be in the database for the Monitor.

Error Messages:

• Unique constraint (AIRSRAQS.PD_UK) violated

Update Rules

1. The combination of Date and Precision ID must be in the database for the Monitor.

Error Messages:

No data found

Delete Rules

1. The combination of Date and Precision ID must be in the database for the Monitor.

Error Messages:

• No data found.

6.2.8 Duration Code

Description: The period of time during which the blank sample value was collected.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place a valid sample duration code in the ninth delimited field. A sample

duration value is valid if it exists in the Sample Durations Table and if it exists in combination with unit, parameter, method, and collection

frequency in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

- Invalid Protocol.
- 2. Duration Code must not be different than the one used for any other data for the monitor in the year.

Error Messages:

• Sample duration cannot change within a calendar year.

Insert Rules

1. Duration Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None

6.2.9 Reported Unit

Description: The dimensional system in which the pollutant concentration or parameter

reading is expressed.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid unit code in the tenth delimited field. A unit value is valid if

it exists in the Units Table and if it exists in combination with parameter,

sampling duration, and method in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code, must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Reported Unit is required

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

6.2.10 Actual Method Code

Description: Identifies a particular method for collecting and analyzing samples of the

monitor's parameter.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid method code in the eleventh delimited field. A method code

is valid if it exists in combination with parameter in the Sampling Methodologies Table, and if it exists in combination with parameter,

sampling duration, and units in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Actual Method Code) must be in PROTOCOLS table.

Error Messages:

- Invalid Protocol.
- 2. The Actual Method's recording mode must be the same as for all other methods used for the monitor in the calendar year.

Error Messages:

• Methods reported for a monitor-year must use the same recording modes.

Insert Rules

1. Method Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

6.2.11 Precision Date

Description: The calendar date for which the precision check is being reported.

Attributes: Date

8-digit date Mandatory Key Field

Coding Instructions: Place a valid date in the twelfth delimited field. A date value is valid if:

• It is in the form of YYYYMMDD,

- It is between January 1, 1980 and the current date,
- It falls within a valid sample period defined for the monitor, and,
- It falls within a valid QA agency period.

Business Rules

Common Rules

1. Precision Date is Required.

Error Messages:

- Date Required.
- 2. Precision Date must between 1/1/1980 and current date.

Error Messages:

- The Precision/Accuracy Date must be between Jan 1, 1980 and the current date.
- 3. Precision Date must fall within a valid sample period for the monitor.

Error Messages:

- Date must be within a valid sample period.
- 4. Precision Date must fall within a valid reporting organization period for the monitor if prior to 1/1/2007; otherwise, it must be in a PQAO period.

Error Messages:

• No active QA agency for precision and accuracy data...

Insert Rules

1. The combination of Precision Date and Precision ID must not already be in the database for the Monitor.

Error Messages:

• Unique constraint (AIRSRAQS.PD UK) violated

Update Rules

1. The combination of Precision Date and Precision ID must be in the database for the Monitor.

Error Messages:

• No data found

Delete Rules

1. The combination of Precision Date and Precision ID must be in the database for the Monitor.

Error Messages:

No data found

6.2.12 Actual Value

Description: The true value of the parameter concentration with which the monitor was

challenged. For a collocated data pair, the sample value from the primary

sampler.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Mandatory (Not required if value is stored as raw data. If reported, it must

equal the value in the Raw Data Table)

Coding Instructions: Place the true value in the thirteenth delimited field.

For some parameters, upper limits have been established for non-flow precision observations, and the numeric value entered cannot exceed that maximum. Those maximum values and parameters are listed below:

Parameter Code	Parameter Description	Maximum Precision Value
11101	Suspended particulate (TSP)	2000 ug/m3 SC
12128	Lead (TSP)	80 ug/m3 SC
42101	Carbon monoxide	20 ppm
42401	Sulfur dioxide	.22 ppm
42602	Nitrogen dioxide	.21 ppm
43166	1,1-Dichloroethylididene bis (4- Chlorobenzene)	3 ng/m3 SC
43167	Phosphorotrithioic acid,S,S,S-tributylester	3 ng/m3 SC
44201	Ozone	.21 ppm
81102	PM10 Total 0-10um STP	2000 ug/m3 SC
85101	PM10 - LC	2000 ug/m3 LC
88101	PM2.5 - Local Conditions	2000 ug/m3 LC
88390	Levoglucosan PM2.5 LC	200 ug/m3 LC
88391	Mannosan PM2.5 LC	200 ug/m3 LC
88392	Galactosan PM2.5 LC	200 ug/m3 LC
88500	PM2.5 Total Atmospheric	200 ug/m3 LC
88501	PM2.5 Raw Data	200 ug/m3 LC
88502	Acceptable PM2.5 AQI & Speciation Mass	200 ug/m3 LC
88503	PM2.5 Volatile Channel	200 ug/m3 LC

For some parameters, relative percent difference (RPD) is utilized to reject data that could be in error as a result of transcription errors. The maximum RPDs and parameters are listed below:

Parameter Code	Parameter Description	Maximum RPD
11101	Suspended particulate (TSP)	<u>+</u> 160%
12128	Lead (TSP)	<u>+</u> 201%
42101	Carbon monoxide	<u>+</u> 90%
42401	Sulfur dioxide	<u>+</u> 90%
42602	Nitrogen dioxide	<u>+</u> 90%
44201	Ozone	<u>+</u> 80%
81102	PM10 Total 0-10um STP	<u>+</u> 201%
85101	PM10 - LC	<u>+</u> 201%
88101	PM2.5 - Local Conditions	<u>+</u> 160%
88390	Levoglucosan PM2.5 LC	<u>+</u> 160%
88391	Mannosan PM2.5 LC	<u>+</u> 160%
88392	Galactosan PM2.5 LC	<u>+</u> 160%
88500	PM2.5 Total Atmospheric	<u>+</u> 160%
88501	PM2.5 Raw Data	<u>+</u> 160%
88502	Acceptable PM2.5 AQI & Speciation Mass	<u>+</u> 160%
88503	PM2.5 Volatile Channel	<u>+</u> 160%

For collocated precision pairs, the RPD is calculated using the following formula:

$$\left\{\frac{actual - indicated)}{((actual + indicated)/2)}\right\} \times 100$$

For gaseous, flow, and FRM precision checks, the RPD is calculated using the following formula:

$$\left\{\frac{(indicated-actual)}{actual}\right\} \times 100$$

Business Rules

Common Rules

1. If supplied, the actual value must be a number with no more than 6 digits before or after the decimal point.

Error Messages:

- Precision scale is greater than 6.
- 2. Actual Value must be less than the maximum defined for the parameter.

Error Messages:

- The Test Conc exceeds the Max Precision Check Value for the parameter..
- 3. The relative percent difference of Actual Value and Indicated Value may not exceed the maximum allowed for the parameter.

Error Messages:

• Maximum Percent Difference defined for the parameter was exceeded

4. If an Agency code is supplied on the Precision Data Transaction, then the Actual Value is required.

Error Messages:

- Actual Value required for PEP Precision Data.
- 5. If the Duration Code is X, the Actual Value is required.

Error Messages:

- Actual Value required for Collocated Continuous Data.
- 6. If the Reported Unit is type FLOW, the Actual Value is required.

Error Messages:

- Actual_Value required for Flow Audit Data.
- 7. If the Parameter type is "Gaseous" then the Actual Value is required.

Error Messages:

- Actual_Value required for Gaseous Data
- 8. If supplied for Collocated intermittent data, the Actual Value must match the value of the corresponding Raw Data point.

Error Messages:

• Value on Precision Transaction does not match Raw Data value in database.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

6.2.13 Indicated Method

Description: Identifies the particular method for collecting and analyzing the sample

value from the duplicate sampler. Only applies to collocated data.

Attributes: Alphanumeric

3-digit code Optional

Coding Instructions: Place a valid method code in the fourteenth delimited field. An indicated

method value is valid if it matches the method associated with the daily raw data point collected by the duplicate sampler on the precision date. (The duplicate sampler is identified by state code, County/Tribal Code,

Site ID, parameter, and collocated POC.)

Business Rules

Common Rules

1. If supplied, the combination of (Duration Code, Reported Unit, Parameter, and Indicated Method) must be in PROTOCOLS table.

Error Messages:

- Invalid Protocol.
- 2. Indicated Method must be of the same recording mode (i.e., continuous or intermittent) as all other methods used for the monitor in the year.

Error Messages:

- Methods reported for a monitor-year must use the same recording modes.
- 3. If the Duration Code is 'X', then the method's recording mode must be CONTINUOUS. **Error Messages:**
 - Indicated method recording mode must be continuous for Duration X
- 4. If a Collocated POC is specified on the transaction, then the method's recording mode must be INTERMITTENT.

Error Messages:

- Collocated data must have an Intermittent recording mode or Duration X.
- 5. If an Agency Code is supplied on the transaction, the Indicated Method must be blank. **Error Messages:**
 - Indicated Method not allowed for PEP Precision Data.
- 6. If the Reported Unit is of type FLOW, then Indicated method must be blank.

Error Messages:

- Indicated Method not allowed for Flow Precision Data.
- 7. If the Parameter's type is Gaseous, then the Indicated method must be blank.

Error Messages:

• Indicated Method not allowed for Gaseous Precision Data

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

6.2.14 Indicated Value

Description:

The observed value of the parameter concentration with which the monitor was challenged. For a collocated data pair, the sample value from the duplicate sampler.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Mandatory

Coding Instructions:

Place the indicated value in the fifteenth delimited field. An indicated value is valid if its percentage difference relative to the test value does not exceed the level defined for the parameter on the Parameters Table.

For some parameters, upper limits have been established for non-flow precision observations, and the numeric value entered cannot exceed that maximum. Those maximum values and parameters are listed below:

Parameter Code	Parameter Description	Maximum Precision Value
11101	Suspended particulate (TSP)	2000 ug/m3 SC
12128	Lead (TSP)	80 ug/m3 SC
42101	Carbon monoxide	20 ppm
42401	Sulfur dioxide	.22 ppm
42602	Nitrogen dioxide	.21 ppm
43166	1,1-Dichloroethylididene bis (4-Chlorobenzene)	3 ng/m3 SC
43167	Phosphorotrithioic acid,S,S,S-tributylester	3 ng/m3 SC
44201	Ozone	.21 ppm
81102	PM10 Total 0-10um STP	2000 ug/m3 SC
85101	PM10 - LC	2000 ug/m3 LC
88101	PM2.5 - Local Conditions	2000 ug/m3 LC
88390	Levoglucosan PM2.5 LC	200 ug/m3 LC
88391	Mannosan PM2.5 LC	200 ug/m3 LC
88392	Galactosan PM2.5 LC	200 ug/m3 LC
88500	PM2.5 Total Atmospheric	200 ug/m3 LC
88501	PM2.5 Raw Data	200 ug/m3 LC
88502	Acceptable PM2.5 AQI & Speciation Mass	200 ug/m3 LC
88503	PM2.5 Volatile Channel	200 ug/m3 LC

For some parameters, relative percent difference (RPD) is utilized to reject data that could be in error as a result of transcription errors. The maximum RPDs and parameters are listed below:

Parameter Code	Parameter Description	Maximum RPD
11101	Suspended particulate (TSP)	<u>+</u> 160%
12128	Lead (TSP)	<u>+</u> 201%
42101	Carbon monoxide	<u>+</u> 90%
42401	Sulfur dioxide	<u>+</u> 90%
42602	Nitrogen dioxide	<u>+</u> 90%
44201	Ozone	<u>+</u> 80%
81102	PM10 Total 0-10um STP	<u>+</u> 201%
85101	PM10 - LC	<u>+</u> 201%
88101	PM2.5 - Local Conditions	<u>+</u> 160%
88390	Levoglucosan PM2.5 LC	<u>+</u> 160%
88391	Mannosan PM2.5 LC	+160%

Parameter Code	Parameter Description	Maximum RPD
88392	Galactosan PM2.5 LC	<u>+</u> 160%
88500	PM2.5 Total Atmospheric	<u>+</u> 160%
88501	PM2.5 Raw Data	<u>+</u> 160%
88502	Acceptable PM2.5 AQI &	<u>+</u> 160%
	Speciation Mass	
88503	PM2.5 Volatile Channel	+160%

For collocated precision pairs, the RPD is calculated using the following formula:

$$\left\{\frac{actual - indicated)}{((actual + indicated)/2)}\right\} \times 100$$

For gaseous, flow, and FRM precision checks, the RPD is calculated using the following formula:

$$\left\{\!\frac{(indicated-actual)}{actual}\!\right\}\!\times\!100$$

Business Rules

Common Rules

1. If supplied, the indicated value must be a number with no more than 6 digits before or after the decimal point.

Error Messages:

- Precision scale is greater than 6.
- 2. Indicated Value must be less than the maximum defined for the parameter.

Error Messages:

- The Ind Value exceeds the Max Precision Check Value for the parameter.
- 3. The relative percent difference of Actual Value and Indicated Value may not exceed the Maximum allowed for the parameter.

Error Messages:

- Maximum Percent Difference defined for the parameter was exceeded
- 4. If an Agency code is supplied on the Precision Data Transaction, then the Indicated Value is not allowed.

Error Messages:

- Indicated Value not allowed for PEP Precision Data.
- 5. If the Duration Code is X, the Actual Value is required.

Error Messages:

• Indicated Value required for Collocated Continuous Data.

6. If the Reported Unit is type FLOW, the Indicated Value is required.

Error Messages:

- Indicated Value required for Flow Audit Data.
- 7. If the Parameter type is "Gaseous" then the Indicated Value is required.

Error Messages:

- Indicated Value required for Gaseous Data
- 8. If supplied with a Collocated POC, , the Indicated Value must match the value of the corresponding Raw Data point.

Error Messages:

• Value on Precision Transaction does not match Raw Data value in database.

Insert Rules

None.

Update Rules

None

Delete Rules

None

6.2.15 Collocated POC

Description: The POC of the duplicate sampler. Only applies to collocated data where

the duplicate value is a recorded daily raw data point.

Attributes: Numeric

2-digit ID Optional

Coding Instructions: Place a valid collocated POC ID in the sixteenth delimited field. A

collocated POC is valid if it exists in combination with state code,

County/Tribal Code, Site ID, and parameter on the Monitors Table, and if

there is a sample value recorded for that monitor in raw data on the

precision date.

Business Rules

Common Rules

1. Collocated POC must be a number in the range of 1 to 99.

Error Messages:

- Invalid Collocated POC.
- 2. The Combination of Parameter and Collocated POC must exist on the Monitors table for the Site.

Error Messages:

• No Monitor present for Collocated POC.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

6.2.16 Precision Sample ID

Description: The unique identity (ID) number of the reference sample used to challenge

the instrument.

Attributes: Alphanumeric

10 characters Optional

Coding Instructions: Place the precision check sample ID alphanumeric text in the seventeenth

delimited field. No edit checks are performed on an precision sample ID

value.

Business Rules

There are no business rules for Precision Sample ID.

6.2.17 Agency Performing FRM Audit

Description: The agency submitting precision data resulting from a Federal Reference

Method Code (FRM) audit of the manual method for PM-2.5 monitoring. This agency is commonly an EPA laboratory or independent laboratory.

Do not use this field to identify the air pollution control agency that is responsible for the monitor, its data, and routine precision and accuracy data, because precision data submitted on this transaction (RP) with this field (agency performing audit) populated will not be included in the

summary statistical data for the monitor.

Attributes: Alphanumeric

4-digit code Optional

Coding Instructions: Place a valid agency performing audit code in the eighteenth delimited

field. An agency performing audit value is valid if it exists in combination

with state code in the State Agencies Table.

This transaction will be rejected if the precision audit is not an FRM audit. The actual value must be blank and must have been reported by the reporting agency as raw data.

Business Rules

Common Rules

1. The combination of State Code and Agency Performing FRM Audit must be in the STATE AGENCIES table.

Error Messages:

- Integrity constraint (AIRSRAQS.QAD SA FK) violated.
- 2. The State Agencies table row must be at production status.

Error Messages:

• Status for STT_STATE_CODE, AG_AGENCY_CODE is inactive

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

6.3 Critical Review Tests

For monitors defined as SLAMS, NAMS, and PAMS, measurement data is identified as suspect, or considered for review, if it meets certain "Critical Review" conditions. This data is periodically examined by EPA headquarters personnel.

The specific tests are as follows:

• Precision checks or accuracy audits whose Relative Percent Difference (RPD) is greater than or equal to 50% for a single precision check.

For collocated precision pairs, the RPD is calculated using the following formula:

$$\left\{\frac{actual - indicated)}{((actual + indicated)/2)}\right\} \times 100$$

For other types of precision checks or accuracy audits, the RPD is calculated using the following formula:

$$\left\{\frac{(indicated - actual)}{actual}\right\} \times 100$$

- Precision data whose quarterly RSD is greater than or equal to 50% on a per monitor basis as the summary record is generated from the update process.
- Precision data whose quarterly RSD is greater than or equal to 40% on a reporting organization, per quarter basis as the summary record is generated from the update process.

7 Annual Summary Data - Transaction Type RS

Annual summary data is usually system-generated from the raw data contained in the system. However, the new AQS makes provision for storing summary data from agencies when they will not be submitting raw data.

Transaction type RS contains annual summary data in the following fields:

Transaction Type

Action Indicator

State Code or Tribal Indicator

County Code or Tribal Code

Site ID

Parameter

POC

Duration Code

Reported Unit

Method Code

Summary Year

Exceptional Data Type ID

Count of Observations

Count of Exceptional Events

Maximum Value

Maximum Value Date

Maximum Value Time

Maximum Value 2nd Highest

Maximum Value Date of 2nd Highest

Maximum Value Time of 2nd Highest

Maximum Value 3rd Highest

Maximum Value 4th Highest

Maximum Value 5th Highest

Minimum Sample Value

Arithmetic Mean

Arithmetic Standard Deviation

Geometric Mean

Geometric Standard Deviation

Percentile Sample Value - 10th

Percentile Sample Value - 25th

Percentile Sample Value - 50th

Percentile Sample Value - 75th

Percentile Sample Value - 90th

Percentile Sample Value - 95th

Percentile Sample Value - 98th

Percentile Sample Value - 99th

Percent of Observations

Count of Half-MDL Substitutions

7.1.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code Mandatory

Coding Instructions: Place RS in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION_TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

7.1.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or

more tables.

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

• Action Code is Required.

2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in delimited field 3. A

State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

Delete Rules

None

7.1.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal_Areas

table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

7.1.4 Site ID

Description: A numeric identifier (ID) that uniquely identifies each air monitoring site

within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For

Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None

Delete Rules

None

7.1.5 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

7.1.6 POC (Parameter Occurrence Code)

Description: An identifier used to distinguish between multiple monitors at the same

site that are measuring the same parameter. For example, the first monitor established to measure CO at a site could have a POC of 1. If an

additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be

considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes: Numeric

2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is

valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors

Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

7.1.7 Duration Code

Description: The period of time during which the blank sample value was collected.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place a valid sample duration code in the eighth delimited field. A sample

duration value is valid if it exists in the Sample Durations Table and if it exists in combination with unit, parameter, method, and collection

frequency in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

- Invalid Protocol
- 2. Sample duration must be an observed rather than a computed duration.

Error Messages:

- Raw data must be submitted with an observed duration.
- 3. Duration Code must not be different than the one used for any other data for the monitor in the year.

Error Messages:

• Duration Code does not match the annual summary duration.

Insert Rules

1. Duration Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None

Delete Rules

None.

7.1.8 Reported Unit

Description: The dimensional system in which the pollutant concentration or parameter

reading is expressed.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid unit code in the ninth delimited field. A unit value is valid if

it exists in the Units Table and if it exists in combination with parameter,

sampling duration, and method in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Reported Unit is required

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

7.1.9 Method Code

Description: Identifies a particular method for collecting and analyzing samples of the

monitor's parameter.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid method code in the tenth delimited field. A method code is

valid if it exists in combination with parameter in the Sampling Methodologies Table, and if it exists in combination with parameter,

sampling duration, and units in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Method Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

7.1.10 Summary Year

Description: The year whose raw data is summarized.

Attributes: Date

4-digit year (yyyy)

Mandatory Key Field

Coding Instructions: Place a valid summary year number in the eleventh delimited field. A

year value is valid if it is in the format of YYYY and there is a valid

sampling period defined for the monitor in that year.

Business Rules

Common Rules

1. Summary Year is required.

Error Messages:

- Year is required.
- 2. Summary Year must be in the format 'YYYY'.

Error Messages:

- Invalid Year.
- 3. The monitor must have been active for some portion of the Summary Year.

Error Messages:

- Monitor not active during Annual Summary Year
- 4. Summary Year must less than, or equal to, the current year.

Error Messages:

• Annual Summary Year must be LE current year.

Insert Rules

1. The combination of Summary Year and Exceptional Data Type ID must not already be in database for Monitor.

Error Messages:

• Unique constraint (AIRSRAQS.ANS_PK) violated

Update Rules

1. The combination of Summary Year and Exceptional Data Type ID must be in database for Monitor.

Error Messages:

• No Annual Summary data to update or delete.

Delete Rules

1. The combination of Summary Year and Exceptional Data Type ID must be in database for Monitor.

Error Messages:

• No Annual Summary data to update or delete

7.1.11 Exceptional Data Type ID

Description: Indication of whether exceptional data exists in the year being

summarized, and whether such exceptional data is included in the reported

summary values.

Attributes: Numeric

1-digit code (n) Mandatory Key Field

Coding Instructions: Place a valid exceptional data type number in the twelfth delimited field.

An exceptional data type value is valid if it exists in the Exceptional Data

Types Table.

Business Rules

Common Rules

1. Exceptional Data Type ID is required.

Error Messages:

- Exceptional Data Type is required.
- 2. Exceptional Data Type ID must be in EXCEPTIONAL DATA TYPES table.

Error Messages:

- Integrity constraint (AIRSRAQS.ANS EDT FK) violated
- 3. Exceptional Data Type ID must be at production status.

Error Messages:

- Status for EDT ID is inactive.
- 4. Exceptional Data Type ID cannot be 0 if there are other summary records for the monitor and Summary Year with Exceptional Data Type ID > 0.

Error Messages:

- EDT cannot be 0 when exceptional event data already loaded for year.
- 5. Exceptional Data Type ID cannot be > 0 if there are other summary records for the monitor and Summary Year with Exceptional Data Type ID of 0.

Error Messages:

• EDT Indicator must be 0

Insert Rules

1. The combination of Summary Year and Exceptional Data Type ID must not already be in database for Monitor.

Error Messages:

• Unique constraint (AIRSRAQS.ANS_PK) violated

Update Rules

1. The combination of Summary Year and Exceptional Data Type ID must be in database for Monitor.

Error Messages:

• No Annual Summary data to update or delete.

Delete Rules

1. The combination of Summary Year and Exceptional Data Type ID must be in database for Monitor.

Error Messages:

• No Annual Summary data to update or delete

7.1.12 Count of Observations

Description: The number of raw data values that are the basis for the summary values.

Attributes: Numeric

5 digits (nnnnn)

Optional

Coding Instructions: Place a valid count of observations in the thirteenth delimited field. A

count of observations value is valid if it is greater than 0.

Business Rules

Common Rules

1. Count of Observations must be a positive integer.

Error Messages:

- Check constraint (AIRSRAQS.ANS POSITIVE OBS CNT) violated.
- 2. Count of Observations must not be greater than what is possible for Duration Code.

Error Messages:

• Observation Count is an invalid value.

Insert Rules

None.

Update Rules

None.

Delete Rules

7.1.13 Count of Exceptional Events

Description: The number of data points in the summarized data set that were qualified

by exceptional events.

Attributes: Numeric

5 digits (nnnnn)

Optional

Coding Instructions: Place a valid number of exceptional events value in the fourteenth

delimited field. A number of exceptional events value is valid if:

• it is 0 and the exceptional data type is 0 or 1; **OR**

• it is greater than 0 and less than or equal to count of observations, and exceptional data type is 2.

Business Rules

Common Rules

1. Count of Exceptional Events must be less than, or equal to, Count of Observations.

Error Messages:

• Check constraint (AIRSRAQS.ANS EX DATA CNT) violated.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.14 Maximum Value

Description: The highest sample value in the yearly sample value set.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place a valid highest sample value in the fifteenth delimited field. A

highest sample value is valid if it is greater than or equal to the second, third, fourth, and fifth highest values reported on the transaction record.

Business Rules

Common Rules

1. Maximum Value must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

• Invalid Summary Maximum.

2. Maximum Value must be greater than or equal to the Second Maximum Value.

Error Messages:

• Sample Value must be less than or equal to the sample value of the previous level.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

7.1.15 Maximum Value Date

Description: The earliest date on which the highest sample value in the yearly data set

was reported.

Attributes: Date

8-digit date Optional

Coding Instructions: Place a valid date of highest sample value in the sixteenth delimited field.

A date of highest sample value is valid if it is in the format of

YYYYMMDD where the year portion is equal to year.

Business Rules

Common Rules

1. Date of Highest Sample Value must be in the format 'YYYYMMDD'.

Error Messages:

• Invalid Date.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.16 Maximum Value Time

Description: The time of day at which the highest sample value in the yearly data set

was reported.

Attributes: Alphanumeric

5 characters Optional

Coding Instructions: Place a valid time of highest sample value in the seventeenth delimited

field. A time of highest sample value is valid if it is in the form of

HH:MM, where HH is between 00 and 23, and MM is between 00 and 59.

Business Rules

Common Rules

1. Time of Highest Sample Value must be in the format 'HH:MM'.

Error Messages:

• Invalid Time.

Insert Rules

None

Update Rules

None.

Delete Rules

None.

7.1.17 Maximum Value Second Highest

Description: The second highest sample value in the yearly sample value set.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place a sample second highest sample value in the eighteenth delimited

field. A second highest sample value is valid if it is not greater than highest sample value, and is greater than or equal to the third, fourth, and

fifth highest values reported on the transaction record.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary 2nd Highest Value.
- 2. The 2nd Highest Sample Value must not be greater than the Highest Sample Value, nor less than the 3rd Highest Sample Value.

Error Messages:

• Sample Value must be less than or equal to the sample value of the previous level

Insert Rules

None

Update Rules

None

Delete Rules

None.

7.1.18 Maximum Value Date of Second Highest

Description: If the second highest value is less than the highest, this identifies the

earliest date on which the second highest sample value in the yearly data set was reported; if the second highest is equal to the highest, this

identifies the second earliest date on which the value was reported.

Attributes: Date

8-digit date Optional

Coding Instructions: Place a valid date of second highest sample value in the nineteenth

delimited field. A date of second highest sample value is valid if it is in the format of YYYYMMDD where the year portion is equal to year. If the highest sample value and second highest sample value are equal, then date of second highest sample must be greater than date of highest sample

value.

Business Rules

Common Rules

1. Date of 2nd Highest Sample Value must be in the format 'YYYYMMDD'.

Error Messages:

• Invalid Date.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.19 Maximum Value Time of Second Highest

Description: The time of day on which the second highest sample value in the yearly

data set was reported.

Attributes: Alphanumeric

5 characters Optional

Coding Instructions: Place a valid time of highest sample value in the twentieth delimited field.

A time of highest sample value is valid if it is in the form of HH:MM,

where HH is between 00 and 23, and MM is between 00 and 59.

Business Rules

Common Rules

1. Time of 2nd Highest Sample Value must be in the format 'HH:MM'.

Error Messages:

• Invalid Time.

Insert Rules

None

Update Rules

None.

Delete Rules

None.

7.1.20 Maximum Value Third Highest

Description: The third highest sample value in the yearly sample value set.

Attributes: Numeric

10 digits, including 5 decimal places (nnnn.nnnnn)

Optional

Coding Instructions: Place a third highest sample value in the twenty-first delimited field. A

third highest sample value is valid if it is not greater than second highest sample value, and is greater than or equal to the fourth and fifth highest

values reported on the transaction record.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary 3rd Highest Value.
- 2. The 3rd Highest Sample Value must not be greater than the 2nd Highest Sample Value, nor less than the 4th Highest Sample Value.

Error Messages:

• Sample Value must be less than or equal to the sample value of the previous level

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.21 Maximum Value Fourth Highest

Description: The fourth highest sample value in the yearly sample value set.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place a fourth highest sample value in the twenty-second delimited field.

A fourth highest sample value is valid if it is not greater than third highest

sample value, and is greater than or equal to the fifth highest value

reported on the transaction record.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary 4th Highest Value.
- 2. The 4th Highest Sample Value must not be greater than the 3rd Highest Sample Value, nor less than the 5th Highest Sample Value.

Error Messages:

• Sample Value must be less than or equal to the sample value of the previous level.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.22 Maximum Value Fifth Highest

Description: The fifth highest sample value in the yearly sample value set.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place a fifth highest sample value in the twenty-third delimited field. A

fifth highest sample value is valid if it is not greater than fourth highest

sample value.

Business Rules

Common Rules

- 1. Must be a number with no more than 5 digits either before or after the decimal point. **Error Messages:**
 - Invalid Summary 5th Highest Value.
- 2. The 5th Highest Sample Value must not be greater than the 4th Highest Sample Value. **Error Messages:**
 - Sample Value must be less than or equal to the sample value of the previous level

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.23 Minimum Sample Value

Description: The lowest sample value in the yearly sample value set.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place a lowest sample value in the twenty-fourth delimited field. A lowest

sample value is valid if it is not greater than the lowest of the highest

values reported on the transaction record.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

• Invalid Lowest Sample Value.

Insert Rules

None.

Update Rules

Delete Rules

None.

7.1.24 Arithmetic Mean

Description: The measure of central tendency obtained from the sum of the observed

pollutant data values in the yearly data set divided by the number of values

that comprise the sum for the yearly data set.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the arithmetic mean in the twenty-fifth delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

• Invalid Arithmetic Mean.

Insert Rules

None.

Update Rules

None

Delete Rules

None

7.1.25 Arithmetic Standard Deviation

Description: The measure of the dispersion about the central tendency of a pollutant

that is the square root of the arithmetic mean of the squares of the

variation of each data value from the arithmetic mean of the data values of

the yearly data set.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the arithmetic standard deviation in the twenty-sixth delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

• Invalid Arithmetic Standard Deviation.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

7.1.26 Geometric Mean

Description: The measure of central tendency obtained from the sum of the logarithms

of observed sample values in the yearly data set, divided by the number of

values, with that result applied as an exponent to 10.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the geometric mean in the twenty-seventh delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

• Invalid geometric mean.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.27 Geometric Standard Deviation

Description: The measure of the dispersion about the central tendency of a pollutant

that is based on the variation between the geometric mean of a sample of

values and the logarithms of the values themselves.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the geometric standard deviation in the twenty-eighth delimited

field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

• Invalid Geometric Standard Deviation.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.28 Percentile Sample Value - 10TH Percentile

Description: The sample value occurring in the tenth percentile of the yearly data set

when sorted from lowest to highest.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the sample value in the twenty-ninth delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary Percentile
- 2. The 10th Percentile must not be greater than the 25th Percentile.

Error Messages:

• Percentile Sample Value is not greater than prior percentile sample value.

Insert Rules

None.

Update Rules

Delete Rules

None.

7.1.29 Percentile Sample Value - 25TH Percentile

Description: The sample value occurring in the twenty-fifth percentile of the yearly

data set when sorted from lowest to highest.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the sample value in the thirtieth delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary Percentile
- 2. The 25th Percentile must not be greater than the 50th Percentile, nor less than the 10th Percentile.

Error Messages:

• Percentile Sample Value is not greater than prior percentile sample value.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.30 Percentile Sample Value - 50TH Percentile

Description: The sample value occurring in the fiftieth percentile of the yearly data set

when sorted from lowest to highest.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the sample value in the thirty-first delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary Percentile
- 2. The 50th Percentile must not be greater than the 75th Percentile, nor less than the 25th Percentile.

Error Messages:

• Percentile Sample Value is not greater than prior percentile sample value.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

7.1.31 Percentile Sample Value - 75TH Percentile

Description: The sample value occurring in the seventy-fifth percentile of the yearly

data set when sorted from lowest to highest.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the sample value in the thirty-second delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary Percentile
- 2. The 75th Percentile must not be greater than the 90th Percentile, nor less than the 50th Percentile.

Error Messages:

• Percentile Sample Value is not greater than prior percentile sample value.

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

7.1.32 Percentile Sample Value - 90TH Percentile

Description: The sample value occurring in the ninetieth percentile of the yearly data

set when sorted from lowest to highest.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the sample value in the thirty-third delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary Percentile
- 2. The 90th Percentile must not be greater than the 95th Percentile, nor less than the 75th Percentile.

Error Messages:

• Percentile Sample Value is not greater than prior percentile sample value.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

7.1.33 Percentile Sample Value - 95TH Percentile

Description: The sample value occurring in the ninety-fifth percentile of the yearly data

set when sorted from lowest to highest.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the sample value in the thirty-fourth delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary Percentile
- 2. The 95th Percentile must not be greater than the 98th Percentile, nor less than the 90th Percentile.

Error Messages:

• Percentile Sample Value is not greater than prior percentile sample value.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.34 Percentile Sample Value - 98TH Percentile

Description: The sample value occurring in the ninety-eighth percentile of the yearly

data set when sorted from lowest to highest.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place the sample value in the thirty-fifth delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary Percentile
- 2. The 98th Percentile must not be greater than the 99th Percentile, nor less than the 95th Percentile.

Error Messages:

• Percentile Sample Value is not greater than prior percentile sample value.

Insert Rules

None.

Update Rules

None.

Delete Rules

7.1.35 Percentile Sample Value - 99TH Percentile

Description: The sample value occurring in the ninety-ninth percentile of the yearly

data set when sorted from lowest to highest.

Attributes: Numeric

10 digits, including 5 decimal places (nnnn.nnnnn)

Optional

Coding Instructions: Place the sample value in the thirty-sixth delimited field.

Business Rules

Common Rules

1. Must be a number with no more than 5 digits either before or after the decimal point.

Error Messages:

- Invalid Summary Percentile
- 2. The 99th Percentile must not be less than the 98th Percentile.

Error Messages:

• Percentile Sample Value is not greater than prior percentile sample value.

Insert Rules

None.

Update Rules

None

Delete Rules

None.

7.1.36 Percent of Observations

Description: The percent of actual data values that were reported compared to the

number of data values that could have been reported for the year.

Attributes: Numeric

10 digits, including 4 decimal places (nnnnn.nnnn)

Optional

Coding Instructions: Place the observation percentage in the thirty-seventh delimited field.

Business Rules

Common Rules

1. The percent of observations must be a number between 0 and 100.

Error Messages:

• Invalid Percent of Observations.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

7.1.37 Count of Half-MDL Substitutions

Description: Represents the number of substitutions of one-half the Method Code

Detectable Limit value for the year.

Attributes: Numeric

5 digits (nnnnn)

Optional

Coding Instructions: Place the count of observations with one-half the MDL in the thirty-eighth

delimited field.

Business Rules

Common Rules

1. Number < MDL must be an integer greater than or equal to 0.

Error Messages:

- Invalid Number < MDL.
- 2. Number < MDL must be less than, or equal to, Count of Observations.

Error Messages:

• Check constraint (AIRSRAQS.ANS OBS CNT LT HALF MDL) violated

Insert Rules

None.

Update Rules

None.

Delete Rules

8 Blanks Data – Transaction Type RB

Blanks data provides an audit of background concentrations of parameters in the un-exposed filters.

Transaction type RB contains blanks data in the following fields:

Transaction Type

Action Indicator

State Code or Tribal Indicator

County Code or Tribal Code

Site ID

Parameter

POC

Duration Code

Reported Unit

Method Code

Blank Type

Blank Date

Blank Time

Blank Value

Null Data Code

Oualifier-1

Qualifier-2

Qualifier-3

Qualifier-4

Qualifier-5

Qualifier-6

Qualifier-7

Qualifier-8

Qualifier-9

Qualifier-10

Alternate Method Detectable

Limit

Uncertainty Value

Insert transactions are used to enter new blank measurement values.

Update transactions are used to change existing blank measurement values.

Delete Transactions are used to remove existing blank measurement values.

The details for each field on the blank transaction are provided below:

8.1.0 Transaction Type

Description: Specifies which batch transaction is being processed by the batch load

software (i.e., which tables and columns will be updated with the data in

the delimited fields).

Attributes: Alphanumeric

2-character code Mandatory

Coding Instructions: Place RB in the first delimited field.

Business Rules: Common Rules

1. Transaction Type is mandatory

Error Messages:

- Invalid transaction format.
- 2. Transaction Type must be in TRANSACTION TYPES table in database.

Error Messages:

• Transaction type not handled.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

8.1.1 Action Indicator

Description: Indicates the data manipulation action to be performed by the transaction.

Attributes: Alphanumeric

1-character code

Mandatory

Coding Instructions: Place the values I, U, or D in the second delimited field.

I Insert a new row into the appropriate table in the database.

U Change one or more column values for an existing row in one or more tables.

more tables

D Delete a row from a table(s) for the row containing the key data.

Business Rules

Common Rules

1. Action Indicator is required.

Error Messages:

- Action Code is Required.
- 2. Action Indicator must be either 'I', 'U', or 'D'.

Error Messages:

• Invalid Action Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

8.1.2 State Code or Tribal Indicator

The third field on a transaction can be either a State Code or a Tribal indicator. They are described as follows:

State Code Description: A FIPS (Federal Information Processing Standards) code that

identifies one of the 50 states, U. S. territories, Washington, DC, or

foreign countries.

Tribal Indicator Description: A flag, with value "TT", to indicate that this data is for a Native

American Tribe, and that the next field on the transaction identifies

a tribal area using the Bureau of Indian Affairs tribal code.

Attributes: Alphanumeric

2-digit code Mandatory Key Field

Coding Instructions: Place a valid FIPS state code or a Tribal Indicator in third delimited field.

A State Code is valid if it exists in the STATES Table.

Business Rules

Common Rules

- 1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**
 - Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

8.1.3 County Code or Tribal Code

The fourth field on any transaction can be either a County Code or a Tribal Code. A County code is indicated by a valid state code in the third field, while a Tribal Code is indicated by a Tribal Indicator in the third field.

County Code Description: A FIPS code that identifies a county, or equivalent geo-political

entity, such as parish or independent city. For foreign countries, it

identifies the geo-political equivalent to U. S. states, such as

Mexican states or Canadian provinces.

Tribal Code Description: A value that identifies a Tribal Area using a Bureau of Indian

Affairs Tribal Code.

Attributes: Alphanumeric

3-digit code Mandatory Key Field

Coding Instructions: Place a valid county code or a valid tribal code in the fourth delimited

field. A County Code is valid if it exists at active status in the Counties table. A Tribal Code is valid if it exists at active status in the Tribal Area

table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database. **Error Messages:**

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

8.1.4 Site ID

Description:

A numeric identifier (ID) that uniquely identifies each air monitoring site within a county or tribal area. There is no requirement that Site IDs be assigned continuously or in any particular order. Regional and Local organizations are thus free to allocate site numbers in any way they chose as long as there is no duplication within a county and tribal area. For Tribal sites, because tribal areas may cross county or state lines, care must be taken to ensure that the Site ID is unique in the effected Tribal Area and states-county.

A specific Site ID is associated with a specific physical location and address. Any change in address requires a new Site ID to be assigned. This address change could include a change from the roof of one building to another. A change in location on the same roof should not normally require a new Site ID. Although an address change would routinely mean a new Site ID, some changes that do not change the site's location in respect to surrounding sources and its measurement scale, would require no change. An EPA Regional Office should be consulted for assistance in determining whether a new Site ID is required.

If a new Site ID is needed for a site not operated by the air pollution control agency, that agency should be contacted to assist in the ID assignment, to ensure that the ID is unique within the county. In other words, when a new Site ID is assigned, it must be different from any other Site ID already existing for that combination of State and County or Tribal Code.

Attributes: Alphanumeric

4-digit ID Mandatory Key Field

Coding Instructions: Place a four-digit numeric code in the fifth delimited field. For update and

delete, a Site ID value is valid if it exists in combination with state code

and County or Tribal Code in the Sites Table.

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

8.1.5 Parameter

Description: The code assigned to the parameter measured by the monitor. Parameters

may be pollutants or non-pollutants.

Attributes: Alphanumeric

5-digit code Mandatory Key Field

Coding Instructions: Place a valid parameter code in the sixth delimited field. A parameter

value is valid if it exists in combination with (State Code, County Code, Site ID, and POC) or (Tribal Code, Site ID, and POC) in the Monitors

Table

Business Rules

Common Rules

1. The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

• Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

8.1.6 POC (Parameter Occurrence Code)

Description: An identifier used to distinguish between multiple monitors at the same

site that are measuring the same parameter. For example, the first monitor

established to measure CO at a site could have a POC of 1. If an

additional monitor were established at the same site to measure CO, that monitor could have a POC of 2. However, if a new instrument is installed to replace the original instrument used as the first monitor, that could be considered the same monitor and it would still have a POC of 1.

For criteria pollutants, data from different sampling methods should only be stored under the same POC if the sampling intervals are the same and the methods are reference or equivalent. For sites where duplicate sampling is being conducted by multiple agencies or by one agency with multiple samplers, multiple POCs must be utilized to store all samples.

For non-criteria pollutants, data from multiple sampling methods can be stored under the same POC if the sampling intervals are the same and there is only one sample for the time reported. If multiple open path monitors are reporting data for the same parameter, each open path would be assigned a different POC.

There are no national EPA practices assigning POC values. There may be regional or agency conventions where a POC value may have specific significance. Numbers do not need to be assigned sequentially.

Attributes:

Numeric 2-digit ID Mandatory Key Field

Coding Instructions: Place a valid POC value in the seventh delimited field. A POC value is valid if it exists in combination with (State Code, County Code, Site ID, and Parameter) or (Tribal Code, Site ID, and Parameter) on the Monitors Table.

Business Rules

Common Rules

The Monitor ID (State Code, County Code, Site ID, Parameter Code, POC) or (Tribal Code, Site ID, Parameter Code, POC) must be in the MONITORS table in the database.

Error Messages:

Monitor ID not in database.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

8.1.7 Duration Code

Description: The period of time during which the blank sample value was collected.

Attributes: Alphanumeric

1-character code Mandatory

Coding Instructions: Place a valid sample duration code in the eighth delimited field. A sample

duration value is valid if it exists in the Sample Durations Table and if it exists in combination with unit, parameter, method, and collection frequency in the Protocols Table. The duration code is only used to associate the blank sample with a protocol, it has no other significance

(blanks data effectively has no duration).

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Duration Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

8.1.8 Reported Unit

Description: The dimensional system in which the pollutant concentration or parameter

reading is expressed.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid unit code in the ninth delimited field. A unit value is valid if

it exists in the Units Table and if it exists in combination with parameter,

sampling duration, and method in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Reported Unit is required

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

8.1.9 Method Code

Description: Identifies a particular method for collecting and analyzing samples of the

monitor's parameter.

Attributes: Alphanumeric

3-digit code Mandatory

Coding Instructions: Place a valid method code in the tenth delimited field. A method code is

valid if it exists in combination with parameter in the Sampling Methodologies Table, and if it exists in combination with parameter,

sampling duration, and units in the Protocols Table.

Business Rules

Common Rules

1. The combination of (Duration Code, Reported Unit, Parameter, and Method Code) must be in PROTOCOLS table.

Error Messages:

• Invalid Protocol.

Insert Rules

1. Method Code is required.

Error Messages:

• Invalid Protocol.

Update Rules

None.

Delete Rules

None.

8.1.10 Blank Type

Description: The blank type describes how the un-exposed filter was handled. It has

possible values of FIELD, LAB, or TRIP. A type of FIELD means that the filter was placed in the instrument and removed before operation of the instrument. A type of LAB means that the filter has not been taken to the site. A type of TRIP means that the filter was taken to the site, but not

placed in the instrument.

Attributes: Alphanumeric

5 character code

Mandatory Key Field

Coding Instructions: Place one of FIELD, LAB, or TRIP in the eleventh delimited field on the

transaction as appropriate for the value.

Business Rules:

Common Rules

1. Blank Type is required.

Error Messages:

- Blank Type field is required.
- Invalid blanks type.
- 2. Blank Type must be in the BLANKS TYPES table.

Error Messages:

- Invalid blanks type.
- 3. Blank Type must be at production status.

Error Messages:

• Invalid blanks type.

Insert Rules

1. The combination of Blank Type, Date, and Time must not already be in database for Monitor.

Error Messages:

• Attempted to insert a value for an existing date and time.

Update Rules

1. The combination of Blank Type, Date, and Time must already be in database for Monitor.

Error Messages:

• Unable to insert/update blanks data

Delete Rules

1. The combination of Blank Type, Date, and Time must already be in database for Monitor.

Error Messages:

• Unable to insert/update blanks data

8.1.11 Blank Date

Description: The calendar date for which the observation is being reported.

Attributes: Date

8-digit date Mandatory Key Field

Coding Instructions: Place a valid date in the twelfth delimited field. A date value is valid if it

is in the form of YYYYMMDD, it is between 1957 and the current date,

and it falls within a valid sample period defined for the monitor.

Business Rules

Common Rules

1. Date is required.

Error Messages:

- Date Required.
- 2. Date must be in a year greater or equal to the earliest supported year

Error Messages:

- The sample date is not within an available year
- 3. Date must be less than, or equal to, the system date

Error Messages:

- Date must be less than current date.
- 4. Date must fall within a valid sample period for the monitor.

Error Messages:

• Monitor inactive for this date.

Insert Rules

1. The combination of Blank Type, Date, and Time must not already be in database for Monitor.

Error Messages:

• Attempted to insert a value for an existing date and time.

Update Rules

1. The combination of Blank Type, Date, and Time must be in database for Monitor.

Error Messages:

• Unable to insert/update blanks data.

Delete Rules

1. The combination of Blank Type, Date, and Time must be in database for Monitor.

Error Messages:

• Unable to insert/update blanks data.

8.1.12 Time

Description: Indicates the beginning of the sampling period in standard time at the

location of the monitoring site.

Attributes: Alphanumeric

5 characters Mandatory

Coding Instructions: Place a valid start time in the twelfth delimited field. A start time value is

valid if it is in the format of HH:MM, and does not overlap another

sampling period for the monitor.

Business Rules

Common Rules

1. Start Time is required.

Error Messages:

- Start Time is required.
- 2. Start time must be in the format: HH:MM.

Error Messages:

• Invalid time format.

Insert Rules

1. The combination of Blank Type, Date, and Time must not already be in database for Monitor.

Error Messages:

• Attempted to insert a value for an existing date and time.

Update Rules

1. The combination of Blank Type, Date, and Time must be in database for Monitor.

Error Messages:

• Unable to insert/update blanks data.

Delete Rules

1. The combination of Blank Type, Date, and Time must be in database for Monitor.

Error Messages:

• Unable to insert/update blanks data.

8.1.13 Blank Value

Description: The Blank Value is the measured value of the parameter in the un-exposed

filter.

Attributes: Numeric.

10 digits with up to 5 digits either before or after the decimal point.

Required for inserts.

Blank Value may be negative.

Coding Instructions: Place the blank value in the 14th delimited field on the transaction. Note:

Trailing zeros after the decimal point are preserved as significant figures.

(E.g. .100 is marked as having a scale of 3.)

Business Rules:

Common Rules

1. Blank Value must be a number with no more than 5 digits either before or after the decimal point.

Warning Messages:

• Precision beyond 5 decimal places will be lost

Error Messages:

- Value larger than specified precision allows for this column
- Field "Sample Value" is too long
- 2. Blank value must be valued if Qualifier Code -Null Data is not valued, and must not be valued if Qualifier Code -Null Data is valued.

Error Messages:

• Invalid combination of value, uncertainty, and null data code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

8.1.14 Null Data Code

Description: This is a code to explain why no blank value was reported.

Attributes: Alphanumeric

2-character code

Conditionally required

Coding Instructions: Place a valid null data code in the fifteenth delimited field. A null data

code value is valid if it exists in the Qualifiers Table with the qualifier

type of null.

Business Rules

Common Rules

1. Null Data Code is required if the Blank Value is Null.

Error Messages:

- Invalid combination of value, uncertainty, and null data code.
- 2. Null Data Code must be in QUALIFIERS table.

Error Messages:

- Invalid Null Data Code.
- 3. Null Data Code must be at production status.

Error Messages:

• Inactive Null Data Code.

Insert Rules

None.

Update Rules

None.

Delete Rules

None

8.1.15 Qualifier Code 1-10

Description: Qualifications used to describe the blank data. They may document

exceptional data, or quality assurance exceptions.

Attributes: Alphanumeric

1- or 2-character code

Optional

Coding Instructions: Ten fields (delimited fields 16-25), are allocated for the designation of

blank data qualifiers. It is valid to designate one, and only one,

exceptional (EX or NAT) data qualifier, and as many quality assurance qualifiers as are necessary. To designate qualifiers, use the available fields in sequence: place the first qualification in field 16, the second in field 17, and so on. A particular qualifier value is valid if it exists in the

Oualifiers Table.

Business Rules

Common Rules

1. Qualifier Code must be in QUALIFIERS table.

Error Messages:

• Invalid Raw Data Qualifier.

2. QUALIFIERS (QUALIFIER CODE) must be at production status.

Error Messages:

- Qualifier Code is inactive.
- 3. Qualifier Code must not be a Null Data code.

Error Messages:

• Invalid Raw Data Qualifier.

Insert Rules

None.

Update Rules

None.

Delete Rules

None.

8.1.16 Alternate Method Detectable Limit (Alt-MDL)

Description: Alternate method detectable limit (Alt-MDL) is the minimum detectable

level defined for the monitoring device and method.

Attributes: Numeric

10 digits, including 5 decimal places (nnnnn.nnnnn)

Optional

Coding Instructions: Place a valid Alt-MDL in the 26th delimited field.

Business Rules

Common Rules

1. MDL must be a number with no more than 5 digits before or after the decimal point.

Warning Messages:

• Precision beyond 5 decimal places will be lost

Error Messages:

• Value larger than specified precision allows for this column

Insert Rules

None.

<u>Update Rules</u>

None.

Delete Rules

None.

8.1.17 Uncertainty Value

Description: The measure of method uncertainty associated with the blank data point,

which will include all components of the measurement process.

Attributes: Numeric

11 digits, including 5 decimal places (nnnnnnnnnn)

Coding Instructions:

Business Rules

Common Rules

1. Uncertainty Value must be a positive number with no more than 6 digits before, and no more than 5 after, the decimal point.

Warning Messages:

• Precision beyond 5 decimal places will be lost

Error Messages:

• Value larger than specified precision allows for this column

Insert Rules

None

Update Rules

None.

Delete Rules

None